# High Sensitivity Refractive Index Sensor Based on Highly Overcoupled Tapered Fiber Optic Couplers

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Abstract—In this work a simple and compact fiber optic sensor based on an overcoupled tapered fiber coupler is studied. The coupler is fabricated to be operated well beyond the initial coupling cycles, where the rapid exchange of energy between outputs ports enable the fabrication of a highly sensitive device. The suitability and sensitivity of the proposed scheme is demonstrated by measuring refractive index (RI) variations of sugar concentrations in water. The device presents a linear response in terms of power transmission or wavelength shift versus RI changes. The best achieved sensitivity is 0.442 units of normalized transmission per unit of sugar concentration, with a noise detection limit of 0.003 weight percent of sugar concentration (wt %). From this result the minimum detectable RI change is estimated as  $5 \times 10^{-6}$  refractive index unit (RIU). The sensor can be also wavelength-encoded, exhibiting a sensitivity of 2,171 nm/RIU, maintaining a linear response in a large range of RI. These experimental results are within the best results reported in the framework of fiber couplers and modal interferometers based RI sensors.

*Index Terms*—Biconical fiber coupler, refractive index sensor, spectral response.

#### I. INTRODUCTION

FIBER-OPTIC sensors with high sensitivity and resolution have been the subject of extensive investigations for the measurement of diverse physical and chemical parameters; these parameters include temperature [1]-[3], stress [4]-[6], curvature [7]-[9], and refractive index (RI) [10]-[13]. Among them, we find that fiber coupler based sensors are promising structures since they do not require expensive and complex fabrication procedures, assuming they are fabricated using a standard fusion and pulling technique. Fiber couplers provide two complementary outputs ports that enable a straightforward normalization, i. e. the ratio between the difference and the

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addition, which compensates for power fluctuations, Beside this, also provide the advantages of compactness, high sensitivity, low-cost, in situ measurement and immunity to external electromagnetic interference. In this kind of sensors the coupler transmission response undergoes a shift in wavelength that it is strongly affected by the RI of the surrounding medium due to the evanescent field that is generated along the coupling region [14]. This effect was proposed and demonstrated in early works to develop fiber based refractometers [14], [15]. In recent years, optical sensors based on microfiber directional couplers have been proposed and demonstrated to perform high sensitivity measurements in many practical applications [16-23]. For the specific case of fiber coupler based RI sensors, these include fused biconical fibers [24]-[26], microfibers [16], [18], [27]-[30], photonic crystal fibers (PCF) [31]-[33], and specially designed two-core optical fibers [34], [35]. Most of these sensors are presented as wavelength codified and the achieved sensitivities are in the range from 1,125 to 30,100 nanometer (nm) per refractive index unit (RIU), with a detection limit in a range from  $4\times10^{-4}$ to  $5\times10^{-7}$  RIU, respectively. Amplitude codified sensors have been also reported to provide high resolution [31], [36], [37], with a detection limit between  $2 \times 10^{-5}$  and  $4 \times 10^{-6}$  RIU. However, the sensors with the highest sensitivities and best resolutions are based on PCF and require selective infiltration of holes with the liquid under test, which imposes severe limitations from a practical point of view.

For practical applications, amplitude codified sensors are of particular interest since they do not require special equipment to determine small variations of the refractive index. To date, several authors have exploited the power transmission dependence to develop a fiber coupler based refractometer [14], [15], [31], [37]. Nevertheless, in those works the authors did not study the conditions for an optimal performance of the reported device. In a previous publication we reported a fused biconical fiber coupler (FBFC) structure for the detection of small variations of RI [36]. In that scheme the coupler transmission was analyzed to find a power transmission response that allows a linear relation between RI changes and the output signal. The coupler was operated within the firsts coupling cycles, and the minimum detectable RI change was estimated as 2.4×10<sup>-5</sup> RIU. Now in this paper our purpose is to report an improved FBFC scheme that is operated in a regime well beyond the initial coupling cycles; in order to improve the coupler sensitivity. The coupler is fabricated to

reach the limits of the experimental implementation. Our study covers the analysis of the sensor in terms of normalized power variations and wavelength shifts; i. e., as amplitude and/or wavelength encoded sensor. In fact, by combining wavelength and power measurements our proposal exhibits large RI range with high resolution. Our experimental results are within the best results reported in the framework of fiber coupler based RI sensors and provide useful information for future optimization of the sensor response. Furthermore, our proposal preserves the simplicity and robustness required for practical applications.

#### II. FUSED FIBER COUPLER FABRICATION

The couplers are fabricated using a standard fusion and pulling technique [38], in which two single-mode optical fibers (Corning SMF-28) are twisted and fixed together on two translational motorized stages, as shown in Fig. 1(a). A gas mixture of oxygen and butane produces a flame which heats the fibers to make them moldable. The flame sweeps back and forth just below the twisted section of the fibers, and simultaneously the fibers are pulled from both ends fusing and tapering the fibers together. At the end of the process the coupler is composed of two biconical tapered transitions and a uniform taper waist. For monitoring the coupler fabrication, a tunable laser source emitting at 1550 nm is connected to port 1  $(P_1)$  of the fiber coupler, and the transmitted light is measured by two photodiodes, each one connected at output ports 3  $(P_3)$ and 4  $(P_4)$  of the fiber coupler. The transmitted signal is monitored in real time with a standard oscilloscope during the pulling process. In principle, by a precise control of the pulling length it is possible to fabricate a coupler with a specific coupling ratio. The power variation as a function of the pulling length, the so-called pulling signature, is shown in Fig. 1(b) at the cross-coupled port  $(P_4)$ . Fig. 1(b) gives the basic information to determine the required pulling length for the fabrication of a specific fiber coupler. In our experiments, the couplers are fabricated with two tapered fibers, each one with exponential transitions, a uniform taper waist of about 2 mm, and a total length of about 20 mm. In this specific case reported in Fig. 1, the final overall diameter of the coupler waist is 1 µm. The parameters of the fiber coupler are designed with a well-stablished model for fiber tapers [39].

With the objective of improving significantly previous results and trying to push the technique to the limits, we focus our attention in the fabrication of highly overcoupled fiber couplers. The intention is to operate the coupler in cycles well beyond to the initial coupling cycles in order to increase the coupler sensitivity. One characteristic at this regime is the rapid exchange of energy between outputs ports as a function of the pulling length. For illustration of this effect, the inset in Fig. 1(b) shows the exchange of power between outputs ports at the pulling length between 19.95 and 20.05 mm; around 7 coupling cycles occur in a 0.1 mm interval of pulling length. Therefore, the fabrication of overcoupled couplers with a specific coupling ratio is not feasible due to the high degree of accuracy that would be required. To overcome this problem, a tunable laser source is included in our experimental scheme to enable a precise selection of the coupling ratio by tuning the

wavelength, once the fabrication procedure has concluded. Fig. 1(c) shows the transmission spectrum of the coupler at the end of the pulling length process (23 mm of elongation), the coupler has a coupling ratio of 15:85 in air at the wavelength of 1550 nm. However, by tuning the wavelength it is possible to select a desired coupling ratio. For example, a 50:50 coupling is achieved at a wavelength of 1546 nm. The inset in Fig. 1(c) shows a microscope image of the coupler cross-section at the uniform taper waist. As it can be noted, the coupler has a weakly fused cross-section (two touching circular cylinders) [40]-[42], although the device exhibits a high coupling due to strong evanescent fields. In order to insure a robust and safely manipulable device, we limited our self to couplers with a 5  $\mu$ m waist cross-section in the rest of this work.

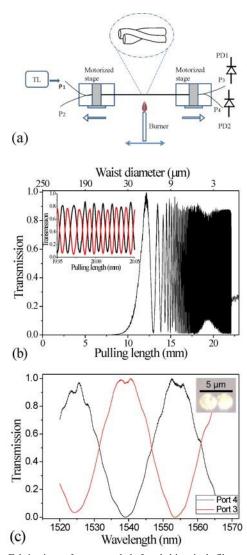


Fig. 1. Fabrication of overcoupled fused biconical fiber couplers. (a) Fabrication setup. (b) Power variation as a function of the pulling length. The inset shows the exchange of power between outputs ports for a pulling length between 19.95 and 20.05 mm. (c) Transmission spectrum of the fused fiber coupler at the end of the pulling process (23 mm of elongation). The inset in (c) shows an image of the weakly fused cross-section with 5  $\mu$ m in width.

#### III. EXPERIMENTAL MEASUREMENTS AND DISCUSSION

The experimental setup for measuring RI changes is illustrated in Fig. 2(a). The coupler is mounted on a microscope slide and fixed from both ends, so that the coupler waist is suspended in air to avoid contact with the glass. The sensor arrangement consist of a tunable laser diode connected to port 1 of the fiber coupler. The tunable source covers the wavelength range 1520 – 1570 nm and makes possible, by wavelength tuning, the selection of a desired coupling ratio. The transmission of light is measured by two photodiodes *PDI* and *PD2*, each one connected at output ports 3 and 4, respectively. These complementary outputs ports enable a straightforward normalization, i.e. the ratio between the difference and the addition, which compensates for power fluctuations. The transmission response is monitored with a standard oscilloscope during the experimental procedure.

The sensor characterization is carried out by measuring RI variations of sugar concentrations in water. A drop of a sugar solution is deposited onto the waist of the coupler, producing a shift of the transmission spectrum and a change of the coupling ratio at a given wavelength. To illustrate the measurement process, Fig. 2(b) shows a numerical simulation of the normalized coupler transmission as a function of the external RI. For simulations we consider a 4-mm uniform waist of 5  $\mu$ m in width composed of two weakly fused optical fibers, each one with a 2.5  $\mu$ m in diameter. Under these conditions the coupling coefficient is approximated by [43]:

$$C = \frac{(2\Delta)^{1/2}}{r} \frac{U^2}{V^3} \frac{K_0(Wd/r)}{K_1^2(W)},\tag{1}$$

where r is the radius of the fiber cladding, d is the center-to-center separation between fibers, and  $K_0$  and  $K_1$  are the modified Bessel functions of second kind of order 0 and 1. The parameters U, V, W, and  $\Delta$  are defined as,

$$U = r(k^2 n_2^2 - \beta^2)^{1/2}, (2)$$

$$V = kr (n_2^2 - n_3^2)^{1/2}, (3)$$

$$W = r \left(\beta^2 - k^2 n_3^2\right)^{1/2},\tag{4}$$

$$\Delta = \left(n_2^2 - n_3^2\right) / 2n_2^2 \,, \tag{5}$$

where  $n_2$  is the index of the fiber cladding,  $n_3$  is the index of surrounding medium,  $\beta$  is the propagation constant,  $\lambda$  is the optical wavelength (1550 nm) and  $k = 2\pi/\lambda$ .

The power at the output ports is given by

$$P_3 = P_0 \cos^2(CL), \tag{6}$$

$$P_4 = P_0 \sin^2(CL),\tag{7}$$

where  $P_0$  is the input port power at port 1 and L is the interaction length.

For a practical application, the device should be operated in the linear slope region of the sinusoidal response, in order to provide a linear relation between RI variations and the transmitted signal. For the experiments reported here, the coupler ratio is adjusted to obtain a zero normalized transmission, which corresponds to a 50:50 coupling ratio, when a drop of deionized water is deposited over the coupler waist ( $n_{water}$ =1.333). Additionally, we monitored the wavelength shift of the point with zero normalized transmission. Thus, the sensor response can also be codified in wavelength.

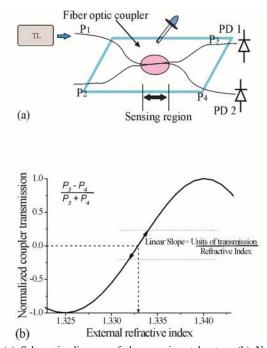


Fig. 2. (a) Schematic diagram of the experimental setup. (b) Numerical simulation of the normalized coupler transmission as a function of the external refractive index.

For the first set of experiments, the coupler is fabricated following the pulling process described in section II (see Fig. 1), with exponential profiles, but trying to obtain a device with the shortest waist length as possible. The pulling process is stopped at 17 mm of elongation, resulting in a coupler with 5 µm waist cross-section, and a waist length of about 1.5 mm. The response of the sensor was investigated using two sets of calibrated sugar solutions. The first set had mass percent concentrations from 0 to 10 %, while the second set was prepared with concentrations from 0 to 1 %. The corresponding RI values were obtained from [44], [45], and all measurements were performed at room temperature. Fig. 3 shows the characterization of the sensor. Fig. 3(a) shows the spectral response for different sugar concentration solutions in the range from 0 to 10%, corresponding to a RI in range from 1.333 to 1.349, respectively. For this particular coupler, the zero normalized transmission for deionized water was obtained at the wavelength of 1542.9 nm. Fig. 3(b) shows the relationship between normalized coupler transmission and

sugar concentration at 1542.9 nm. As it can be observed, the response shows a linear response for lower values of sugar concentration, but for higher values the sinusoidal expected response shows up, reaching its maximum value for a sugar concentration of ~ 8%. In the linear region, i. e., for sugar concentration in the interval [0, 8] %, the measured sensitivity is 0.10 units of normalized transmission per sugar concentration. The detection limit is determined by the noise signal level, which is originated by laser intensity fluctuations and noises associated from electronic circuits. In our experiments, the noise is reduced by averaging over a period of 1 minute at the oscilloscope, and the measured amplitude noise (AN) is 0.0016 units of normalized transmission. Therefore, the detection limit for this coupler is 0.016 weight percent of sugar solution. Taking into account the RI of a sugar solution, the RI detection limit is  $2.4 \times 10^{-5}$  RIU.

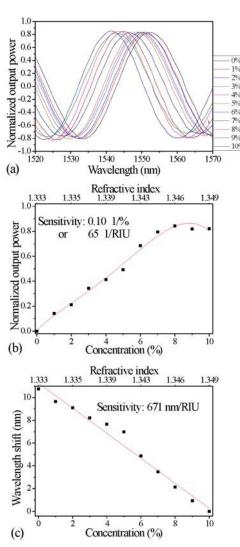


Fig. 3. Spectral and transmission responses of the overcoupled fiber coupler as a function of sugar concentration solutions in a range from 0 to 10 %. (a) Spectral response of the fused fiber coupler. (b) Normalized power transmission. (c) Shift in wavelength of zero normalized transmission.

The sensor can be wavelength-encoded by measuring the wavelength shift of the zero normalized transmission point as a function of sugar concentration, as shown in Fig. 3(c). It can

be observed a linear response for the whole set of solutions, covering a relatively large range of RI, with a sensitivity of 671 nm/RIU. For estimation of wavelength resolution, we can assume that the amplitude noise is the actual limiting parameter, which gives a  $\delta\lambda_{min}=(\Delta\lambda/2\pi)\times AN=9.7$  pm, taking into account that the spectral response is sinusoidal and its period  $\Delta\lambda$  is 38 nm for the present device. Thus, the estimated resolution is  $1.4\times10^{-5}$  RIU. The free spectral range (FSR), which is the wavelength difference between two adjacent zero normalized transmission points, is measured as 16 nm from  $\Delta\lambda$ . To avoid ambiguity in experiments, the spectral range available for refractive index measurements is limited to be around  $\Delta\lambda/2$  nm in wavelength shift.

With the objective of further improving in the coupler sensitivity, we fabricated a longer device with the same 5 µm waist cross-section. The coupler was fabricated with an overall length of 19.5 mm and a waist length of 2.5 mm. The sensitivity measurements were performed at the optical wavelength of 1557.7 nm, which corresponds to the point of zero normalized transmission in deionized water. The spectral and transmission response for the sensor is depicted in the Fig. 4 for sugar concentration solutions in a range between 0 and 10%. Fig. 4(a) shows the coupler spectral response for different sugar solutions, whereas the power transmission response is depicted in Fig. 4(b). At low sugar concentrations (between 0 and 1 %), the linear transmission slope is 0.442 units of normalized transmission per unit of sugar concentration (corresponding to 316 units of normalized transmission per RIU). Compared with the previous result, a significant improvement in sensitivity was obtained (×4.6). The estimated detection limit is  $3.6 \times 10^{-3}$  weight percent of sugar concentration, corresponding to a minimum detectable RI change of  $5.06 \times 10^{-6}$  RIU. If we compare this result with recently reported papers [31], [37], this experimental result can be considered within the best results reported in the framework of fiber coupler based RI sensors whose operation relies on power transmission measurements. Fig. 4(c) shows the wavelength shift of the zero normalized transmission point as a function of sugar concentration. The experimental results show an average slope of 1029 nm/RIU over the entire RI range from 1.333 to 1.349. There is an improvement of  $(\times 1.5)$ if we compare with the previous result. It should be pointed out that by increasing the sensitivity of this type of sensor, we will simultaneously reduce the FSR, as it happens in other interferometric-based sensors.

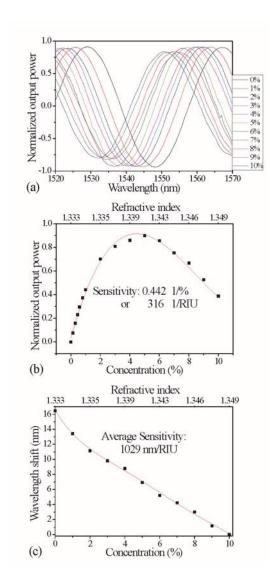


Fig. 4. Characterization of the 19.5 mm long fiber coupler as a function of sugar concentration: (a) Spectral response of the fused fiber coupler; (b) Normalized power transmission; (c) Wavelength shift of the zero normalized transmission point.

From the curve depicted in Fig. 4(c), it is clear that the device exhibits a higher sensitivity in the range from 0 to 1% of sugar concentration. Therefore, new measurements were performed within this range of sugar concentration, which correspond to RI variations ranging from 1.333 to 1.3344. As it is shown in Fig. 5, experimental results demonstrate a linear response at low concentrations with a sensitivity of 2,171 nm/RIU, being the corresponding resolution in this range  $4.4 \times 10^{-6}$  RIU.

Compared with other approaches in the literature, the sensitivity and resolution of the sensor, i. e., 2,171 nm/RIU and  $\sim 5\times10^{-6}$  RIU, are comparable to that of fiber-optic surface plasmon sensors [46]-[49], side-polished fiber-optic sensors [50], and modal interferometers based on integrated waveguides [10]. Besides this, our proposed device maintains the advantages of compensation for power fluctuations, simple structure, compact size and easy fabrication. Additionally, the combination wavelength and power measurements enables the

operation of the sensor in a large range of RI values, preserving a high resolution.

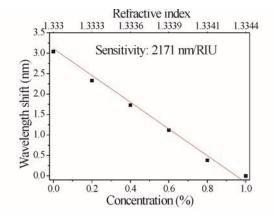


Fig. 5. Wavelength shift of zero normalized transmission point, for the 19.5-mm long fiber coupler, as a function of sugar concentration between 0 to 1 %.

In addition, our experimental results provide useful information for future optimization of fiber coupler based RI sensors. Our present results have not explored the differences between working with weakly coupled fibers, as the one depicted in the image of Fig. 1(c), and strongly fused fibers. Since the sensor response depends on the difference between the evanescent fields of the even and odd supermodes of the coupler, a fine control of the fused region will give an extra degree of freedom to improve de performance of the sensor.

## IV. CONCLUSIONS

In summary, we have investigated the optimization of fused biconical fiber couplers for the detection of small changes of RI of aqueous solutions. A significant improvement is obtained by operating the coupler in cycles well beyond their initial coupling cycles. The sensor can be operated either as amplitude-encoded or wavelength-encoded sensor, with sensitivities of 316 units of normalized transmission per RIU and 2.171 nm/RIU, and a detection limit as low as  $5\times10^{-6}$ RIU, comparable to some of the best reported values for plasmon and integrated modal interferometers based sensors. However, our proposal exhibits some interesting advantages: the possibility of combining wavelength and power measurements in order to provide large RI range with high resolution, compensation for power fluctuations and easy fabrication. Further improvements could be achieved by optimizing the fused coupling region.

### ACKNOWLEDGMENT

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