Negative pressures in CaWO₄ nanocrystals

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Tetragonal scheelite-type CaWO₄ nanocrystals recently prepared by a hydrothermal method show an enhancement of its structural symmetry with the decrease in nanocrystal size. The analysis of the volume dependence of the structural parameters in CaWO₄ nanocrystals with the help of *ab initio* total-energy calculations shows that the enhancement of the symmetry in the scheelite-type nanocrystals is a consequence of the negative pressure exerted on the nanocrystals; i.e., the nanocrystals are under tension. Besides, the behavior of the structural parameters in CaWO₄ nanocrystals for sizes below 10 nm suggests an onset of a scheelite-to-zircon phase transformation in good agreement with the predictions from our *ab initio* calculations. CaWO₄ nanocrystals exhibit a reconstructive-type mechanism for the scheelite-to-zircon phase transition that seems to follow the tetragonal path that links both structures. This result is in contrast with the mechanism recently proposed for this transition in bulk ZrSiO₄ where the transition goes through an intermediate monoclinic phase. © *2009 American Institute of Physics*. [DOI: 10.1063/1.3116727]

I. INTRODUCTION

Alkaline-earth metal tungstates (AWO_4 ; A=Ca,Sr,Ba) crystallizing in the scheelite structure have an increasing number of applications. In the last years intense efforts have been witnessed in preparation of scheelite nanoparticles by different methods with the aim of improving the luminescence properties of scheelites. 2-9 During this search, it has been found that nanocrystals usually have different structural properties than bulk crystals and that nanocrystals can be synthesized under certain conditions in phases that are not stable for the bulk material at ambient conditions. Recently, CdWO₄ nanocrystals have been synthesized in a tetragonal scheelite structure despite bulk CdWO4 crystallizes in the monoclinic wolframite structure. On the other hand, an interesting symmetry enhancement of scheelite-type CaWO₄ nanocrystals synthesized by a hydrothermal method was observed when the dimensions of the nanocrystals were reduced from 31.7 to 3.4 nm.^{5,6} An increase in the unit-cell volume and a decrease in the c/a ratio in the tetragonal scheelite structure was reported when decreasing the nanocrystal size below 8 nm.^{5,6}

High-pressure experiments are a powerful tool to investigate the main physical properties of scheelites, $^{10-12}$ helping to improve their applications, since they depend on the structures, lattice parameters, and band structures of the different compounds, which can be tuned by varying the unit-cell volume at different pressures. In the present work, we analyze the behavior of the structural properties of the scheelite-type $CaWO_4$ nanocrystals recently reported in Refs. 5 and 6 and

show that its unit-cell volume dependence on the nanocrystal size can be explained by the presence of negative pressures in them with respect to the bulk material. In order to explain the variations in the structural parameters with the nanocrystal size, we have compared the data available in the literature for bulk and nanocrystalline scheelite-type CaWO₄ and performed total-energy *ab initio* calculations within the framework of the density functional theory, which helped us in interpreting the structural data. Details of the calculation are given elsewhere. ^{10,13}

II. STRUCTURAL DATA: RELATION BETWEEN VOLUME AND PRESSURE

Li *et al.* recently reported the dependence of the unit-cell volume and c/a ratio of scheelite-type CaWO₄ nanocrystals as a function of nanocrystal size. They found that the volume of the tetragonal unit cell increased with the decrease in nanocrystal size. Figure 1 shows the variation in the a and c lattice parameters of scheelite-type CaWO₄ nanocrystals with the nanocrystal size, as calculated from data of Ref. 5. It can be observed that the a lattice parameter increases monotonically as the nanocrystal size is reduced, while the c lattice parameter shows a nonmonotonous dependence on the nanocrystal size, decreasing sharply for nanocrystal sizes below 8 nm.

The increase in the nanocrystal volume reported for CaWO₄ nanocrystals with decreasing their size⁵ can be understood if nanocrystals below a certain size feel a "negative" pressure. Since the unit-cell volume in bulk CaWO₄ decreases with the increase in pressure above ambient pressure (1 bar=0.1 MPa), ^{10,14,15} an increase in the unit-cell lattice parameters and consequently in the volume can only be

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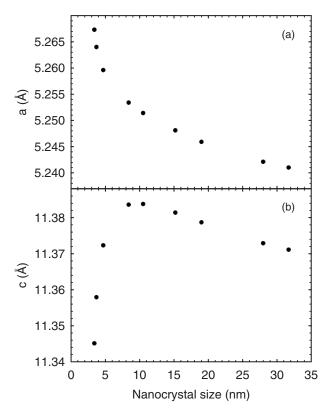


FIG. 1. Dependence of the a and c lattice parameters on the nanocrystal size in CaWO $_4$ after Ref. 5.

explained by the presence of negative pressures. However, the dependence of the a and c lattice parameters of scheelitetype CaWO₄ nanocrystals with decreasing nanocrystal size requires a deeper study and is analyzed later. In order to interpret the size dependence of the nanocrystal volume of Ref. 5, we assume, in a first approximation, that the scheelite-type CaWO₄ nanocrystals have the same volume compressibility, $\chi = -\partial \ln V/\partial P$, or equivalently the same bulk modulus, $B_0=1/\chi$ than their corresponding single crystals. 16 Under this assumption, we can use the equation of state (EOS) deduced for bulk CaWO₄ (Ref. 10) to calculate the negative pressures present in nanocrystals with different nanocrystal sizes. Figure 2 shows the fit of the volumes at different pressures according to the EOS obtained for bulk CaWO₄. We found that the smallest nanocrystal (3.4 nm) must suffer approximately a negative pressure of -0.62 GPa in order to undergo the observed volume expansion. Table I summarizes the nanocrystal sizes, their a and c lattice parameters, their unit-cell volume, and their estimated negative pressures.

III. PRESSURE EFFECTS ON THE SETTING ANGLE

It is well known that each compound crystallizing in the tetragonal scheelite structure has a characteristic setting angle (ϕ) , which is the minimum angle between the W–O bond inside the WO₄ tetrahedra and the a axis. In particular, the experimental setting angle for bulk CaWO₄ is near 32° at ambient pressure. A rather simple calculation allows the

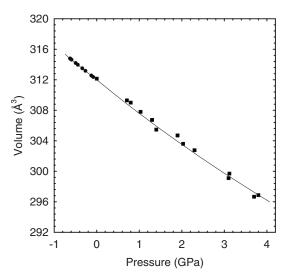


FIG. 2. Pressure dependence of unit-cell volume assumed for bulk and nanocrystalline scheelite-type CaWO₄. The data for the nanocrystals have been fitted to the EOS obtained for bulk (V_0 =312 ų, B_0 =72 GPa, B_0' .=4.8) in order to assign a negative pressure corresponding to each nanocrystal volume. Nanocrystal data (circles) are from Ref. 5 and bulk data (squares) are from Refs. 10, 14, and 15.

setting angle to be obtained as a function of the x and y parameters of the main O atom in the tetragonal unit cell as reported by Hazen *et al.*, ¹⁴

$$\cos \phi = \frac{0.5 - 2y}{\sqrt{4(x^2 + y^2) + (0.25 - 2y)}}.$$
 (1)

Hazen *et al.* performed single crystal x-ray diffraction (XRD) measurements in bulk CaWO₄ under pressure and determined the atomic positions of the O atoms in the scheelite structure with increasing pressure of up to 4 GPa. In Fig. 3 we plotted the measured *x*, *y*, and *z* atomic positions of the O atom (symbols) as a function of pressure as taken from the work of Hazen *et al.* Hazen that all to a straight line (solid line), we can estimate the *x*, *y*, and *z* parameters of the O atom that should be present in CaWO₄ nanocrystals according to the negative pressures present in them, as obtained from Fig. 2. It can be observed that under pressure they undergo a more or less monotonously behavior. We also plotted in Fig. 3 as black dashed lines the *x*, *y*, and *z* parameters obtained from our *ab initio* calculations in scheelite-type bulk CaWO₄ in the studied

TABLE I. Size, unit-cell volume, lattice parameters, and estimated negative pressures in the CaWO₄ nanocrystals of Ref. 5.

Size (nm)	<i>V</i> (Å ³)	а (Å)	с (Å)	P (GPa)
31.7	312.3	5.241	11.371	-0.08
28	312.5	5.242	11.373	-0.12
19	313.1	5.246	11.379	-0.26
15.2	313.5	5.248	11.381	-0.33
10.5	313.9	5.251	11.384	-0.44
8.4	314.2	5.253	11.384	-0.49
4.7	314.6	5.260	11.372	-0.59
3.7	314.7	5.264	11.358	-0.61
3.4	314.8	5.267	11.345	-0.62

FIG. 3. Pressure dependence of the atomic parameters (a) x, (b) y, and (c) z (in relative units) of the generatrix O atom in the unit cell of bulk scheelite-type CaWO₄, as taken from Ref. 14. The solid line represents the linear fit of the experimental data. Dashed lines indicate the theoretical pressure dependence according to *ab initio* calculations.

pressure range. The calculations show that, despite the difference in the absolute value, the experimental pressure dependence of the O parameters is rather well reproduced.

Figure 4(a) shows the pressure dependence of the setting angle of scheelite CaWO4 in the bulk crystal that we calculated using Eq. (1) together with the experimental x and y parameters of the O atom in the unit cell of bulk CaWO₄ at different pressures given by Hazen et al. In this figure, we also plotted the ab initio calculated pressure dependence of the setting angle for negative pressures (dashed line). It can be observed that both experiment and theory show that the setting angle increases as a function of pressure; i.e., it decreases for negative pressures [see extrapolation of the solid line to negative pressures in Fig. 4(a)]. Note that the theoretical setting angle is slightly larger than the experimental one due to the larger values obtained for the x and y parameters of the O atom at each pressure. The increase in symmetry of the nanocrystals with reducing size reported by Li et al. is related to the decrease in the setting angle as the nanocrystal size decreases due to the negative pressure felt by nanocrystals.¹⁷

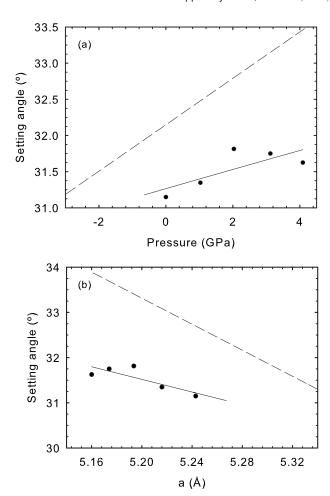


FIG. 4. Setting angle in bulk $CaWO_4$ as a function of pressure (a), and as a function of the lattice parameter (b), according to data from Ref. 14. Solid lines represent the linear fit of the experimental data. Dashed lines indicate the theoretical dependence of the setting angle according to *ab initio* calculations.

Hazen et al. showed that the W-O bond distance in AWO₄ scheelites is rather uncompressible, ¹⁴ and, as a consequence, the decrease in the lattice parameter a with increasing pressure is mainly correlated with an increase in the setting angle. Figure 4(b) shows the evolution of the setting angle as a function of the lattice parameter a in bulk CaWO₄ as obtained from the data of Hazen et al. (symbols). A linear relationship between the setting angle and the lattice parameter a can be deduced from Fig. 4(b) in good agreement with our theoretical calculations (dashed lines). This result means that the increase in the lattice parameter a in the CaWO₄ nanocrystals shown in Fig. 2(a) with decreasing nanocrystal size is related to a decrease in the setting angle with decreasing the nanocrystal size in good agreement with the negative pressure present in the nanocrystals. However, the decrease in the c/a ratio in scheelite-type CaWO₄ nanocrystals with size smaller than 10 nm cannot be understood on the light of the negative pressure present in the nanocrystals because it was found that the decrease of pressure, i.e., increase in volume, produces an increase in the c/a ratio in the bulk material. 10,18 Therefore, one would expect an increase in the c/a ratio in scheelite-type CaWO₄ nanocrystals with reducing size due to their increase in the unit-cell volume with respect to the bulk.

FIG. 5. Lattice parameters (a) a and (b) c of scheelite-type CaWO₄ nanocrystals as a function of the unit-cell volume. The dashed lines indicate the theoretical dependence of the lattice parameters as a function of the unit cell volume.

IV. HINTS OF A SIZE-INDUCED PHASE TRANSITION

In order to understand the disagreement of the pressure dependence of the experimental c/a ratio between bulk and nanocrystalline scheelite-type CaWO₄, we plotted in Fig. 5 the dependence of the a and c lattice parameters as a function of the unit-cell volume in the scheelite CaWO4 nanocrystals as obtained from Ref. 5. For comparison, we also plotted the theoretical dependence of the lattice parameters at the same volumes in the bulk as obtained from our ab initio calculations (dashed lines). It can be observed that the lattice parameters of the nanocrystals with sizes below 10 nm do not behave as if they were subjected to negative pressures with their corresponding unit-cell volumes. Instead, a sudden increase (decrease) is observed for the lattice parameter a(c)for unit-cell volumes above 314 Å³. We think that the rapid changes of the lattice parameters showed by the nanocrystals with unit-cell volumes above 314 Å³, or equivalently for size smaller than 8.4 nm, could be indicative of a structural instability of the tetragonal scheelite structure. In the following we will discuss that the changes in the lattice parameters observed in nanocrystals with sizes below 8.4 nm are indicative of the onset of a phase transition from the scheelite to the zircon structure.

Previous *ab initio* total-energy calculations suggest that the zircon structure is a stable phase for CaWO₄ at negative pressures and that the negative pressure necessary to transform scheelite-type CaWO₄ into the zircon structure is around -2 GPa.¹³ This pressure value is not far away from the negative pressure estimated to be applied to the CaWO₄ nanocrystals with sizes smaller than 8.4 nm (see Table I). In

fact, in some materials the bulk modulus of the nanocrystals can be somewhat larger than that of the bulk. ^{19,20} If this was the case for the CaWO₄ nanocrystals, the negative pressures acting on the nanocrystals would be even higher than those estimated here and therefore closer to those necessary for the phase transition. In this case, our hypothesis would be even more confirmed than here assumed. Therefore, we think that the deviation of the lattice parameters from their expected behavior, at their associated negative pressures, is indicative of the instability of the scheelite structure and the onset of a transition to the zircon structure. A similar change in lattice symmetry with an increase in symmetry has been already observed in CdWO₄ nanoparticles, which crystallize in the tetragonal scheelite structure instead of the monoclinic wolframite structure of the bulk material.⁹

The zircon structure is characterized by a ratio c/a < 1and a setting angle ϕ =0°. Ab initio calculations in CaWO₄ yield lattice parameters around a=7.359 Å and c=6.648 Å; i.e., with c/a=0.9034 for zircon-type CaWO₄ at the minimum of the total-energy curve as a function of unit-cell volume.¹³ One could think that due to the group-subgroup relationship between the zircon and scheelite structures the phase transition between both phases should be of displacive type. The displacive-type mechanism for the scheelite-tozircon transition could be related to the decrease in the setting angle from 32° in the scheelite phase to 0° in the zircon phase. This change in the setting angle would be simultaneous to the change in the lattice parameters a and c of the scheelite structure from a=5.2429 Å and c=11.3737 Å in bulk scheelite CaWO₄ to the above given values for the zircon structure. This mechanism would transform the a, b, and c axes of the scheelite structure into the a, b, and c axes of the zircon structure. However, the decrease in the setting angle from 32° to 0° involves a considerable distortion of the Ca-O distances in the CaO₈ dodecahedra and in fact four Ca-O bonds in the scheelite phase should be broken to form four new Ca-O bonds in the zircon phase. Therefore, this simple transformation mechanism through a simple tetragonal distortion is not displacive but reconstructive. The behavior of the parameters a and c in the scheelite phase shown in Fig. 1 below 8.3 nm are compatible with this type of reconstructive mechanism via a tetragonal path. An extrapolation with a logarithmic function of the data reported in Table I for nanocrystals smaller than 10.5 nm yields that a=7.359 Å and c=6.648 Å would be reached for a nanocrystal size of about 1 nm, i.e., CaWO₄ nanocrystals smaller than 1 nm could likely crystallize in the zircon structure.

Kusaba *et al.*²¹ already studied the shock-induced zircon-to-scheelite phase transition in $ZrSiO_4$ and suggested that the mechanism for the zircon-to-scheelite phase transition was not the one described in the precedent paragraph. They suggested a reconstructive mechanism for the zircon-to-scheelite phase transition in $ZrSiO_4$ on the basis of (1) the fast transition observed between both phases in shock-wave measurements (microsecond time scale), (2) the nonreversibility of the phase transition and the rapid reversibility of the transition on increasing temperature, (3) the near 10% decrease in density when going from the zircon to the scheelite phase, (4) the large difference in the c/a ratios of

In order to investigate whether the evolution of the lattice parameters a and c of the scheelite phase for nanocrystal sizes below 8.3 nm is compatible with the scheelite-to-zircon phase transition through the monoclinic path recently proposed for bulk ZrSiO₄, we plotted in Fig. 6 the lattice parameters of the monoclinic intermediate cell obtained from the lattice parameters of the scheelite cell. According to Ref. 23, the lattice vectors of the monoclinic cell written in terms of the scheelite unit cell are $\mathbf{a}_m = -\mathbf{a}_s - \mathbf{b}_s$, $\mathbf{b}_m = \mathbf{c}_s$, and $\mathbf{c}_m = \mathbf{b}_s$. Therefore, the lattice parameters of the monoclinic structure given in terms of the lattice parameters of the scheelite structure are $a_m = \sqrt{2}a_s$, $b_m = c_s$, and $c_m = b_s$. Figure 6 shows that the behavior of the lattice parameters below 8.3 nm is in good agreement with the expected behavior of the scheeliteto-zircon transition through the intermediate monoclinic C2/c structure reported in Refs. 23 and 24. Therefore, we can conclude that the behavior of the structural parameters a and c of the scheelite-type nanocrystals with size smaller than 8.4 nm agree with the expected behavior of the lattice parameters during the scheelite-to-zircon phase transition either by the tetragonal or by the monoclinic path. In summary, the present structural data do not allow us to determine which one is the right mechanism of the scheelite-to-zircon phase transition in CaWO₄ nanocrystals.

phase transition in ZrSiO₄ in agreement with Kusaba et al.²⁴

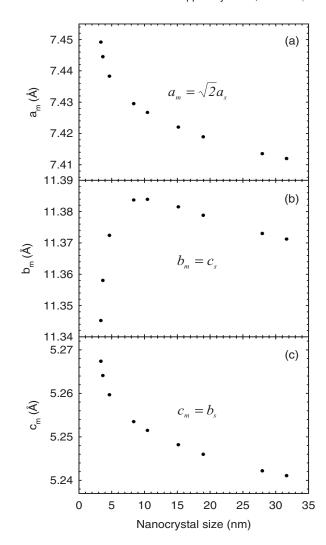


FIG. 6. Lattice parameters a_m , b_m , and c_m of the monoclinic cell of CaWO₄ as a function of the nanocrystal size as obtained from the lattice parameters a_s , b_s , and c_s of the scheelite cell of CaWO₄ plotted in Fig. 1.

The mechanism of the schelite-to-zircon phase transition in $CaWO_4$ nanocrystals can be determined if the x, y, and z parameters of the generatrix O of the scheelite phase were known for nanocrystals below 8.4 nm as they are known for bulk $CaWO_4$ (see Fig. 3). It is expected that the z parameter varies rather smoothly during the transition along the tetragonal path because the WO₄ just suffers a rotation around the c axis of the scheelite. On the other hand, the z parameter must suffer a considerable change along the monoclinic path because the WO₄ tetrahedra have to be completely reoriented during this phase transition due to the fact that in the monoclinic path the a direction of the scheelite phase transforms into the c direction of the zircon phase. Another possibility to determine the mechanism of the transition in nanocrystals is to measure their Raman scattering. These measurements could evidence the mechanism by observation or absence of Raman peaks due to the change in lattice symmetry. In the case of the tetragonal path, the Raman spectrum of the scheelite phase will not change at all until it gets the setting angle equal to 0°. At this very moment one of the phonon peaks of the spectrum will disappear since the Raman spectrum of the zircon phase has 12 Raman-active modes compared to the 13 Raman-active modes of the scheelite phase.

On the other hand, if the transition mechanism is through a monoclinic C2/c structure then one would observe five additional Raman peaks compared to those of the scheelite phase or six compared to the zircon phase, since HgWO₄ and CaWO₄ above 10 GPa crystallize in the monoclinic C2/c phase and exhibit 18 Raman-active modes. 25,26 A similar reasoning applies for the infrared-active modes. In fact, Raman and infrared measurements on the CaWO₄ nanocrystals have been already performed.⁶ They show that only the Raman modes of the scheelite phase are observed even for the smallest nanocrystal sizes. This result, together with the abrupt increase (decrease) in the a (c) lattice parameter of the scheelite phase for negative pressures, could be the evidence of the scheelite-to-zircon phase transition occurring via the tetragonal path instead of through the intermediate monoclinic structure proposed for ZrSiO₄. ²²⁻²⁴ It must be noted that the only net effect observed in the Raman spectrum of CaWO₄ nanocrystals with 3.6 nm (Ref. 6) is a broadening of the Raman bands and a small Raman shift to lower wave numbers of most of the observed peaks.²⁶ This small shift is fully compatible with the negative pressure present in the nanocrystals and does not evidence any monoclinic distortion of the scheelite structure.

V. CONCLUSIONS

We have shown evidence that CaWO₄ nanocrystals with sizes below 30 nm reported in Refs. 5 and 6 are subjected to negative pressures that cause an instability of the scheelite phase and lead to the onset of the scheelite-to-zircon phase transition in CaWO₄ nanocrystals with sizes smaller than 8.4 nm. The scheelite-to-zircon phase transition in CaWO₄ nanocrystals is of reconstructive character and seems to proceed through a tetragonal distortion of the scheelite structure unlike in bulk ZrSiO₄ where it is proposed to proceed through a monoclinic path. The hypothesis for the tetragonal path for the phase transition is supported by the absence of Raman peaks corresponding to the monoclinic deformation of the scheelite structure even in the nanocrystals with smallest size. The reason for the different transition mechanism observed in the nanocrystals with respect to that proposed in the bulk could be related to the reduction in the energy barrier of the transition through the tetragonal path due to (i) the important participation of the surface energy in nanocrystals and/or (ii) the presence of impurities attached to the nanocrystals. In this respect, we want to stress that it is possible that the increase in the surface/volume ratio in the nanocrystals and/or the chemical substances attached to the nanocrystals (H₂O and C₆H₈O₇) might reduce the energy barrier (around 236 kJ/mol in bulk²²) necessary for the tetragonal scheelite-to-zircon phase transition resulting in a different pressure-induced phase transition mechanism in the nanocrystals not previously found in the bulk.²⁷

Further studies in scheelite-type nanocrystals are needed to confirm the mechanism of the scheelite-to-zircon phase transition in the CaWO₄ nanocrystals. In particular, neutron diffraction experiments in nanocrystals could help in determining the x, y, and z parameters of the O in the scheelite phase under negative pressures to give information on the behavior of the setting angle and the real behavior of the Ca-O distances in the nanocrystals. Besides, new XRD experiments could report the appearance or absence of a small peak of the monoclinic C2/c phase at angles near $2\theta=4^{\circ}$, the disappearance of the strong peak corresponding to the (112) and (103) Bragg reflections of scheelite CaWO₄ (Ref. 28) at $2\theta=27^{\circ}$ in Ref. 5, and the appearance of a strong peak corresponding to the (200) Bragg reflection of zircon CaWO₄ at $2\theta = 25^{\circ}$, provided that XRD experiments are performed at the same energy used in Ref. 5. Additionally, new Raman measurements with higher resolution and covering the whole range from 50 to 1000 cm⁻¹ could help in verifying whether the mechanism of the reconstructive phase transition goes along the tetragonal or monoclinic path. Finally, we want to note that a similar behavior is also expected in CaMoO₄ nanocrystals of similar size to those of CaWO₄ nanocrystals since the stability of the scheelite phase in both compounds is very similar.

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¹⁶The bulk modulus in nanocrystals might be different to that in the bulk material. In fact, for many materials the bulk modulus in nanocrystals is usually slightly larger than in the bulk. However, the main conclusions of

- this work do not depend on the value of the bulk modulus considered for the nanocrystals because a different bulk modulus would only imply a slightly different negative pressure in the nanocrystals.
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