

1 **Validation of Collection 6 MODIS land surface temperature**
2 **products using in situ measurements**

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23 Abstract : Land surface temperature (LST) is an important parameter at the
24 land-atmosphere interface. The Collection 6 (C6) MODIS LST products are publicly
25 available. Three refinements were performed over bare soil surfaces in the C6 MODIS
26 LST products when compared with the Collection 5 (C5) MODIS LST products. To
27 facilitate the use of the LST products in a wide range of applications, it is necessary to
28 comprehensively evaluate the accuracies of the C6 MODIS LST products. In this
29 study, we validated the C6 MODIS LST products using the temperature-based method

30 over various land cover types, including grassland, cropland, cropland/natural
31 vegetation mosaic, Gobi, sandy dune, and desert steppe. In situ measurements were
32 collected from sites under different atmospheric and surface conditions, including six
33 SURFRAD sites in the United States, two KIT sites in Portugal and Namibia, and four
34 HiWATER sites in China. In general, the accuracies of the C6 MODIS LST products
35 at night are better than those during daytime. The daytime RMSE varies from
36 approximately 1.5 K to 5.6 K, whereas the night-time RMSE is less than 2 K at all
37 sites except for the HiWATER SSW site. Furthermore, the accuracies of the C6
38 MODIS LST products were compared with those of the C5 MODIS LST products
39 over bare soil surfaces. The C6 MODIS LST products are in excellent agreement with
40 the in situ LST measurements at the KIT Gobabeb site, with biases of 0.36 K during
41 the day and 0.24 K at night, and RMSEs of 1.5 K during daytime and 0.74 K during
42 night-time. However, there are no improvements in the accuracies of the C6 MODIS
43 LST products when compared with the C5 MODIS LST products due to further
44 overestimation of emissivities at the four HiWATER sites.

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46 Key words: Land surface temperature, MODIS, temperature-based validation method,
47 split-window algorithm, in situ measurements.

48

49 1. Introduction

50 Land surface temperature (LST) is an important climate variable, which is related
51 to surface energy and water balance. It is also a key parameter for various studies
52 including hydrology, climatology, environment, and ecology (Anderson et al., 2008;
53 Duan et al., 2014; Sandholt et al., 2002; Weng, 2009). For instance, satellite-derived
54 LST has been used in land cover and land-cover change analysis (Lambin and Ehrlich,
55 1997), in estimation and parameterization of surface fluxes (Lu et al., 2013), and in
56 drought monitoring and surface soil moisture estimation (Wan et al., 2004; Leng et al.,
57 2014). LST has been identified as an important Earth Surface Data Record (ESDR) by
58 NASA. Furthermore, LST has been accepted and defined as an Environmental
59 Climate Variable (ECV) by the Global Climate Observing System (GCOS).

60 The Moderate Resolution Imaging Spectroradiometer (MODIS) sensor is one of
61 the key instruments on the Terra and Aqua platforms of the NASA Earth Observing
62 System. MODIS can provide observational overlap and continuity in conjunction with
63 the Advanced Very High Resolution Radiometer (AVHRR) onboard the NOAA's
64 operational polar-orbiting satellites and the Visible Infrared Imaging Radiometer Suite
65 (VIIRS) onboard the Suomi National Polar-Orbiting Partnership (S-NPP) satellite.
66 Two LST retrieval algorithms were developed to generate the MODIS LST products.
67 One algorithm is the generalized split-window (GSW) algorithm (Becker and Li, 1990;
68 Wan and Dozier, 1996), which generates LST products at 1 km resolution. The other
69 algorithm is the physics-based day/night algorithm (Wan and Li, 1997), which
70 generates LST products at approximately 5 km (Collection 4, C4) and 6 km
71 (Collection 5, C5) resolution.

72 The C4 and C5 MODIS LST products were validated using the
73 temperature-based (T-based) and radiance-based (R-based) methods over various sites,
74 including bare soil, grassland, silt playa, cropland, and in-land water (Wan et al., 2002,
75 2004; Wan and Li, 2008; Wan, 2008, 2014; Coll et al., 2005, 2009). The results
76 indicate that the accuracies of the MODIS LST products are better than 1 K over most
77 sites except for bare soil sites. Three refinements were performed in the Collection 6
78 (C6) MODIS GSW LST algorithm over bare soil surfaces to improve the accuracies
79 of the MODIS LST products (Wan, 2014). The C6 MODIS LST products are publicly
80 available for the user community. Assessing the accuracies of the C6 MODIS LST
81 products will help to facilitate the use of the LST products in a wide range of
82 applications.

83 The main objective of this study is to comprehensively validate the C6 MODIS
84 LST products using in situ measurements over various land cover types, including
85 grassland, cropland, cropland/natural vegetation mosaic, Gobi, sandy dune, and desert
86 steppe. This paper is organized as follows: Sections 2 and 3 introduces the MODIS
87 LST products and in situ measurements, respectively, Section 4 describes the
88 methodologies used in this study, Section 5 and 6 presents the results and discussion
89 of the validation of the C6 MODIS LST products, and the last section provides the

90 conclusions of the study.

91

92 2. MODIS LST products

93 The MODIS level-2 LST products (MOD11_L2 and MYD11_L2) were retrieved
94 with the GSW LST algorithm from brightness temperatures in MODIS bands 31 and
95 32 (Becker and Li, 1990; Wan and Dozier, 1996). The GSW LST algorithm is written
96 as:

$$97 \quad T_s = b_0 + \left(b_1 + b_2 \frac{1-\varepsilon}{\varepsilon} + b_3 \frac{\Delta\varepsilon}{\varepsilon^2} \right) \frac{T_{31} + T_{32}}{2} + \left(b_4 + b_5 \frac{1-\varepsilon}{\varepsilon} + b_6 \frac{\Delta\varepsilon}{\varepsilon^2} \right) \frac{T_{31} - T_{32}}{2} \quad (1)$$

98 where T_s is the LST, ε and $\Delta\varepsilon$ are the mean and difference of the emissivities in bands
99 31 and 32. The regression coefficients b_k ($k=0-6$) depend on viewing zenith angle
100 (VZA), surface air temperature (T_a), and atmospheric column water vapor (CWV).
101 These coefficients were derived from regression analysis of radiative transfer
102 simulation data for LST values varying from T_a-16 K to T_a+16 K.

103 To improve the accuracies of the MODIS LST products, three refinements were
104 performed in the C6 MODIS GSW LST algorithm over bare soil surfaces (Wan, 2014).
105 First, two separate sets of coefficients were used to retrieve daytime and night-time
106 LST over bare soil surfaces in the hot and warm bare soil zone within latitude range
107 from -38° to 49.5° . In the original GSW LST algorithm, one set of coefficients was
108 used to retrieve daytime and night-time LST for each group of similar land cover
109 types. Second, the emissivity differences in MODIS bands 31 and 32 over bare soil
110 surfaces were adjusted. Third, a quadratic term of the difference between brightness
111 temperatures in bands 31 and 32 was added into the original GSW LST algorithm.
112 The refined GSW LST algorithm is expressed as:

$$113 \quad T_s = b_0 + \left(b_1 + b_2 \frac{1-\varepsilon}{\varepsilon} + b_3 \frac{\Delta\varepsilon}{\varepsilon^2} \right) \frac{T_{31} + T_{32}}{2} + \left(b_4 + b_5 \frac{1-\varepsilon}{\varepsilon} + b_6 \frac{\Delta\varepsilon}{\varepsilon^2} \right) \frac{T_{31} - T_{32}}{2} + b_7 (T_{31} - T_{32})^2 \quad (2)$$

114 The MODIS LST products were downloaded from the Reverb website
115 (<http://reverb.echo.nasa.gov/>). The MODIS Reprojection Tool (MRTSwath) was used

116 to convert the MOD11_L2 and MYD11_L2 products from the sinusoidal projection in
117 HDF format into a geographic projection in GeoTiff format. The science data set
118 layers LST, LSE, and quality control (QC) were extracted from the MOD11_L2 and
119 MYD11_L2 products. Only high-quality LST data (i.e., QC=0) were used in this
120 study.

121

122 3. In situ measurements

123 3.1. SURFRAD sites

124 The Surface Radiation Budget Network (SURFRAD) was established in 1993
125 with a primary objective of supporting climate research with accurate, continuous,
126 long-term measurements of the surface radiation budget over the United States
127 (Augustine et al., 2000). Six SURFRAD sites operated in climatologically diverse
128 regions and represented various land cover types were selected in this study. Fig. 1
129 shows the ground photographs of the six sites. Table 1 summarizes the detailed
130 information on the six sites. These sites provide quality-controlled measurements of
131 surface upwelling and downwelling longwave radiations along with other
132 meteorological parameters every 3 minutes before 2009 or every 1 minute after 2009.
133 The upwelling and downwelling longwave radiations were measured by two
134 pyrgeometers (Eppley Precision Infrared Radiometer, spectral range 3-50 μm)
135 deployed at a 10-meter high tower. The spatial representativeness of the pyrgeometer
136 measurements is approximately $70 \times 70 \text{ m}^2$ (Guillevic et al., 2014). The ground-based
137 measurements from the SURFRAD sites are good reference data sets for long-term
138 LST validation (Li et al., 2014). These measurements have been widely used to
139 evaluate satellite-derived LST products, e.g., ASTER (Wang and Liang, 2009), GOES
140 (Yu et al., 2012; Xu et al., 2014), and VIIRS (Guillevic et al., 2014; Liu et al., 2015).

141

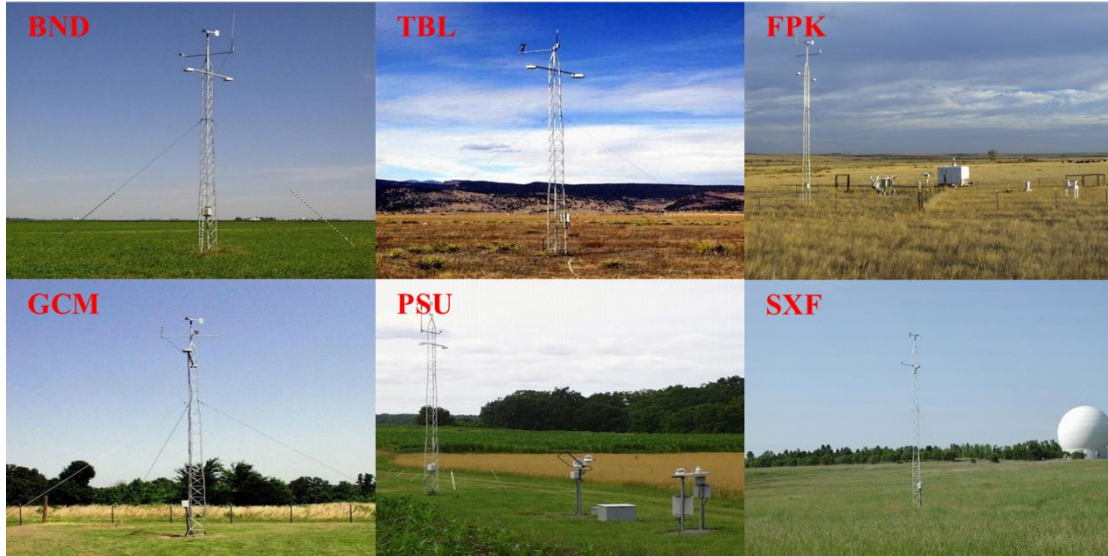


Fig. 1. Ground photographs of the six SURFRAD sites.

Table 1. Detailed information on the six SURFRAD sites.

Site *	Latitude	Longitude	Elevation	Land cover type	Time period (d/m/y)
BND	40.052° N	88.373° W	230 m	Cropland	01/01/2004-31/12/2005
TBL	40.125° N	105.237° W	1689 m	Grassland	01/01/2004-31/12/2005
FPK	48.308° N	105.102° W	634 m	Grassland	01/01/2004-31/12/2005
GCM	34.255° N	89.873° W	98 m	CNVM #	01/01/2004-31/12/2005
PSU	40.720° N	77.931° W	376 m	CNVM #	01/01/2004-31/12/2005
SXF	43.734° N	96.623° W	473 m	Cropland	01/01/2004-31/12/2005

* BND: Bondville, Illinois, TBL: Table Mountain, Boulder, Colorado, FPK: Fort Peck, Montana, GCM: Goodwin Creek, Mississippi, PSU: Penn. State Univ., Pennsylvania, and SXF: Sioux Falls, South Dakota.

CNVM: Cropland/natural vegetation mosaic.

3.2. KIT sites

To enable the continuous validation of the LST products derived from MSG/SEVIRI data over several years, Karlsruhe Institute of Technology (KIT, Germany) operates four permanent LST validation stations, i.e., Evora (Portugal), Dahra (Senegal), Gobabeb (Namibia), and RMZ Farm/Farm Heimat (Namibia). The four stations were set up over large, thermally homogeneous, and flat areas in different climate zones. In this study, the in situ LST measurements over the Evora site in Portugal and the Gobabeb site in Namibia were used to evaluate the C6 MODIS LST products. Fig. 2 shows the ground photographs of the two sites. Table 2

160 summarizes the detailed information on the two sites.

161 The Evora LST validation station is located about 12 km south-west of the town
162 of Evora in the Alentejo region, Portugal. The dominant vegetation types at the station
163 are isolated groups of evergreen oak trees and grassland (Kabsch et al., 2008, Trigo et
164 al., 2008). The climate at the station is warm temperate with hot, dry summers, annual
165 temperature averages between 15 °C and 16 °C and an average annual precipitation of
166 669 mm. The in situ measurements are collected every minute by three KT-15.85 IIP
167 infrared radiometers, observing the sunlit background, a tree crown, and the sky at 53°
168 zenith angle, which is used to estimate atmospheric downwelling radiance. The
169 KT-15.85 IIP measures thermal infrared radiance in the 9.6-11.5 μm domain and
170 obtains brightness temperatures with an absolute accuracy of ±0.3 K. Surface
171 emissivity was estimated using fixed end-member fractions (tree=32%,
172 grass/ground=68%), i.e., the temporal dependence of the cover fractions was ignored
173 (Ermida et al., 2014). The KT-15.85 IIP emissivity was set to a static value of 0.974,
174 which is a typical value for vegetation and close to the corresponding LSA SAF
175 emissivity for SEVIRI channel 9 over Evora.

176 The Gobabeb LST validation station is located on the large gravel plains (several
177 thousand km²) of the Namib Desert in Namibia, which are covered by a highly
178 homogeneous mixture of gravel, sand and sparse desiccated grass. Due to the
179 hyper-arid desert climate, the site is spatially and temporally highly stable and,
180 therefore, ideal for long-term validation of satellite products (Göttsche et al., 2013,
181 2016). The long-term average annual temperature at the Gobabeb site is 21.1 °C
182 whereas the average annual precipitation is less than 100 mm and highly variable.
183 Three KT-15.85 IIP infrared radiometers were deployed at the Gobabeb site. Two
184 radiometers with a field of view (FOV) of 8.5° are mounted next to each other at 25 m
185 height and observe an area of about 14 m² each. The other radiometer views the sky at
186 53° zenith angle. All station measurements are collected once per minute. The surface
187 emissivity of the gravel plain is considered constant and is estimated as 0.94
188 (Göttsche and Hulley, 2012).

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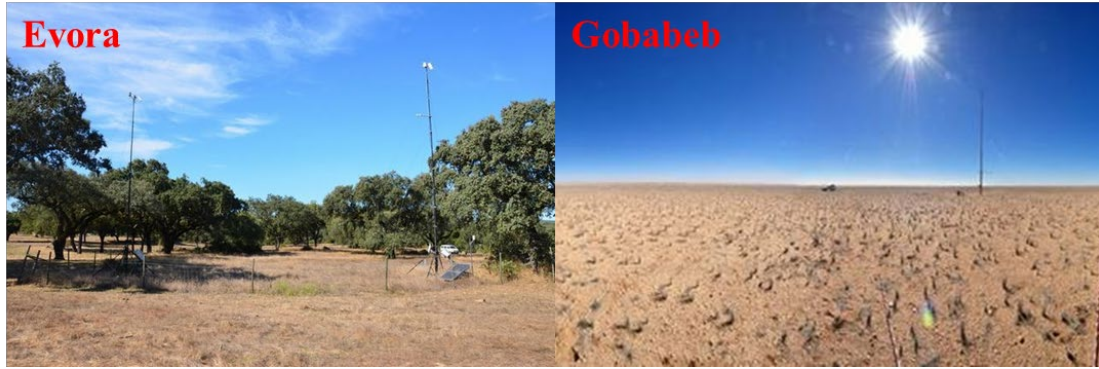


Fig. 2. Ground photographs of the two KIT sites.

Table 2. Detailed information on the two KIT sites.

Site	Latitude	Longitude	Elevation	Land cover type	Time period (d/m/y)
Evora	38.5403° N	8.00328° W	227 m	Savannas	01/01/2010-31/12/2010
Gobabeb	23.5510° S	15.0514° E	421 m	Gravel	01/01/2010-31/12/2010

3.3. HiWATER sites

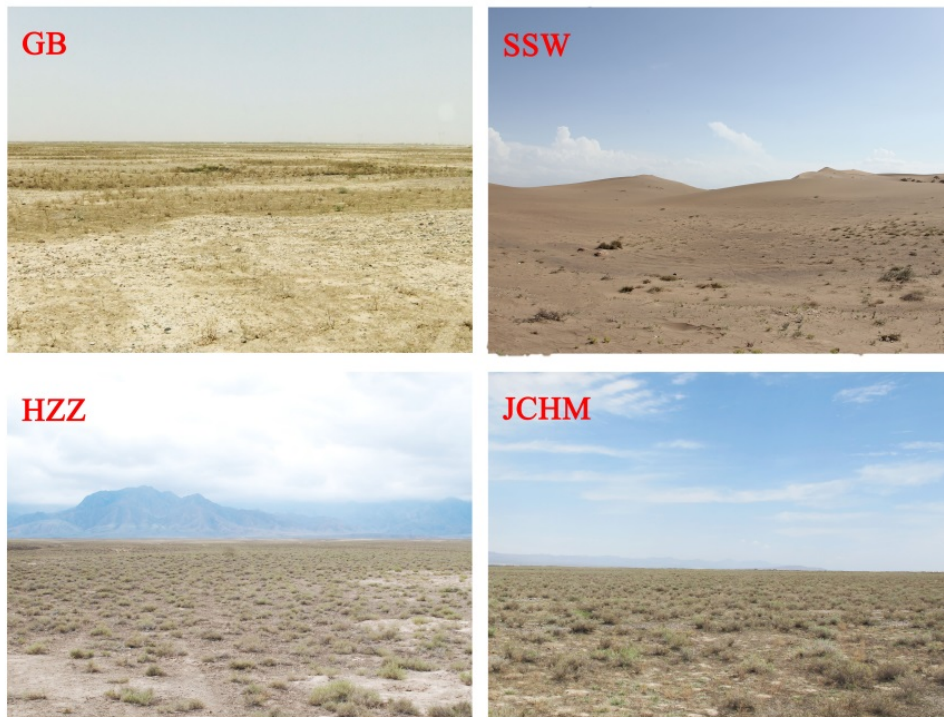
The Heihe Watershed Allied Telemetry Experimental Research (HiWATER) is a comprehensive eco-hydrological experiment taking place in the Heihe River Basin, the second largest inland river basin in the arid regions of northwest China (37.7°–42.7° N, 97.1°–102.0° E). It designed from an interdisciplinary perspective to address problems that include heterogeneity, scaling, uncertainty, and closing of the water cycle at the watershed scale (Li et al., 2013). We used the ground-based measurements at four sites over three land surface types, i.e., Gobi (GB), sand dune (SSW), and desert steppe (HZZ and JCHM). The four sites are located in large flat areas around the oasis. Fig. 3 shows the ground photographs of the four sites. Table 3 summarizes the detailed information on the four sites.

The GB site consists of small gravel, bare soil, and small *Alhagi sparsifolia*. The SSW site is more uniformly, but sparsely covered by *Alhagi sparsifolia*. The sand dune at the SSW site is approximately 10 to 20 m high and the sand is primarily composed of quartz. The HZZ and JCHM sites consist of bare soil and small *Alhagi sparsifolia*.

The GB and SSW sites are each equipped with one Kipp & Zonen CNR1 net

212 radiometer, which observes the surface at nadir from a 6 m height. The HZZ and
 213 JCHM sites are each equipped with two Apogee SI-111 infrared radiometers. One
 214 radiometer observes the surface at nadir from a 4 m height with a footprint of 8 m² at
 215 the JCHM site or a 2.65 m height with a footprint of 3.6 m² at the HZZ site. The other
 216 radiometer views the sky at an effective angle of approximately 55° from zenith to
 217 measure the atmospheric downwelling radiance. The SI-111 infrared radiometers and
 218 CNR1 net radiometers are collected once per minute. Surface emissivity at the HZZ
 219 and JCHM sites were measured using the ABB BOMEM MR304 spectroradiometers.
 220 A constant emissivity value of 0.97 was obtained for the HZZ and JCHM sites. More
 221 detailed information on the four sites can be found in Li et al. (2014).

222



223

224

Fig. 3. Ground photographs of the four HiWATER sites.

225

226

Table 3. Detailed information on the four HiWATER sites.

Site	Latitude	Longitude	Elevation	Land cover type	Time period (d/m/y)
GB	38.9150° N	100.3042° E	1567 m	Gobi	01/07/2012-30/06/2016
SSW	38.7892° N	100.4933° E	1555 m	Sand dune	01/07/2012-30/06/2016
HZZ	38.7652° N	100.3186° E	1735 m	Desert steppe	01/07/2012-30/06/2016
JCHM	38.7781° N	100.6967° E	1625 m	Desert steppe	01/07/2012-30/06/2016

227 4. Methodologies

228 4.1. In situ LST estimation

229 For the pyrgeometers at the SURFRAD sites and the CNR1 net radiometers at the
230 HiWATER sites, the in situ LST measurements were estimated from the upwelling
231 and downwelling longwave radiations using the Stefan-Boltzmann law:

$$232 \quad T_s = \left[\frac{F^\uparrow - (1 - \varepsilon_b) F^\downarrow}{\sigma \varepsilon_b} \right]^{1/4} \quad (3)$$

233 where T_s is the LST, F^\uparrow is the surface upwelling longwave radiation, F^\downarrow is the
234 atmospheric downwelling longwave radiation, σ is the Stefan-Boltzmann constant
235 ($5.67 \times 10^{-8} \text{ W m}^{-2} \text{ K}^{-4}$), ε_b is the surface broadband emissivity, which was estimated
236 from a spectral-to-broadband linear regression equation (Wang et al., 2005):

$$237 \quad \varepsilon_b = 0.2122\varepsilon_{29} + 0.3859\varepsilon_{31} + 0.4029\varepsilon_{32} \quad (4)$$

238 where ε_{29} , ε_{31} , and ε_{32} are the surface narrow-band emissivities of MODIS bands 29
239 (8.3 μm), 31 (10.8 μm), and 32 (12.1 μm), respectively.

240 For the KT-15.85 IIP infrared radiometers at the KIT sites and the SI-111 infrared
241 radiometers at the HiWATER sites, the in situ LST measurements were estimated the
242 radiance emitted by the surface and the downwelling radiance by the atmosphere:

$$243 \quad T_s = B^{-1} \left[\frac{R - (1 - \varepsilon) L_{atm}^\downarrow}{\varepsilon} \right] \quad (5)$$

244 where B is the Planck function convolved with the spectral response function of the
245 infrared radiometer, R is the radiance emitted by the surface, which is obtained from
246 the measurements of the infrared radiometer, ε is the surface emissivity for the
247 radiometer spectral channel, and L_{atm}^\downarrow is the downwelling radiance convolved with
248 the spectral response function of the infrared radiometer. L_{atm}^\downarrow is equivalent to the
249 radiance measured by a radiometer that views the sky at an effective angle of
250 approximately 53° from zenith.

251

252 4.2. Temperature-based validation

253 The temperature-based validation method involves a direct comparison of

254 ground-based LST measurements and satellite-derived LST products (Coll et al., 2005;
255 Wang et al., 2008; Wang & Liang, 2009; Guillevic et al., 2012, 2014; Göttsche et al.,
256 2013; Ermida et al., 2014; Li et al., 2014; Krishnan et al., 2015). It allows determining
257 the uncertainties in satellite-derived LST products. In this study, the in situ LST
258 measurements estimated from the radiometers were used to evaluate the accuracies of
259 the C6 MODIS LST products (MOD11_L2 and MYD11_L2).

260 The LST values of the MOD11_L2 and MYD11_L2 products were extracted for
261 the pixel closest to each site in terms of longitude and latitude information. To
262 minimize the effect of cloud contamination on validation results, only the LST values
263 with high-quality data (i.e., QC=0) were used for evaluation. The MODIS LST was
264 matched with the in situ LST using the satellite observation time.

265

266 4.3. Robust outlier removal

267 To obtain robust statistics of LST validation, it is necessary to remove outliers
268 due to cloud contamination. A popular method for outlier detection is the “3 σ -edit
269 rule”. It assumes that a data sequence is approximately normally distributed and a
270 point further than three standard deviations from the mean is regarded as an outlier
271 (Pearson, 2002). The probability that a point is wrongly removed as an outlier is
272 approximately 0.3%. However, the “3 σ -edit rule” usually fails in practice because
273 outliers lead to biased estimates of the mean and standard deviation. A robust method
274 for outlier detection is the “3 σ -Hampel identifier” (Davies and Gather, 1993). In this
275 method, the mean is replaced by the median, whereas the standard deviation is
276 estimated as:

$$277 \quad S = 1.4826 \times \text{median} \{ |x_i - x_m| \} \quad (6)$$

278 where x_m is the median of the data sequence $\{x_i\}$, S is the standard deviation of the
279 data sequence $\{x_i\}$. The constant 1.4826 is chosen to obtain an unbiased estimate of
280 standard deviation for Gaussian data (Pearson, 2002).

281 In this study, the data sequence $\{x_i\}$ is the differences between the MODIS LST
282 and the in situ LST. The match-up data points with the LST differences less than

283 $x_m - 3S$ or larger than $x_m + 3S$ are regarded as outliers. The “ 3σ -Hampel identifier” only
284 removes a relatively small fraction of outliers (mainly undetected clouds), typically
285 less than 10% (Göttsche et al., 2013).

286

287 5. Results and analyses

288 5.1. Results for the SURFRAD sites

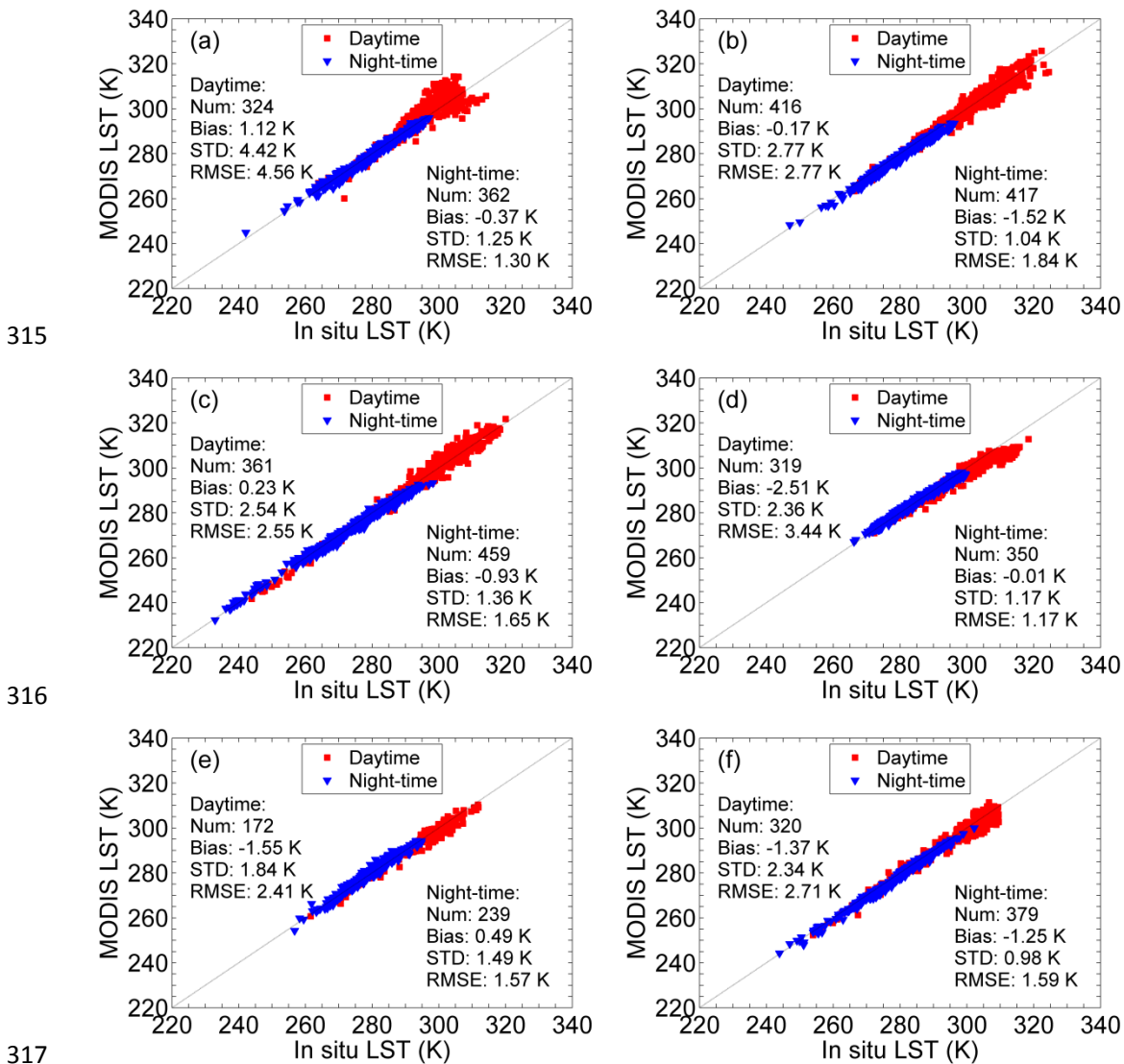
289 Fig. 4 shows the scatterplots between the C6 MODIS LST and the in situ LST
290 during daytime and night-time at the BND, TBL, FPK, GCM, PSU, and SXF sites.
291 The bias, STD, and RMSE of the differences ($LST_{MODIS} - LST_{in_situ}$) between the C6
292 MODIS LST and the in situ LST are summarized in Fig. 4.

293 The C6 MODIS LST during daytime is lower than the in situ LST over all sites,
294 except for the BND and FPK sites. The smallest absolute bias (approximately 0.2 K)
295 is obtained for the TBL site, whereas the largest absolute bias (approximately 2.5 K)
296 is achieved for the GCM site. The largest STD and RMSE (approximately 4.4 K and
297 4.6 K) are obtained for the BND site, whereas the smallest STD and RMSE
298 (approximately 1.8 K and 2.4 K) are achieved for the PSU site. The largest STD at the
299 BND site indicates that this site is more heterogeneous and exhibits a higher spatial
300 variability of LST. This is consistent with the results reported by Guillevic et al. (2014)
301 and Wang and Liang (2009).

302 The C6 MODIS LST at night is lower than the in situ LST over all sites, except
303 for the PSU site. The bias varies from approximately -1.5 K for the TBL site to
304 approximately 0.5 K for the PSU site. The STD for all sites is less than 1.5 K, and the
305 RMSE is less than 1.9 K. The statistics during night-time are less than those during
306 daytime, especially in terms of STD and RMSE. The daytime STD is greater than 1.9
307 K for all sites, whereas the night-time STD is less than 1.6 K. Larger daytime STD
308 could be caused by the spatial variability of LST, which is usually more significant
309 during daytime than night-time due to the effects of structural shading, evaporative
310 cooling, and surface-air temperature differences. Therefore, the in situ LST
311 measurements during night-time are more representative of the LST at the satellite
312 pixel scale. This is the reason why Wang et al. (2008) only used ground-based LST

313 measurements during the night to validate the MODIS LST products.

314



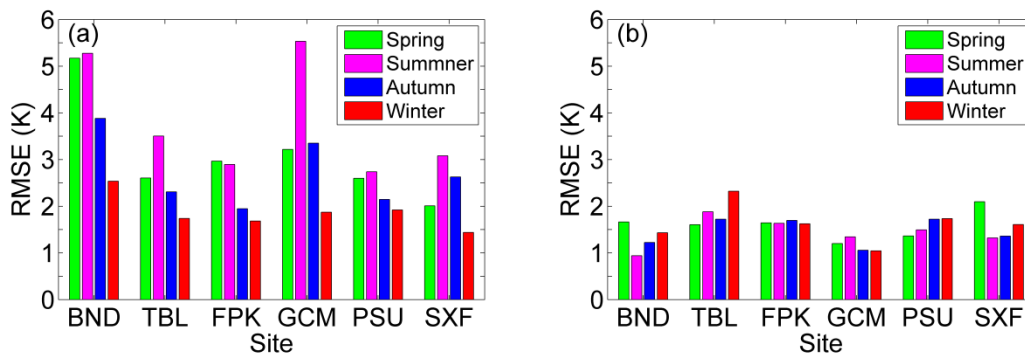
318 Fig. 4. Scatterplots between the C6 MODIS LST and the in situ LST during daytime
319 and night-time at the six SURFRAD sites, i.e., (a) BND, (b) TBL, (c) FPK, (d) GCM,
320 (e) PSU, and (f) SXF.

321

322 To investigate the influences of seasonal variations on LST validation results, we
323 divided all LSTs at each site into four groups in terms of four seasons. Fig. 5 shows
324 the RMSE of the differences ($LST_{MODIS} - LST_{in_situ}$) between the C6 MODIS LST and
325 the in situ LST during daytime and night-time in four seasons at the six sites. Strong
326 seasonal variations of the RMSE can be found during daytime. The largest RMSE is
327 obtained in summer, whereas the smallest RMSE is achieved in winter at all sites.

328 Relatively larger RMSE can be found in four seasons at the BND site. The reason is
 329 because this site is more heterogeneous and exhibits a higher spatial variability of
 330 LST. Larger RMSE in spring at the BND site could be attributed to cloud
 331 contamination over snow/ice surfaces (Liu et al., 2015). The seasonal variations are
 332 also reported in MODIS and VIIRS LST validation at the SURFRAD sites (Li et al.,
 333 2014; Liu et al., 2015). However, there are no significant seasonal variations of the
 334 RMSE during night-time. The RMSE during night-time is less than approximately 2
 335 K in four seasons at all sites. The better night-time performance is expected because
 336 the thermal heterogeneity is usually higher during daytime and the atmospheric CWV
 337 is lower and the land surface behaves almost homogeneously at night.

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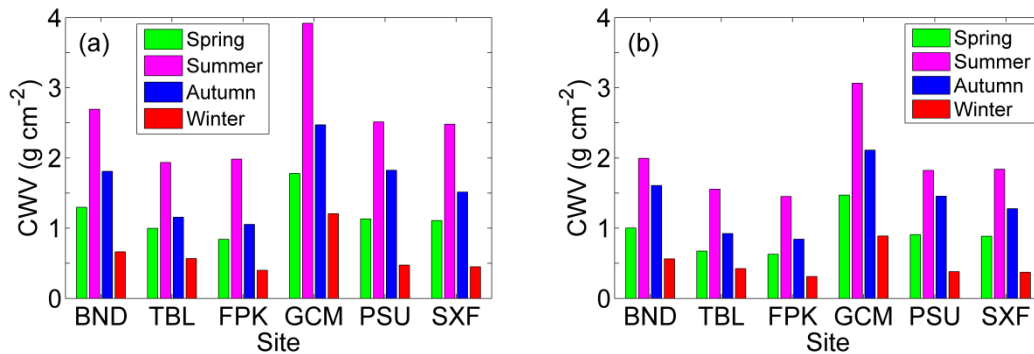
340 Fig. 5. Bar plots of the RMSE of the differences ($LST_{MODIS} - LST_{in_situ}$) between the C6
 341 MODIS LST and the in situ LST during (a) daytime and (b) night-time in spring,
 342 summer, autumn, and winter at the six SURFRAD sites.

343

344 Atmospheric water vapor absorption is one of the most relevant error sources in
 345 LST retrieval. To further analyze the effects of atmospheric water vapor on LST
 346 validation results, we divided all atmospheric CWV at each site into four groups in
 347 terms of four seasons. Fig. 6 displays atmospheric CWV derived from the C6 MODIS
 348 atmospheric profile products (MOD07_L2 and MYD07_L2) during daytime and
 349 night-time in four seasons at the six sites. Similar pattern of seasonal variations of
 350 atmospheric CWV can be found during daytime and night-time. The highest CWV is
 351 obtained in summer, whereas the lowest CWV is achieved in winter. However, the
 352 atmospheric CWV during night-time is lower than the corresponding value during

353 daytime in four seasons at all sites. Compared Fig. 5a with Fig. 6a, the seasonal
 354 variations of the RMSE of the LST discrepancies during daytime could be attributed
 355 to the seasonal variations of the atmospheric CWV. Larger RMSE of the daytime LST
 356 discrepancies occur in summer under warm and humid atmospheric conditions.
 357 Moreover, smaller RMSE of the night-time LST discrepancies in four seasons could
 358 be due to lower LST and atmospheric CWV at night.

359



360

361 Fig. 6. Bar plots of atmospheric CWV derived from the C6 MODIS atmospheric
 362 profile products (MOD07_L2 and MYD07_L2) during (a) daytime and (b) night-time
 363 in spring, summer, autumn, and winter at the six SURFRAD sites.

364

365 5.2. Results for the KIT sites

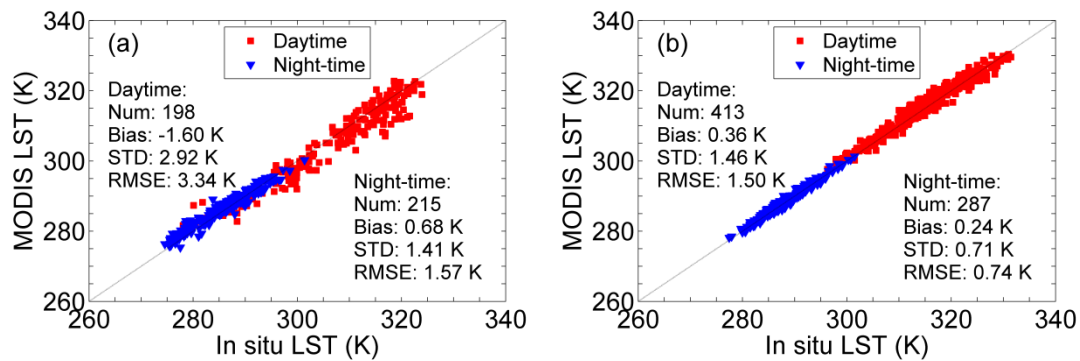
366 Fig. 7 displays the comparison of the C6 MODIS LST and the in situ LST during
 367 daytime and night-time at the Evora and Gobabeb sites. The bias, STD, and RMSE of
 368 the differences ($LST_{MODIS} - LST_{in_situ}$) between the C6 MODIS LST and the in situ LST
 369 are shown in Fig. 7.

370 For the results at the Evora site, the C6 MODIS LST is lower than the in situ LST
 371 during the day, with a bias of approximately -1.6 K, whereas the situation is reversed
 372 at night, with a bias of approximately 0.7 K. The daytime STD and RMSE
 373 (approximately 3 K) are approximately twice larger than those (approximately 1.5 K)
 374 at night. More dispersion of the LST discrepancies during daytime is due to higher
 375 contrast between shaded and sunlit background LST. During night-time, the LST
 376 contrast between surface elements, i.e., canopy and background, is very small.
 377 Because of their lower dependency on differential heating/cooling (induced by the

378 existence of shaded and sunlit areas), night-time data are the most reliable for
379 validating satellite-derived LST products (Trigo et al., 2008).

380 For the results at the Gobabeb site, the C6 MODIS LST is slightly higher than the
381 in situ LST during daytime and night-time, with a bias of approximately 0.3 K. The
382 daytime STD and RMSE (approximately 1.5 K) are approximately twice larger than
383 those (approximately 0.7 K) at night. The results indicate that the C6 MODIS LST is
384 in excellent agreement with the in situ LST at the Gobabeb site, especially at night
385 with accuracy better than 0.8 K.

386



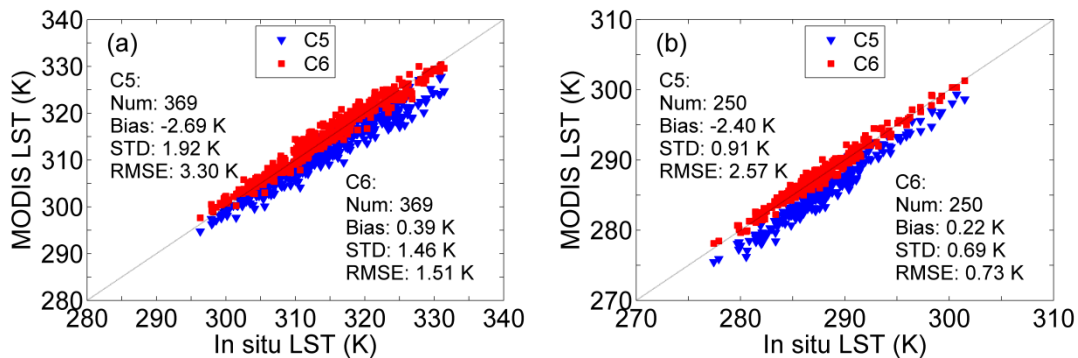
387

388 Fig. 7. Scatterplots between the C6 MODIS LST and the in situ LST during daytime
389 and night-time at the two KIT sites, (a) Evora and (b) Gobabeb.

390

391 As mentioned in Section 2, three refinements of the GSW LST algorithm were
392 performed in the C6 MODIS LST products over bare soil surfaces. The Gobabeb site
393 is located on large gravel plains (several thousand km²), which are covered by a
394 highly homogeneous mixture of gravel, sand, and sparse desiccated grass. To
395 investigate whether the C6 MODIS LST products have better performance over bare
396 soil surfaces, we compared the C5 and C6 MODIS LST products at the Gobabeb site.
397 The comparison results are shown in Fig. 8. The accuracies of the C6 MODIS LST
398 products are much better than those of the C5 MODIS LST products. Two reasons can
399 explain the better performance of the C6 MODIS LST products. One reason is that
400 two separate sets of coefficients were used in the C6 GSW algorithm for daytime and
401 night-time LST retrievals over bare soil surfaces. The two sets of GSW coefficients
402 accounts for a wider range of atmospheric and LST conditions over bare soil surfaces.

403 The other reason could be attributed to the application of the emissivity adjustment
 404 model over bare soil surfaces. The C5 MODIS emissivities in bands 31 and 32 are
 405 nearly a constant ($\epsilon_{31}=0.964$ and $\epsilon_{32}=0.972$) throughout the whole year at the Gobabeb
 406 site. Similar results are obtained for the C6 MODIS emissivities in bands 31 and 32
 407 ($\epsilon_{31}=0.962$ and $\epsilon_{32}=0.976$). The mean of the emissivities in bands 31 and 32 for C5
 408 and C6 is nearly equal. However, the difference of the emissivities in bands 31 and 32
 409 changes from 0.008 for C5 to 0.014 for C6. Wan (2014) pointed out that the GSW
 410 algorithm is more sensitive to the change in the difference of the emissivities in bands
 411 31 and 32 than that in their mean.
 412



413
 414 Fig. 8. Scatterplots between the C5 (C6) MODIS LST and the in situ LST during (a)
 415 daytime and (b) night-time at the Gobabeb site. Each pair of the corresponding C5
 416 and C6 pixels used for LST validation is the same pixel. The number of pixels is
 417 slightly less than that shown in Fig. 7b due to different version of QC data.

418

419 5.3. Results for the HiWATER sites

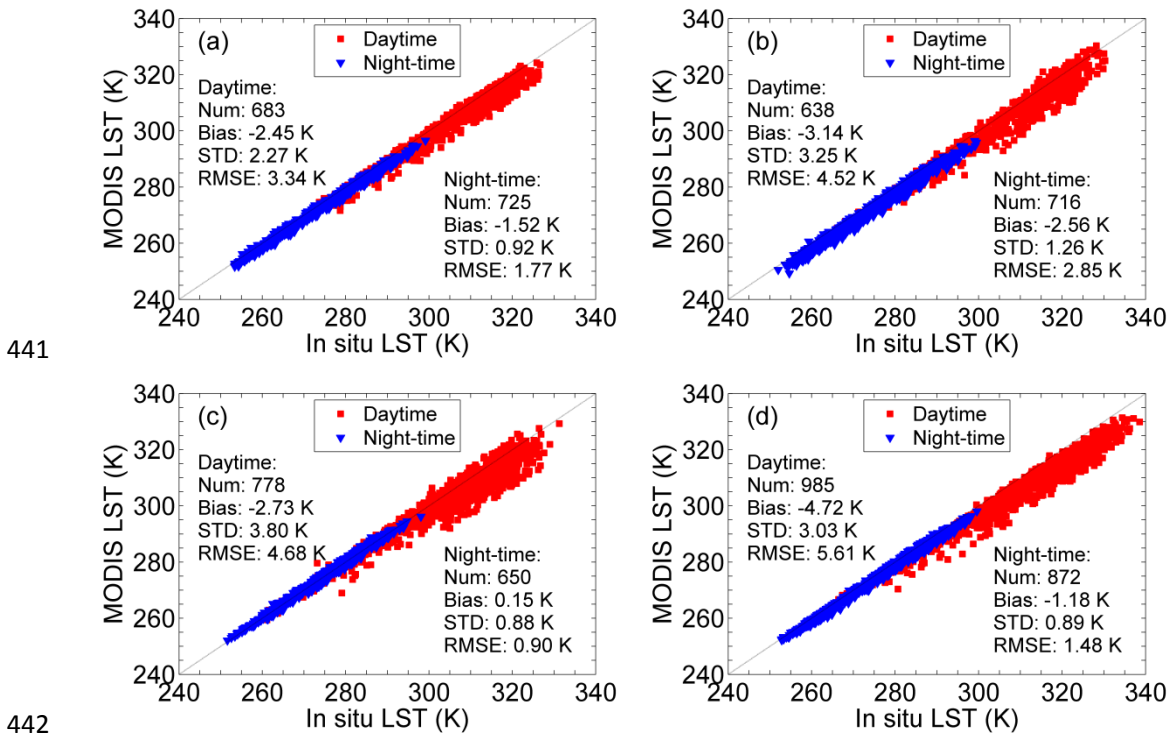
420 Fig. 9 shows the scatterplots of the C6 MODIS LST versus the in situ LST during
 421 daytime and night-time at the GB, SSW, HZZ, and JCHM sites. The bias, STD, and
 422 RMSE of the differences ($LST_{MODIS}-LST_{in_situ}$) between the C6 MODIS LST and the
 423 in situ LST are summarized in Fig. 9.

424 The C6 MODIS LST during the day is lower than the in situ LST at all sites, with
 425 the bias varying from approximately -2.5 K for the GB site to approximately -4.7 K
 426 for the JCHM site. Larger STD and RMSE during daytime are obtained at the four
 427 sites, with $STD > 2.2$ K and $RMSE > 3.3$ K. These results indicate that the C6

428 MODIS LST products underestimate the daytime LST at the four sites due to an
 429 overestimation of surface emissivities.

430 The C6 MODIS LST at night is lower than the in situ LST at all sites except for
 431 the HZZ site. The absolute bias varies from approximately 0.2 K for the HZZ site to
 432 approximately 2.6 K for the SSW site. Except for the SSW site with a STD of
 433 approximately 1.3 K, the STD at the other sites less than 1 K. The results indicate that
 434 there are relatively large spatial variations in LST at the SSW site, which is consistent
 435 with the results reported by Li et al. (2014). Compared with the daytime results, the
 436 night-time results have better accuracies. This is because the atmospheric CWV is
 437 lower and the land surface behaves almost homogeneously at night. Therefore, the in
 438 situ LST during night-time is more representative of satellite-derived LST than that
 439 during daytime.

440



441

442

443 Fig. 9. Scatterplots between the C6 MODIS LST and the in situ LST during daytime
 444 and night-time at the four HiWATER sites, i.e., (a) GB, (b) SSW, (c) HZZ, and (d)
 445 JCHM.

446

447 The four HiWATER sites are located over bare soil surfaces. To evaluate the

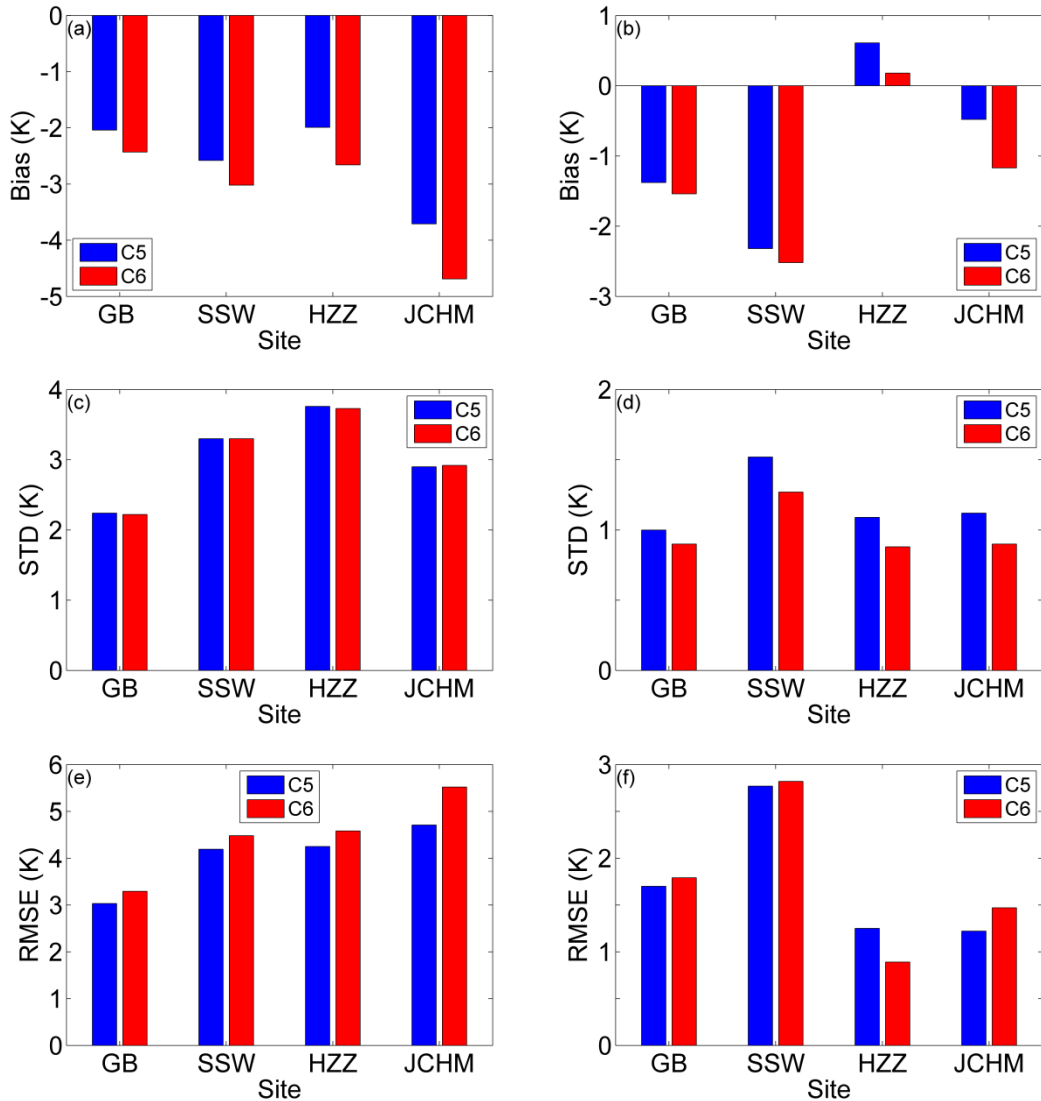
448 performance of the C6 MODIS LST products over bare soil surfaces, we compared
 449 the C5 and C6 MODIS LST products at the four sites. Fig. 10 displays the bias, STD,
 450 and RMSE of the differences ($LST_{MODIS}-LST_{in_situ}$) between the C5 (C6) MODIS LST
 451 and the in situ LST during daytime and night-time at the four sites. There are no
 452 improvements in the accuracies of the C6 MODIS LST products when compared with
 453 the C5 MODIS LST products. The accuracies of the C6 MODIS LST products are
 454 even slightly lower than those of the C5 MODIS LST products in terms of the RMSE.
 455 To analyze the reasons for the worse performance of the C6 MODIS LST products,
 456 we calculated the mean and STD of the C5 (C6) MODIS emissivities in bands 31 and
 457 32 at the four sites. The results are summarized in Table 4. For comparison, the mean
 458 and STD of the ASTER emissivity in band 14 given by Li et al. (2014) are also shown
 459 in Table 4. Compared with the C5 MODIS emissivities, the emissivity adjustment
 460 model leads to the increase in both of the C6 MODIS emissivities in bands 31 and 32
 461 at the four sites. The further overestimation of surface emissivities leads to the more
 462 underestimation of LST. The C5 MODIS emissivity in band 31 (11.03 μm) is closer to
 463 the ASTER emissivity in band 14 (11.3 μm) than the C6 MODIS emissivity is. These
 464 results indicate that the improvements in the C6 MODIS LST algorithm do not take
 465 effect at the four sites.

466

467 Table 4. Mean and STD of the C5 (C6) MODIS emissivities in bands 31 and 32 at the
 468 four HiWATER sites. For comparison, the mean and STD of the ASTER emissivity in
 469 band 14 are also shown.

Site	MODIS C5		MODIS C6		ASTER *
	ϵ_{31} (11.03 μm)	ϵ_{32} (12.02 μm)	ϵ_{31} (11.03 μm)	ϵ_{32} (12.02 μm)	ϵ_{14} (11.3 μm)
GB	0.971 ± 0.001	0.976 ± 0.001	0.978 ± 0.005	0.982 ± 0.004	0.965 ± 0.002
SSW	0.967 ± 0.002	0.973 ± 0.002	0.973 ± 0.004	0.978 ± 0.003	0.958 ± 0.001
HZZ	0.975 ± 0.003	0.979 ± 0.003	0.984 ± 0.001	0.988 ± 0.001	0.973 ± 0.003
JCHM	0.969 ± 0.002	0.974 ± 0.001	0.984 ± 0.001	0.988 ± 0.001	0.973 ± 0.002

470 * Source: Li et al. (2014).



471

472

473

474 Fig. 10. Bar plots of the bias, STD, and RMSE of the differences ($LST_{MODIS} - LST_{in_situ}$)
 475 between the C5 (C6) MODIS LST and the in situ LST during (a) daytime and (b)
 476 night-time at the four HiWATER sites.

477

478 6. Discussion

479 6.1. Issues of the MODIS C6 LST products

480 Three refinements were implemented in the C6 MODIS LST products over bare
 481 soil surfaces, but some issues are still found in the C6 MODIS LST products. In
 482 addition to the effects of sensor calibration, geolocation errors, and cloud masking,
 483 atmospheric water vapor absorption and surface emissivity uncertainty are two most
 484 relevant error sources in LST retrieval.

485 Over the past few decades, great efforts have been made to improve the

486 accuracies of satellite-derived LST products from thermal infrared data, e.g., NOAA,
487 MODIS, VIIRS, and ASTER. A comprehensive review of TIR LST retrieval
488 algorithms were presented by Li et al. (2013a). Accurate characterization of
489 atmospheric effects in these LST retrieval algorithms under warm and humid
490 conditions is still a challenging task. As shown in Fig. 5a, the C6 MODIS LST
491 products have larger RMSE of the LST discrepancies in summer when both of
492 atmospheric CWV and LST are high. In previous studies, inaccurate characterizations
493 of atmospheric effects under warm and humid conditions were also observed in the
494 VIIRS (Guillevic et al., 2014) and ASTER (Gillespie et al., 2011) LST products.

495 The MODIS GSW LST algorithm used the classification-based emissivity
496 method to correct the effects of surface emissivity. The key point of this method is to
497 properly classify the land surface and then to assign the emissivity from
498 classification-based look-up tables (Snyder et al., 1998; Li et al., 2013b). The
499 classification-based emissivity method could result in surface emissivity uncertainty
500 in two aspects. One aspect is that the accuracy of emissivity estimation depends on
501 the accuracy of the land cover type product. Misclassifications in the land cover type
502 product would lead to emissivity errors. The other aspect is that emissivities used in
503 the MODIS GSW LST algorithm were derived from fixed values depending on a
504 limited number of land cover types and do not fully encompass the natural variation in
505 emissivity, especially over bare soil surfaces. The incorporation of a dynamic
506 emissivity product generated by the temperature and emissivity separation (TES)
507 algorithm (Hulley and Hook, 2011) into the GSW LST algorithm would be a way to
508 further improve the accuracies of the MODIS LST products, especially over bare soil
509 surfaces.

510 The emissivity adjustment model was used in the C6 MODIS GSW LST
511 algorithm to adjust emissivity over bare soil surfaces (Wan, 2014). This model is
512 useful to reduce the C6 MODIS LST errors. As shown in Fig. 8, the accuracies of the
513 C6 MODIS LST products (RMSE of approximately 1.5 K during daytime and
514 approximately 0.7 K during night-time) are much better than those of the C5 MODIS
515 LST products (RMSE of approximately 3.3 K during daytime and approximately 2.6

516 K during night-time) at the Gobabeb site. However, the emissivity adjustment model
517 could also lead to further overestimation or underestimation of emissivities at some
518 sites. As shown in Fig. 10 and Table 4, the further overestimation of emissivities leads
519 to the more underestimation of the C6 MODIS LST products at the four HiWATER
520 sites, when compared with the C5 MODIS LST products. Furthermore, Wan (2014)
521 reported that the emissivity adjustment model leads to the further underestimation of
522 emissivities at the Farafra site. The mean error of LST at the Farafra site increases
523 from 1.01 K for the C5 MODIS LST products to 1.87 K for the C6 MODIS LST
524 products.

525

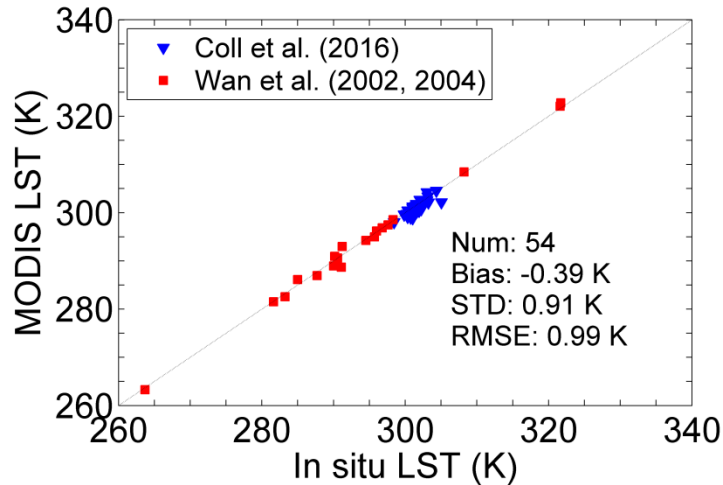
526 6.2. Challenges of temperature-based validation

527 As pointed out by Yu et al. (2012), there are many challenges in the
528 temperature-based validation of satellite-derived LST products. Because of large
529 spatial variations in LST, in situ LST measurements are difficult to represent LST
530 measurements at the satellite pixel scale, especially during daytime. As shown in
531 Section 5, large uncertainties in LST can be found during daytime, with RMSE value
532 up to 5.6 K at the JCHM site. Because atmospheric water vapor is less and the land
533 surface behaves more homogeneously at night, in situ LST measurements during
534 night-time are more representative of LST at the satellite pixel scale. Therefore, Wang
535 et al. (2008) only used ground-based LST measurements during the night to validate
536 the MODIS LST products.

537 To obtain high quality data from ground-based LST measurements to validate
538 satellite-derived LST products, previous studies conducted field campaigns only over
539 large homogeneous sites, such as lake, snow, grassland, silt playa, and cropland fields
540 (Wan et al., 2002, 2004; Coll et al., 2005, 2009). Fig. 11 shows the scatterplots
541 between the C6 MODIS LST and the in situ LST at the sites of Wan et al. (2002, 2004)
542 and Coll et al. (2016). The RMSE of the LST discrepancies is less than 1 K. The
543 results indicate that the temperate-based validation can provide suitable validation
544 results for well-defined and dedicated sites. Therefore, quantitative assessment of
545 satellite-derived LST products requires dedicated and high quality in situ LST

546 measurements over sites that are homogeneous at the satellite pixel scale. Moreover, it
547 is necessary to use multiple TIR radiometers with high accuracy at multiple points
548 within a satellite pixel to well characterize the validate site.

549

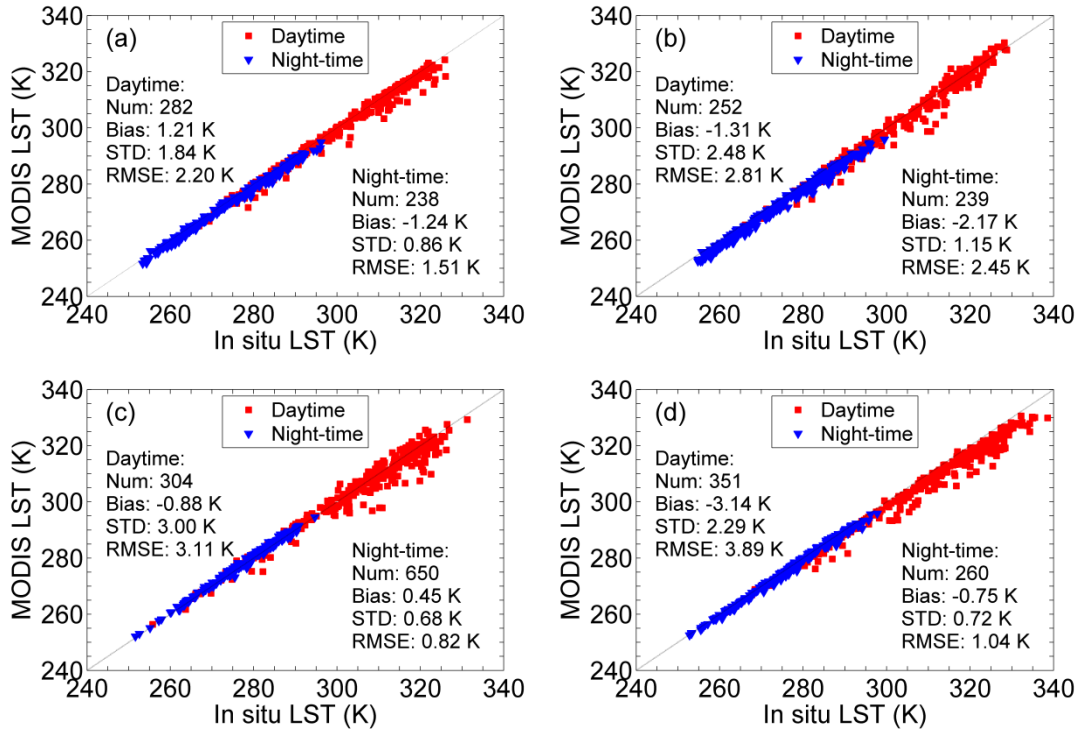


550

551 Fig. 11. Scatterplots between the C6 MODIS LST and the in situ LST at the sites of
552 Wan et al. (2002, 2004) and Coll et al. (2016).

553

554 TIR field radiometers always collect ground-based measurements at nadir,
555 whereas satellite sensors with wide field-of-view, e.g., MODIS, AVHRR, and VIIRS,
556 collect most observations off-nadir. Such observation difference could be a possible
557 reason resulting in the discrepancies between in situ LST and satellite-derived LST.
558 On the one hand, larger VZA results in longer atmospheric optical length. Significant
559 decrease of atmospheric transmittance with increase of atmospheric water vapor
560 introduces significant errors in the GSW algorithm when LST is high. On the other
561 hand, larger VZA leads to larger discrepancies of observed targets and their areas
562 between TIR field radiometers and satellite sensors, especially over spatially
563 heterogeneous validation sites. To investigate the effects of the observation difference,
564 we compared the C6 MODIS LST with VZA less than 30° with the situ LST during
565 daytime and night-time at the four HiWATER sites. The results are shown in Fig. 12.
566 Compared with the results in Figs. 9 and 12, the bias, STD, and RMSE with VZA less
567 than 30° are better than those with all VZA, especially during daytime.



568

569

570 Fig. 12. Scatterplots between the C6 MODIS LST with VZA less than 30° and the in
 571 situ LST during daytime and night-time at the four HiWATER sites, i.e., (a) GB, (b)
 572 SSW, (c) HZZ, and (d) JCHM.

573

574 7. Conclusions

575 We validated the C6 MODIS LST products using in situ measurements collected
 576 from sites under different atmospheric and surface conditions, including six
 577 SURFRAD sites in the United States, two KIT sites in Portugal and Namibia, and four
 578 HiWATER sites in China.

579 The average bias and RMSE during daytime at the six SURFRAD sites are -0.71
 580 K and 3.07 K, respectively, whereas those at night are -0.6 K and 1.52 K, respectively.
 581 Significant seasonal variations of the RMSE can be found during daytime. The largest
 582 RMSE is obtained in summer, whereas the smallest RMSE is achieved in winter at the
 583 six SURFRAD sites. Inaccurate characterizations of atmospheric effects under warm
 584 and humid conditions are observed in summer when both of atmospheric CWV and
 585 LST are high. There are no significant seasonal variations of the RMSE at night. The
 586 night-time RMSE is less than approximately 2 K in four seasons at the six SURFRAD
 587 sites.

588 Compared with the C5 MODIS LST products, the C6 MODIS LST products are
589 in excellent agreement with the in situ LST measurements at the Gobabeb site, with
590 biases of 0.36 K during the day and 0.24 K at night, and RMSEs of 1.5 K during
591 daytime and 0.74 K during night-time. The results show that the emissivity
592 adjustment model incorporated into the C6 MODIS GSW LST algorithm is useful to
593 reduce the C6 MODIS LST errors over bare soil surfaces. However, the emissivity
594 adjustment model leads to further overestimation of emissivities at the four
595 HiWATER sites. There are no improvements in the accuracies of the C6 MODIS LST
596 products when compared with the C5 MODIS LST products. The RMSEs of the C6
597 MODIS LST products are even slightly larger than those of the C5 MODIS LST
598 products. The results indicated that the classification-based emissivity method cannot
599 well characterize the spectral variation in emissivity over bare soil surfaces. The
600 incorporation of a dynamic LSE product generated by the TES algorithm into the
601 GSW LST algorithm would be a way to further improve the accuracies of the MODIS
602 LST products.

603 The accuracies of the C6 MODIS LST products at night are better than those
604 during daytime. The daytime RMSE varies from approximately 1.5 K at the Gobabeb
605 site to approximately 5.6 K at the JCHM site, whereas the night-time RMSE is less
606 than 2 K at all sites except for the SSW site. The results indicate that night-time data
607 are the most reliable for the validation of satellite-derived LST products due to lower
608 atmospheric water vapor and more homogeneous of the land surface. To
609 comprehensively evaluate the accuracies of satellite-derived LST products, the
610 radiance-based validation method can be used to validate daytime LST products over
611 a more diverse set of conditions on a global scale.

612

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