

# BIO BRAGG GRATINGS ON MICROFIBERS FOR LABEL-FREE BIOSENSING

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**Abstract:** Discovering nanoscale phenomena to sense biorecognition events introduces new perspectives to exploit nanoscience and nanotechnology for bioanalytical purposes. Here we present Bio Bragg Gratings (BBGs), a novel biosensing approach that consists of diffractive structures of protein bioreceptors patterned on the surface of optical waveguides, and tailored to transduce the magnitude of biorecognition assays into the intensity of single peaks in the reflection spectrum. This work addresses the design, fabrication, and optimization of this system by both theoretical and experimental studies to explore the fundamental physicochemical parameters involved. Functional biomolecular gratings are fabricated by

27 microcontact printing on the surface of tapered optical microfibers, and their  
28 structural features were characterized. The transduction principle is experimentally  
29 demonstrated, and its quantitative bioanalytical prospects are assessed in a  
30 representative immunoassay, based on patterned protein probes and selective IgG  
31 targets, in label-free conditions. This biosensing system involves appealing  
32 perspectives to avoid unwanted signal contributions from non-specific binding, herein  
33 investigated in human serum samples. The work also proves how the optical response  
34 of the system can be easily tuned, and it provides insights into the relevance of this  
35 feature to conceive multiplexed BBG systems capable to perform multiple label-free  
36 biorecognition assays in a single device.

37

38 **Keywords: biosensor, diffraction, optical microfiber, immunoassay, non-specific**  
39 **binding, label-free**

40

## 41 **1. INTRODUCTION**

42 The advances in chemistry, biotechnology and nanoscience have introduced exciting  
43 strategies to sense biomacromolecules (Mahmoudpour et al., 2019; Xu et al., 2020;  
44 Zhang et al., 2020) and the interaction events between them (Bhattacharyya et al.,  
45 2019; Escorihuela et al., 2015; Schneider and Niemeyer, 2018). Discovering new  
46 nanoscale phenomena to transduce biorecognition processes into measurable signals  
47 open new potential venues to materialize the benefits that nanoscience offers in key  
48 areas of today's society, such as medicine and pharmacology (Prasad et al., 2019;  
49 Wong et al., 2020; Zhang et al., 2020).

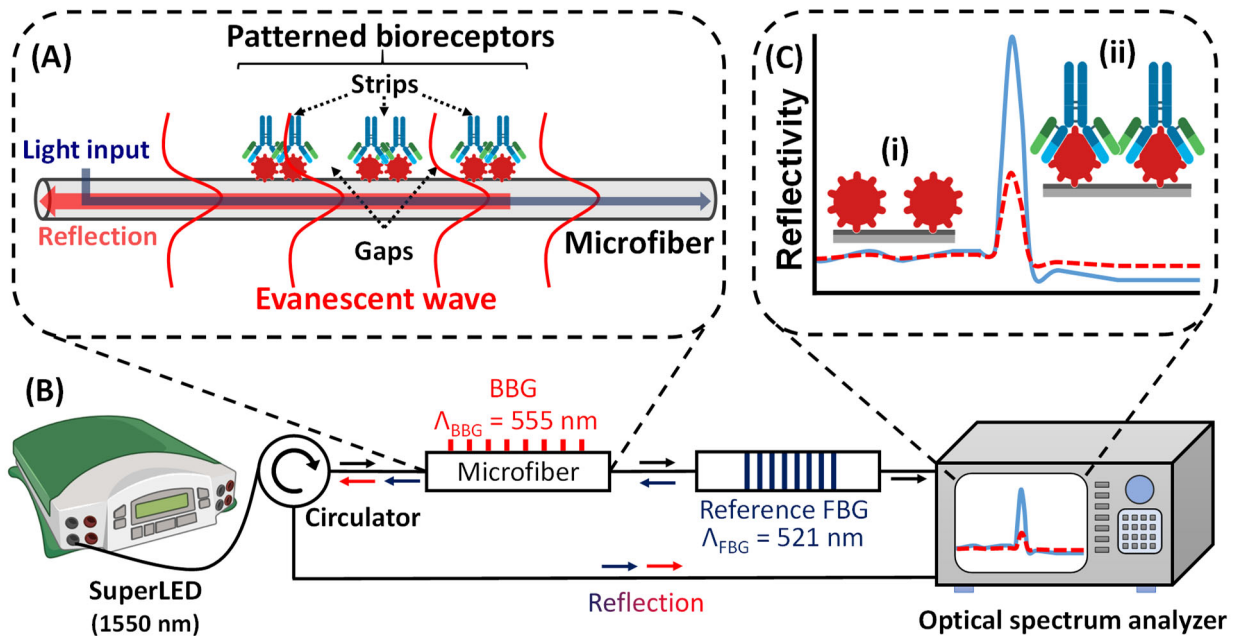
50 The implementation of some optical phenomena for biosensing such as SPR  
51 (Nootchanat et al., 2019; Zhao et al., 2019), SERS (Langer et al., 2020; Liu et al., 2020),  
52 and light interference (Chen et al., 2019; J. Wang et al., 2020) became the seed of a  
53 high scientific activity in the last decades, which have provided a great knowledge on  
54 innovative, sensitive and label-free bioanalytical systems. Along these lines, light

55 diffraction is still a promising and rather unexplored phenomena to transduce  
56 biorecognition events, as introduced by some investigations focused on diffraction-  
57 based sensing (Avella-Oliver et al., 2017, 2018; Goh et al., 2002, 2005) and focal  
58 molography (Frutiger et al., 2020, 2019; Gatterdam et al., 2017).

59 On the other hand, the integration of transduction principles in optical fibers presents  
60 a great potential to conceive miniaturized, inexpensive, low-loss, and compact  
61 systems for in-field analysis. This approach constitutes nowadays an important  
62 innovation area in the state-of-the-art, for both (bio)chemical and physical sensing  
63 (Wang and Wolfbeis, 2020; Zhao et al., 2020). A paradigmatic strategy in this context  
64 is to inscribe a periodic modulation in the refractive index of the core material of  
65 optical fibers or microfibers, thus fabricating special fiber Bragg gratings (FBGs),  
66 microfiber Bragg gratings, long period gratings, and tilted fiber Bragg gratings whose  
67 optical response is designed to be sensitive to the presence of analytes in the external  
68 medium surrounding the optical device (Bekmurzayeva et al., 2018; Cao et al., 2017,  
69 Delgado-Pinar et al., 2017; Liu et al., 2018; Loyez et al., 2020; Malachovská et al., 2015;  
70 Sridevi et al., 2015; Sypabekova et al., 2019).

71 In this study, we present a novel transduction principle to sense biorecognition events  
72 based on periodic networks of bioreceptors patterned on the surface of tapered  
73 optical fibers, herein called Bio Bragg Gratings (BBGs). As schematized in Fig. 1, the  
74 concept behind this idea relies on using a microfiber, whose optical modes present a  
75 significant evanescent field in the external medium, and imprinting a periodic  
76 biomolecular network along its surface. The fundamental optical mode will interact  
77 with the BBG, and it will result on a reflection peak centered at the optical wavelength  
78 that fulfills the Bragg condition. The biosensing transduction principle relies on the  
79 fact that binding events between the patterned bioreceptors and their targets in  
80 solution modify the amount of matter constituting the strips of the BBG (compared  
81 to the gaps), thus the presence of the analyte will change the modulation depth of  
82 the BBG. Consequently, this system aims to transduce the magnitude of binding

83 events by means of the peak reflectivity. In addition to the novelty, this strategy  
 84 projects potential prospects for label-free biosensing, with simple and inexpensive  
 85 materials, and neglecting signal contributions generated by non-specific bindings  
 86 (Gatterdam et al., 2017).



87  
 88 **Fig. 1.** General illustration of the approach. (A) Scheme of a BBG on a microfiber, and its interaction  
 89 with the guided light. (B) Scheme of the detection setup. See Fig. S1 for real images and additional  
 90 setup details. (C) Optical response in the reflection spectrum before (i, and red dashed line) and  
 91 after (ii, and blue continuous line) incubation and binding of target compounds on the patterned  
 92 bioreceptors of the BBG.

93  
 94 Herein we present the design, fabrication, and optimization of the BBGs in tapered  
 95 microfibers, and report our investigations to explore and prove the concept of this  
 96 biosensing transduction system. This work addresses an optical and functional  
 97 characterization of the system by both theoretical and experimental studies using a  
 98 model immunochemical assay. A custom setup is developed to fabricate the BBGs on  
 99 tapered microfibers, and the structural features of the resulting bioreceptor networks  
 100 are characterized by electron microscopy. Finally, this study demonstrates the  
 101 bioanalytical performance of the system, provide insights into prospective biosensing  
 102 properties of BBGs and discusses them.

103

## 104 **2. MATERIALS AND METHODS**

### 105 ***2.1 Materials***

106 Sodium phosphate buffer (PBS, 8 mM Na<sub>2</sub>HPO<sub>4</sub>, 2 mM, 137 mM NaCl, 2.7 mM KCl, pH  
107 7.4), PBS-T (PBS with polysorbate 20 0.05% v/v), were prepared with purified water  
108 (Milli-Q, Millipore Iberica, Darmstadt, Germany) and filtered through 0.2 μm  
109 polyethersulfone membranes (Merck, Darmstadt, Germany). Polydimethylsiloxane  
110 (PDMS) Sylgard 184 was from Dow Corning (Wiesbaden, Germany). Bovine serum  
111 albumin (BSA), polysorbate 20 (Tween 20), antiBSA rabbit IgG, C-reactive protein  
112 (CRP), casein and human serum (human male, AB plasma) were supplied by Sigma-  
113 Aldrich (Madrid, Spain). Single-mode optical fibers SMF-28 were purchased from  
114 Corning (Madrid, Spain). The silicon grooved nanostructure (555.5 nm period, 140 nm  
115 groove depth, duty cycle 50%) used as a master to prepare the micro-contact printing  
116 stamp, was from LightSmyth (Eugene, OR, USA).

### 117 ***2.2 Simulations***

118 Electromagnetic simulations to calculate the optical response of the system were  
119 carried out by means of finite difference method in the Quasi TE & TM approach,  
120 implemented on Matlab™ (Rumpf et al., 2014; Zhu and Brown, 2002).  
121 Electromagnetic fields distribution results were validated with commercial software  
122 MODE Lumerical (Finite Difference Eigenmode). The overlapping integrals (i.e.  
123 proportion of the total field interacting with the Bragg perturbation) were calculated  
124 from the obtained field distribution over the complete waveguide and compared with  
125 the field localized onto the BBG area. Then, the contradirectional coupling coefficient  
126 and the device reflectivity were calculated with the well-known closed form  
127 expressions for periodically perturbed waveguides (Erdogan, 1997; Yariv and Yeh,  
128 2007).

129 An incident wavelength of 1550 nm and refractive indexes of 1.43 for biomolecules  
130 (Freeman et al., 2004; Sancho-Fornes et al., 2019) and 1.446 for silica microfibers

131 were considered. For the simulations, the BBGs were defined as 2 mm long periodic  
132 (period = 555nm, duty cycle = 50 %) gratings of biomolecules that cover a 90° section  
133 of the total azimuthal coordinate of the fiber surface. The thickness of the printed  
134 strips in the simulations was considered 1 nm for BSA BBGs (i.e., before target  
135 incubation) and 10 nm for BSA-IgG BBGs (i.e., after target incubation) (Avella-Oliver  
136 et al., 2018).

### 137 **2.3 Microfibers**

138 Microfibers were fabricated by tapering standard single mode fibers (Corning SMF-  
139 28, 125  $\mu\text{m}$  of diameter) by means of the pull-and-fuse technique. This process  
140 consists on the controlled pulling of a conventional fiber, while it is heated up to the  
141 plastic deformation temperature of the silica (Fig. S2). As described elsewhere (Birks  
142 and Li, 1992), this system allows to obtain uniform microfibers of several centimeters  
143 long, with diameters of the waist down to 1  $\mu\text{m}$ . After fabrication, microfibers were  
144 fixed in a custom holder that keep them taut (Fig. S3).

### 145 **2.4 BBG patterning**

146 Nanostructured networks of bioreceptors (BBGs), constituted by periodic parallel  
147 strips of biomacromolecules and empty gaps between them (Fig. 1A), were patterned  
148 onto the surface of microfibers by microcontact printing and immobilized. For that,  
149 PDMS (elastomer:curing agent, 10:1 w/w) was poured onto the nanogrooved side of  
150 the silicon master, degassed in a vacuum chamber for 5 min, and polymerized  
151 overnight at 60 °C. Then, the cured polymer was peeled off from the master and cut  
152 in 10  $\times$  5 mm pieces, and these stamps were washed three times by sonication in  
153 ethanol (30% in water, 5 min) and dried under a stream of air. Probe solutions in PBS  
154 (80  $\mu\text{L}$ , 250  $\mu\text{g mL}^{-1}$ ) were incubated on the structured side of the stamps and after  
155 160 min they were rinsed with deionized water and dried by air stream, thus obtaining  
156 BBGs of physisorbed probes on the fiber (Juste-Dolz et al., 2018).

157 A custom setup was created to pattern the BBGs onto tapered fibers, based on a  
158 mechanical elevator that uplifts the inked stamps until their grooved side becomes in

159 contact with the microfiber. A detailed description on the configuration and use of  
160 this setup is reported in Fig. S4. The optical response of the system was measured  
161 with the detection setup described below and used to monitor the stamping  
162 processes (Fig. S5).

163 The topography of the resulting BBGs was analysed by Field Emission Scanning  
164 Electron Microscopy (FESEM), using a ZEISS ULTRA-55 scanning electron microscope  
165 (ZEISS, Oxford Instruments).

### 166 **2.5 Biorecognition assays**

167 First, to perform and quantify the immunoassays, once fabricated the probe BBGs their  
168 optical responses were measured in air (as described in sections 2.6 and 2.7 below).  
169 Then, the microfibers containing the BBGs were immersed in 600  $\mu$ L of liquid samples  
170 and incubated for 30 min. A custom incubation chamber made of PDMS was used to  
171 keep the fiber immersed within the liquid samples during the incubations.  
172 Subsequently, the fibers were rinsed with PBS-T and deionized water, and dried in air.  
173 Finally, the optical response of the BBGs after the biorecognition were measured in  
174 air. All the measurements and incubations were performed at room temperature.

### 175 **2.6 Optical setup**

176 The scheme of the optical setup is shown in Fig. 1B. The optical light was provided by  
177 an infrared LED source (1.3 mW continuous wave, central wavelength: 1550nm,  
178 bandwidth > 100 nm), and it was launched to the microfiber through an optical  
179 circulator (Thorlabs, operation wavelength: 1550nm, bandwidth: 90nm). Thus, both  
180 transmission and reflection spectra were measured. An optical spectrum analyser  
181 AQ6370D, Yokogawa, 600 -1700 nm, minimum resolution 20 pm, was used to acquire  
182 the spectra. An additional FBG, written using UV radiation in the core of commercial  
183 photosensitive fiber (Fibercore PS1250) by means of the phase-mask technique, was  
184 included in the setup (Fig. S6). The peak intensity of this grating serves as a reference  
185 to monitor and correct potential power level changes introduced in the fabrication

186 process and the immunoassays, as well as power level fluctuations (Supplementary  
187 Information 7).

## 188 **2.7 Data acquisition and processing**

189 Transmission and reflection spectra were acquired in each step of the BBGs  
190 fabrication and the subsequent biosensing assays. The data was analyzed in both  
191 logarithmic and linear scale, and a detailed description of this processing is provided  
192 in the Supplementary Information 10. All optical traces were registered within the  
193 range 1500-1580 nm with a spectral resolution of 50 pm, and the variation in the peak  
194 reflectivity was used as the analytical signal. This net reflectivity was calculated as the  
195 difference between the peak reflectivity registered after patterning the probes, and  
196 after incubating the targets, as detailed in the Supplementary Information 7 and Fig.  
197 S8.

198 Noise was estimated as the standard deviation from 10 blank measurements ( $0 \mu\text{g}$   
199  $\text{mL}^{-1}$  of target IgG incubated on BBGs fabricated on 10 different fibers) that we  
200 employed to infer signal-to-noise ratios (SNR). Limits of detection and quantification  
201 were calculated from experimental dose-response curves as the concentrations  
202 associated to  $\text{SNR} = 3$  and  $\text{SNR} = 10$ , respectively.

203

## 204 **3. RESULTS AND DISCUSSION**

### 205 **3.1 Microfibers design**

206 The diameter of the microfiber is a key parameter in this BBG concept, since it  
207 determines the fraction of light in the evanescent field of the optical mode. It will  
208 ultimately affect the magnitude of the diffractive interaction with the bioreceptors  
209 and define the performance of resulting the biosensing transduction. Hence,  
210 theoretical calculations were performed beforehand experimental assessments to set  
211 the starting working conditions.

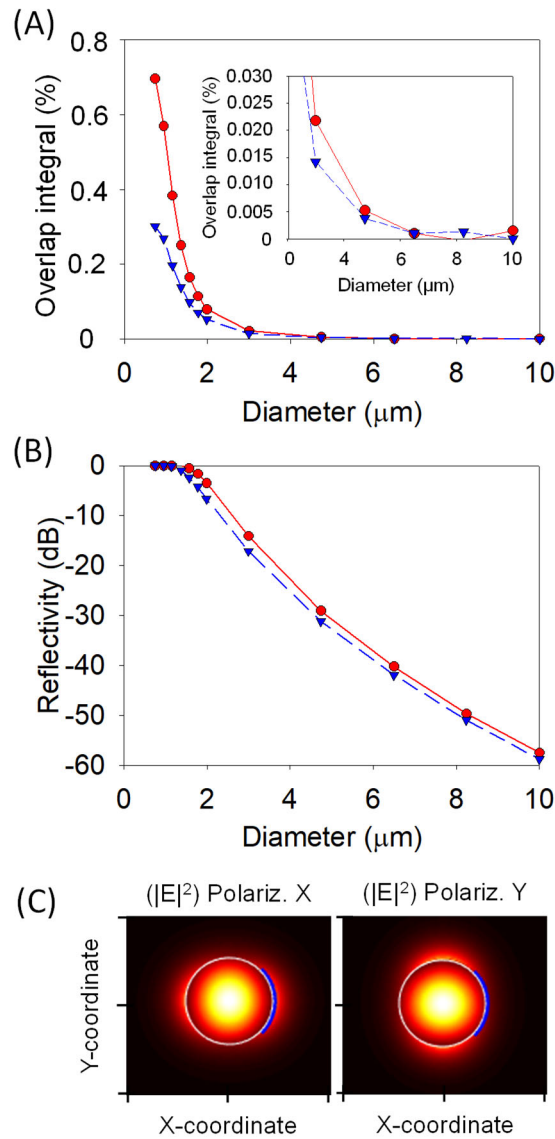
212 Firstly, the overlap integral between the fundamental optical mode ( $\text{LP}_{01}$ ) and the  
213 diffractive nanostructure of bioreceptors (BBG) on the microfiber was calculated as a



214 function of the diameter of the microfiber. As shown in Fig. 2A, as the diameter  
215 increases, the stronger confinement of the mode within the microfiber leads to a  
216 negligible overlap integral. However, when the microfiber diameter becomes  
217 comparable to the light wavelength, the evanescent field enlarges and the fraction of  
218 light overlapping the BBG displays an exponential growth. These preliminary insights  
219 are also supported by the calculations of the corresponding peak reflectivity of such  
220 BBGs (Fig. 2B), where the reflectivity increases (together with the overlap integral)  
221 when the fiber diameter decreases.

222 The BBG is located at one side of the fiber, which leads to an anisotropic system whose  
223 optical response must be dependent on the orientation of the linear polarization of  
224 the optical mode, partly due to the different position of the evanescent tail of the  
225 mode for each polarization (see Fig. 2C). Therefore, to perform a rigorous analysis,  
226 the optical response in both linear polarizations (X and Y) were also calculated  
227 together with the overlap integral and the peak reflectivity. As observed in Fig. 2A and  
228 2B, for a 3  $\mu\text{m}$  diameter there is difference of around 3dB in the peak intensity  
229 between the two polarizations, which corresponds to a reduction in the fields  
230 overlapping of about a 50% and highlights the role of the polarization in this system.  
231 However, the anisotropic behavior of the BBG was not observed in the subsequent  
232 experimental immunoassays (section 3.3).

233 From these results and considering the manipulation feasibility of microfibers below  
234 1  $\mu\text{m}$ , fiber diameters from 2 to 5 microns were selected to experimentally investigate  
235 the BBG concept.



236

237 **Fig. 2.** Simulated optical response for different microfiber diameters. (A) Overlap integral and (B)  
 238 reflectivity for the fundamental optical mode, a BBG thickness of 10 nm, and at both orientations of  
 239 the linear polarization of the optical mode (red continuous line for X polarization and blue dashed  
 240 line for Y polarization). The inset in Figure 2A zooms in the overlap integral at larger diameters for a  
 241 better visualization. (C) Electric field intensity distribution for both polarizations in a 1 μm  
 242 microfiber. The blue line at the right side of both plots, represents the microfiber section covered  
 243 by the BBG.

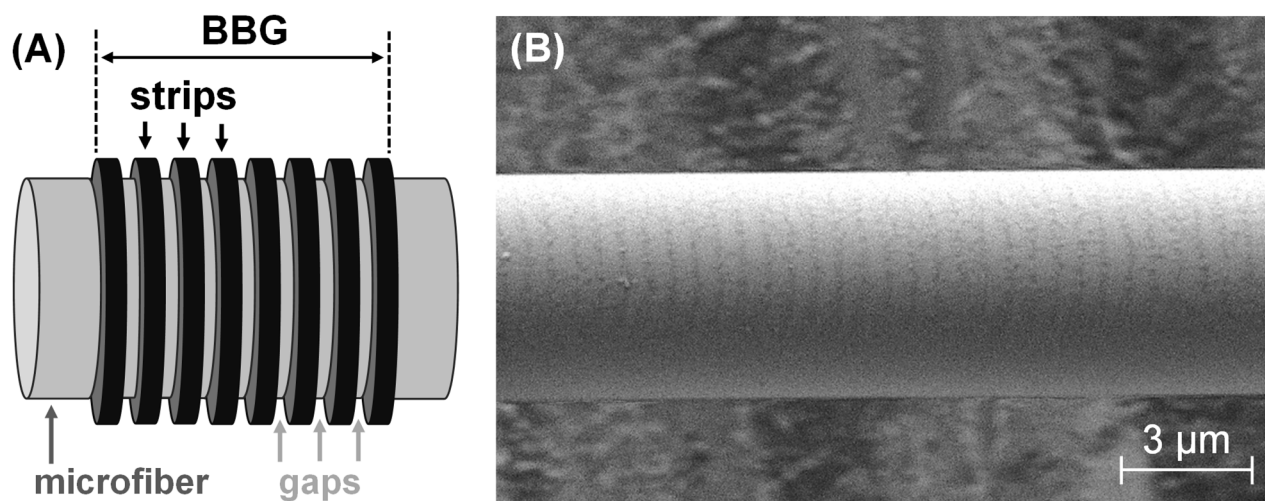
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### 245 3.2 Structural and functional characterization of the BBGs.

246 A critical step in this approach is the BBG patterning, and herein we address it by  
 247 microcontact printing. This is an important and versatile technique in the state-of-art  
 248 (Lamping et al., 2019; X. Wang et al., 2020), widely used to create functional and

249 homogeneous patterns of biomolecules onto flat substrates of different compositions  
250 (Juste-Dolz et al., 2018). However, it remains challenging to pattern  
251 biomacromolecules onto curved, fragile, and micrometric structures as microfibers.  
252 In this work we successfully addressed this issue with a half-assisted setup that allows  
253 a practical manipulation of the microfibers and monitors the transmission spectrum  
254 of the optical device as a feedback system to control the nanoscopic patterning  
255 process taking place on the fiber surface. For this assessment, we patterned BBGs of  
256 physisorbed bovine serum albumin (BSA) probes on 5  $\mu\text{m}$  microfibers.  
257 As can be seen in Fig. 3, homogeneous periodic grooved structures are generated  
258 onto the surface of the microfiber, where darker vertical lines are the protein strips  
259 of the BBG, and the greyish ones are the gaps between them. A grating period ( $\Lambda_{\text{BBG}}$ )  
260 of  $556 \pm 1$  nm was calculated from FESEM images (Fig. 3B), which agrees with the  
261 period of the original master structure (555 nm). However, as protein strips are  
262 thinner than the gaps the duty cycle becomes slightly lower (35%), and this structural  
263 difference can be attributed to the weak contact between the stamp and the  
264 microfiber. Moreover, due to the curvature of the microfiber, a maximum angular  
265 surface coverage of  $90^\circ$  may be reached with this patterning method. Therefore, our  
266 results bear witness to the ability of microcontact printing for generating patterned  
267 networks of biomolecules even on fragile and non-flat micrometric surfaces.

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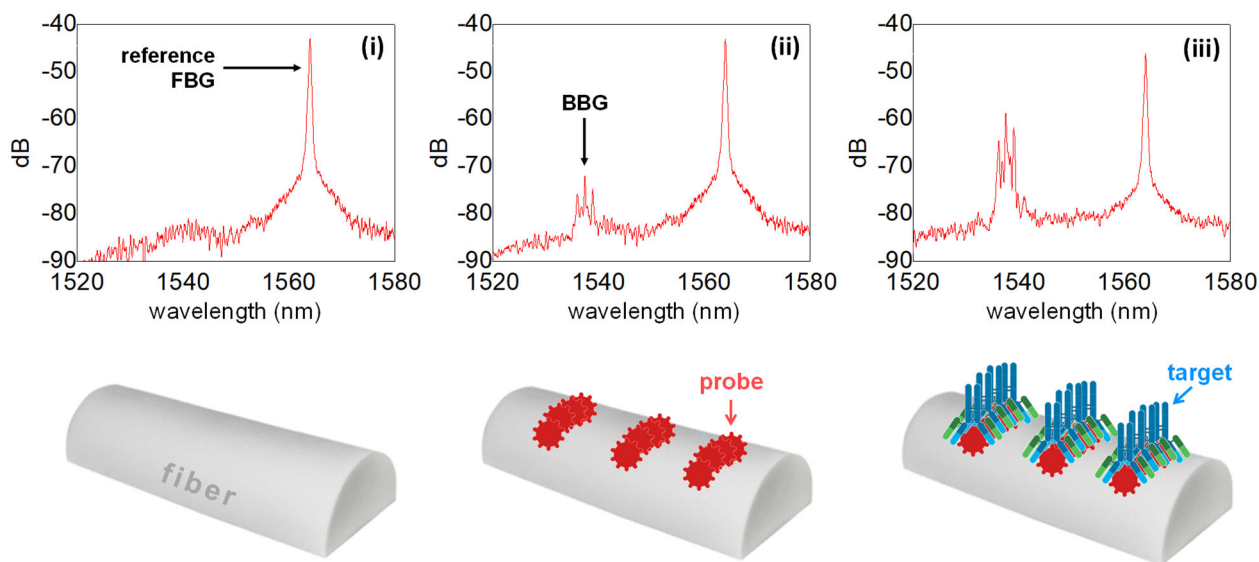
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270 **Fig. 3.** (A) Scheme of a BBG fabricated on a microfiber and (B) FESEM image of a BSA BBG patterned  
271 onto a 5  $\mu\text{m}$  microfiber.

272

273 In order to monitor and optimize the fabrication process, we measured the optical  
274 response of the BBGs by means of the collection of reflection and transmission  
275 spectra at each step of their fabrication and the subsequent biorecognition assay on  
276 a 3  $\mu\text{m}$  microfiber. At first, only the reflection peak corresponding to the reference  
277 FBG (1564 nm, Fig. 4 i) is observed. An additional intense reflection peak appears at  
278 1537 nm during the BBG stamping step (Fig. S9), which meets the Bragg condition and  
279 confirms an effective contact of the grooved stamp on the surface of the microfiber.  
280 After the stamping, this peak remains in the reflection spectrum (Fig. 4 ii), which  
281 corroborates the transfer of the stamped bioreceptor and the proper structuration of  
282 the resulting BBG. This initial BBG peak reaches a -27 dB level respect to the reference,  
283 and this reflectivity drop agrees with the lower thickness and refractive index contrast  
284 of patterned proteins, compared to the grooved PDMS stamp in the previous stage.

285



286

287 **Fig. 4.** Experimental reflection spectra obtained at (i) Initial step, (ii) after the patterning a BBG of  
288 BSA, and (iii) after incubating specific antiBSA IgGs ( $10 \mu\text{g mL}^{-1}$ ). Schematic illustrations of the fiber  
289 and the BBG at each step is represented below each corresponding spectrum.

290

291 Finally, the incubation of selective IgGs on the patterned protein displays an  
292 important enhancement of the BBG reflection peak that reaches a -13 dB level respect  
293 to the reference (14 dB increase), as shown in Fig. 4 iii. According to the starting  
294 hypothesis, this enhancement must come from the greater amount of biological  
295 matter in the BBG strips generated by the biorecognition between the patterned  
296 probes and their targets in solution. Furthermore, this reflectivity enhancement is not  
297 observed after incubating only PBS-T buffer (Fig. S10). It is important to highlight here  
298 that this result constitutes the first experimental proof of bioanalytical transduction  
299 principle investigated in this study. Besides, the reflectivity of the reference FBG peak  
300 was used as a reference signal along the whole process, as well as to monitor any  
301 significant optical loss in the system.

302

### 303 **3.3 Experimental performance**

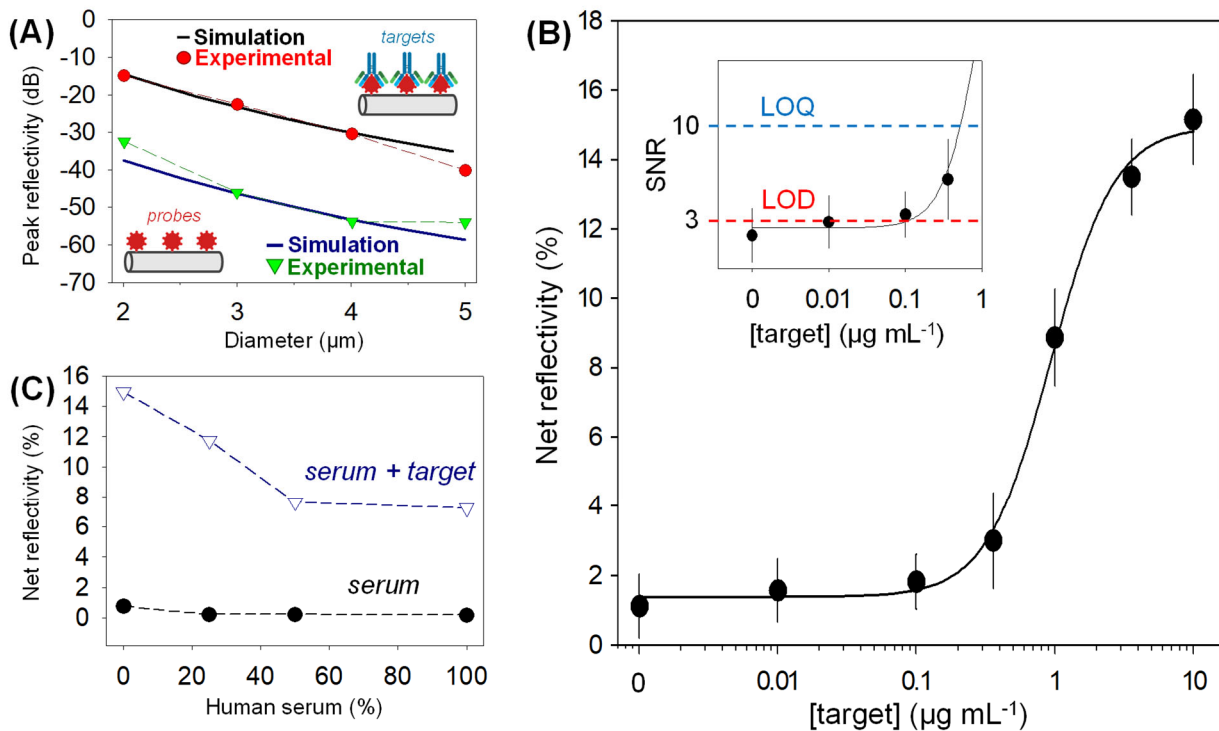
304 As a preliminary experimental prove towards real biosensing, we explored how the  
305 thickness of the biological layer that constitutes the BBG strips affects the optical  
306 response. For that, BBGs of proteins with a range of molecular weights (from 24 to  
307 118 kDa) were patterned on different microfibers, and the intensity of the resulting  
308 reflection peak was compared. The results of this experiment (Fig. S11) show that the  
309 resulting peak reflectivity increases together with the molecular weight of the  
310 proteins (i.e., the amount of matter on the BBG strips), as expected and necessary for  
311 the success of this transduction system.

312 As discussed above, the intensity of the BBG peak in the reflection spectrum is  
313 predicted to decay as the diameter of the microfiber increases (Fig. 2B), and only BBG  
314 reflectivities produced in microfibers with diameters below 5 microns may be  
315 detected with standard equipment. This is a crucial issue since greater analytical  
316 signals in the biorecognition transduction will potentially enhance the sensitivity of  
317 the resulting bioanalytical systems. As observed in Fig. 5A, the simulated trend is also  
318 observed in experimental conditions. The divergencies between both trends were

319 attributable to the fact that simulations are unable to consider the experimental  
320 uncertainty. Although maximum reflectivities for fiber diameters of 2  $\mu\text{m}$  (and below)  
321 are displayed by the theoretical calculations, we have experimentally observed that  
322 these microfibres are much more fragile and lead to higher optical losses in the BBGs  
323 patterning and the subsequent sample incubations. Hence, 3  $\mu\text{m}$  microfiber diameter  
324 was considered the best option.

325 However, although thoroughly checked, negligible changes in the optical response  
326 (both in amplitude and wavelength position) were observed for different linear  
327 polarizations when experimentally measuring bioreceptor BBGs, even after  
328 interacting with high concentrations of their target IgGs. In particular, it was  
329 confirmed by experimental results that the Bragg wavelength splitting is only  
330 observed in high-contrast gratings, as the ones resulting from the contact between  
331 the grooved PDMS and the fiber in the first step of the BBG patterning (Figure S4). It  
332 is possible that a random polarization conversion in the grating region is behind the  
333 lack of significant polarization effects. Therefore, the polarizing elements were  
334 omitted in the optical setup for measuring BBG biorecognition assays in experimental  
335 conditions.

336



337

338 **Fig. 5. (A)** BBG peak reflectivities of patterned BSA probes before (green triangles) and after (red  
 339 circles) incubating a solution of specific IgG ( $10 \mu\text{g mL}^{-1}$ ), for a range of fiber diameters. Blue and  
 340 black continuous lines represent the corresponding simulated data considering BBG thicknesses of  
 341 1 and 10 nm, respectively. **(B)** Experimental dose-response immunoassay curve, fitted to a sigmoidal  
 342 (logistic 4 parameters) regression. **(C)** Net reflectivity achieved after incubating different dilutions  
 343 of human serum in PBS-T buffer onto BBGs without (black circles) and with specific IgG ( $10 \mu\text{g mL}^{-1}$ ,  
 344 blue empty triangles).

345

### 346 3.4 Immunosensing

347 The biosensing capabilities were studied by means of an experimental dose-response  
 348 curve using a representative model immunoassay based on BBGs of patterned BSA  
 349 probes and specific antiBSA IgGs as targets. A set of  $3 \mu\text{m}$  microfibers were  
 350 individually fabricated, patterned with the BSA probes, and incubated with different  
 351 concentrations of target. As shown in Fig. 5B, the increase of the peak reflectivity is  
 352 proportional to the target concentration, achieves a maximal reflectivity of 15%, and  
 353 correlates well with the expected trend for a biorecognition dose-response curve ( $R^2$   
 354 = 0,997). This indicates that the reproducibility in the fabrication and testing

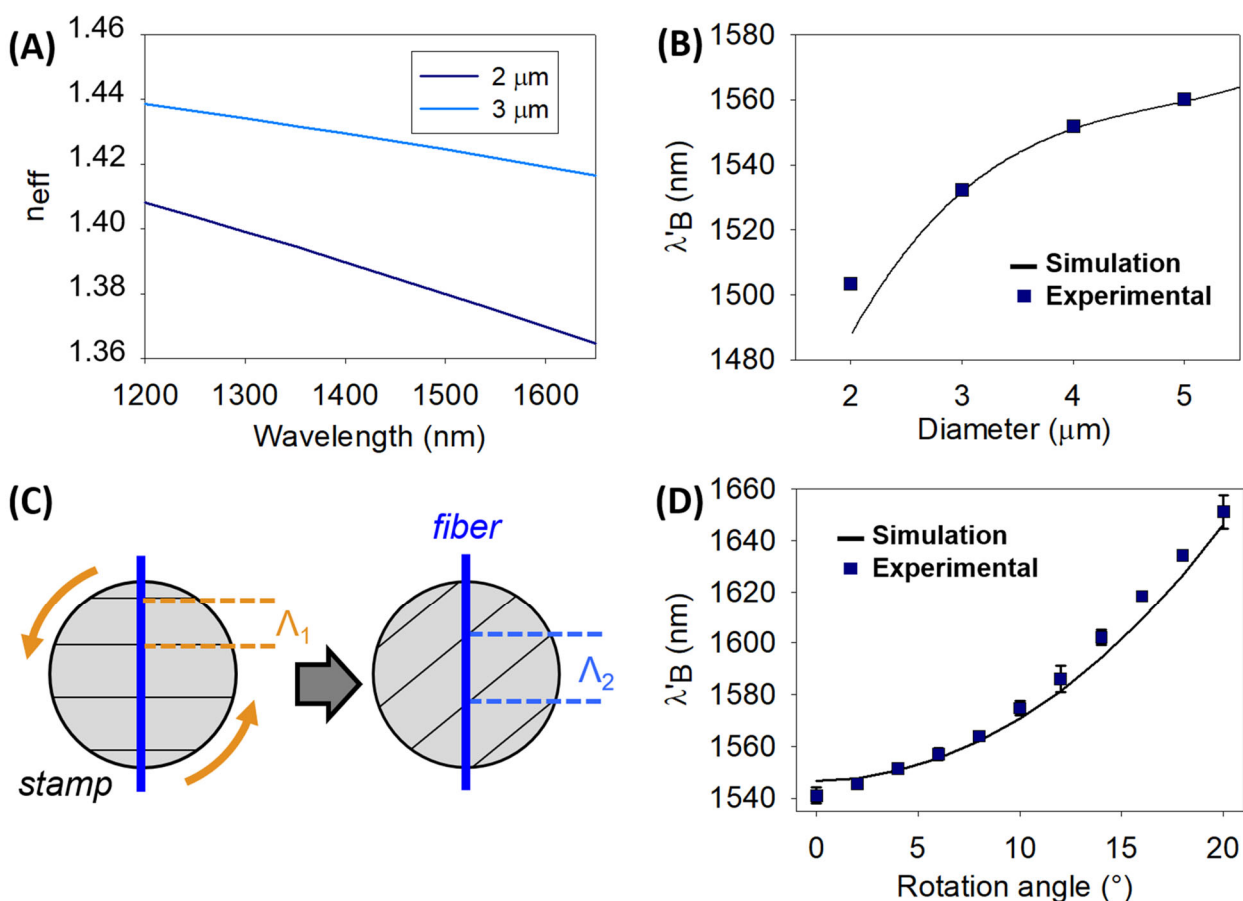
355 processes is high. From these results, experimental detection and quantification limits  
356 of  $0.1 \mu\text{g mL}^{-1}$  and  $0.4 \mu\text{g mL}^{-1}$ , respectively, of IgG in label-free conditions are  
357 inferred. This is a promising sensitivity which is in the range of other recent label-free  
358 optical approaches (Chen et al., 2018; Gatterdam et al., 2017; Juste-Dolz et al., 2018;  
359 Makhneva et al., 2019).

360 An important issue in biosensing are the problems associated to non-specific binding  
361 (NSB) (Hirst et al., 2008; Mittal et al., 2013). This is especially critical in label-free  
362 systems and biological samples, which commonly comprise a high content of  
363 biomacromolecules that adsorb on the sensor surface and generate signals that  
364 cannot be discriminated from the ones originated by the biorecognition of interest. A  
365 unique feature of diffractive bioanalytical systems is their potential to minimize signal  
366 contributions generated by NSB (Gatterdam et al., 2017). Unlike specific  
367 biorecognition of targets in the probe strips, NSB is a random process prone to take  
368 place evenly in the BBG strips and gaps. Therefore, in a first approximation, the  
369 reduction in the refractive index contrast generated by the unspecific adsorption on  
370 the gaps becomes compensated by the increase in the refractive index contrast  
371 caused by the NSB in the strips. To evaluate the effect of NSB, we studied the response  
372 of the system with the model immunoassay under a range of dilutions of human  
373 serum (7% of non-specific proteins, potentially interfering lipids, etc.) in PBS-T. Fig. 5C  
374 shows that reflectivity drops by half when pure human serum containing specific  
375 targets is incubated and it increases until it reaches the level of maximum reflectivity  
376 ( $R = 15\%$ ) for pure PBS-T (blue squares). Besides, the same serum dilutions without  
377 targets do not involve significant changes in the reflectivity achieved by the BSA  
378 pattern itself (Fig. 5C, black squares). These results demonstrate very promising  
379 perspectives for label-free detection in complex matrixes, whereas these NSB  
380 features could be improved by designing BBGs with a minimal compositional  
381 difference between the strips and the gaps.



382 Another interesting feature of this biosensing system is the easy wavelength  
 383 tunability of its optical response. The Bragg's wavelength of the BBG reflection peak  
 384 can be controlled by modifying the fiber diameter, in a first approach. As represented  
 385 in Fig. 6A, a change in the diameter of the fiber induces a variation of the effective  
 386 refractive index of the optical mode. Thus, a change in the microfiber diameter results  
 387 in a Bragg's wavelength shift according to the Bragg condition. Our experimental  
 388 results using fibers with diameters ranging from 2 to 5  $\mu\text{m}$  match well with the  
 389 simulations (Fig. 6B), being possible to tune the response within a range of 60 nm  
 390 (from 1500 to 1560 nm). However, this approach would result in poorer sensitivities  
 391 for the largest diameters.

392



393

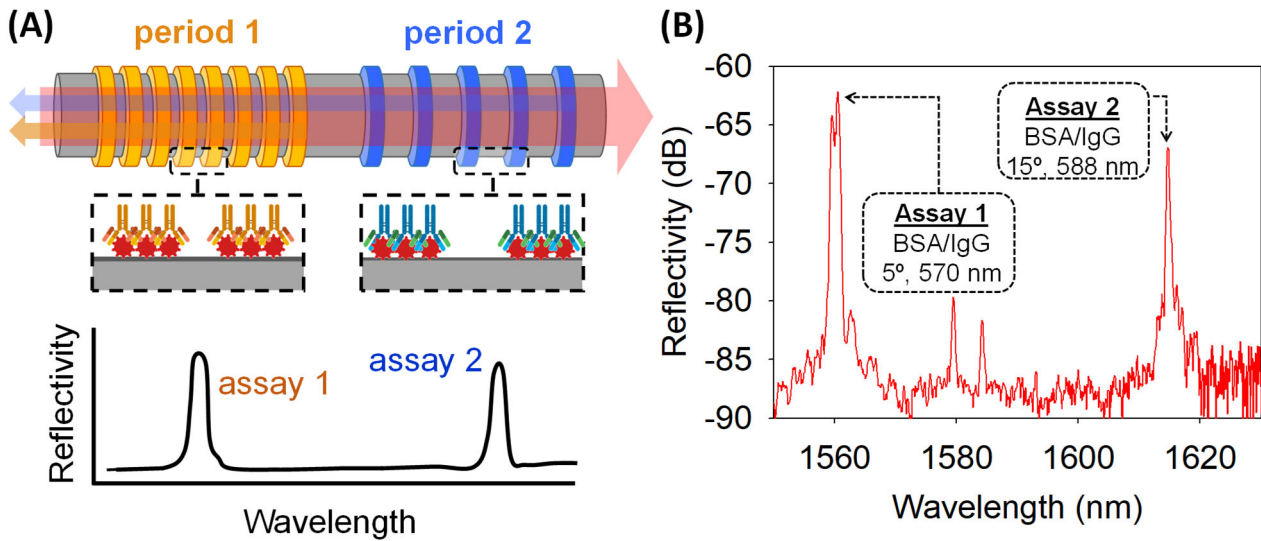
394 **Fig. 6.** Tunability of the bioanalytical response. (A) Calculated wavelength dispersion of LP<sub>01</sub>  $n_{\text{eff}}$  for  
 395 two microfiber diameters. (B) Experimental Bragg's wavelength of the BBG peaks (after incubating  
 396 target IgGs at 10  $\mu\text{g mL}^{-1}$ ) in a range of fiber diameters and the corresponding simulated results  
 397 (strip height of 10 nm). (C) Scheme of the variation of the period by rotating the stamp in the BBG

398 patterning. (D) Experimental Bragg's wavelength shift measured for different devices. All of them  
399 were patterned using a 555 nm period stamp in a 3-micron fiber, by changing the angle between  
400 the stamp strips and the axis of the fiber. The simulation shows a sinus trend.

401

402 Alternatively, the position of the BBG peak can also be tuned by modifying the BBG  
403 period. We found that this parameter can be easily controlled experimentally by just  
404 rotating the inked stamp in the stamping step (Fig. 6C), with respect to the  
405 longitudinal axis of the microfiber. This approach allows tuning the Bragg's  
406 wavelength accurately, since the reflectivity peak shifts towards longer wavelengths  
407 when the rotation angle increases. Also, it involves minimal nanofabrication  
408 requirements, when compared to creating a specific master substrate for each period.  
409 As shown in Fig. 6D, this tuning strategy permits to shift the position of the BBG peak  
410 up to 120 nm in 20 degrees.

411 In addition to provide versatility in terms of the optical instrumentation compatible  
412 with this bioanalytical approach, this tunability introduces interesting capabilities for  
413 performing multiplexed assays. For example, the reflection peaks of multiple assays  
414 could be acquired in a single measurement, and effectively discriminated by  
415 combining BBGs with different periods in the same microfiber (Fig. 7A). To explore it,  
416 two BBGs were created on a single microfiber with two different stamp rotation  
417 angles (5° and 15° degrees), which resulted in different  $\Lambda_{\text{BBG}}$  (558 nm and 575 nm,  
418 respectively), in experimental immunoassay conditions. As shown in Fig. 7B, two main  
419 peaks were obtained, each one of them transducing the biorecognition event of a  
420 different assay. The peak at 1615 nm corresponds to the immunoassay with a shorter  
421 BBG period (558 nm) and the 1650 nm peak to the longer period one (575 nm). Both  
422 peaks can be discriminated and quantified in the reflection spectrum of a single  
423 measurement, and this prove aims to open the door for prospective BBG systems  
424 integrating multiple BBGs tuned to spread across the reflection spectrum in order to  
425 perform and quantify multiple label-free assays for different targets in a single step.



427

428 **Fig. 7.** Assay multiplexing by patterning different BBG periods in a single microfiber. **(A)** Schematic  
 429 illustration of the approach. **(B)** Experimental reflection spectra obtained with the model  
 430 immunoassay after incubating the target IgG ( $10 \mu\text{g mL}^{-1}$ ) in two different BBGs patterned on a single  
 431 fiber.

432

## 433 5. CONCLUSIONS

434 This work introduces and demonstrates Bio Bragg Gratings for biosensing, a new  
 435 physicochemical principle to transduce biorecognition events, based on diffractive  
 436 networks of bioreceptors patterned on optical waveguides. The results of this  
 437 theoretical and experimental study support the design, optimization, characterization  
 438 and fabrication of functional biosensing systems capable of transducing unlabeled  
 439 immunoassays as a peak in the reflection spectra. The approach is herein  
 440 implemented in microfibers ( $1\text{-}5 \mu\text{m}$  in diameter) fabricated from standard optical  
 441 fibers, which is an extremely inexpensive material that ensures a low optical loss and  
 442 projects interesting perspectives for integration in telecommunication systems. This  
 443 work also demonstrates the capability of micro-contact printing to pattern  
 444 biomacromolecules onto fragile and non-flat microstructures. Different devices were  
 445 fabricated and tested individually in a model immunoassay based on protein probes  
 446 and IgG targets, and the results display well-correlated quantitative dose-response

447 curves in label-free conditions. This biosensing approach presents appealing  
448 perspectives to avoid signal contributions from non-specific binding in the analysis of  
449 complex biological samples, as shown in this study with human blood serum. Besides,  
450 the wavelength response of the sensor can be easily tuned by modifying the  
451 microfiber diameter or the period of the biomolecular grating, and the results  
452 demonstrate that this tunability provides an interesting solution to perform  
453 multiplexed assays on a single fiber. In addition to introduce new biosensing  
454 possibilities for fiber-based developments, this investigation provides the basis for a  
455 prospective implementation of this transduction system in other waveguide materials  
456 and devices to conceive new integrated biosensors.

457

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464

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Supplementary information for:

## BIO BRAGG GRATINGS ON MICROFIBERS FOR LABEL-FREE BIOSENSING

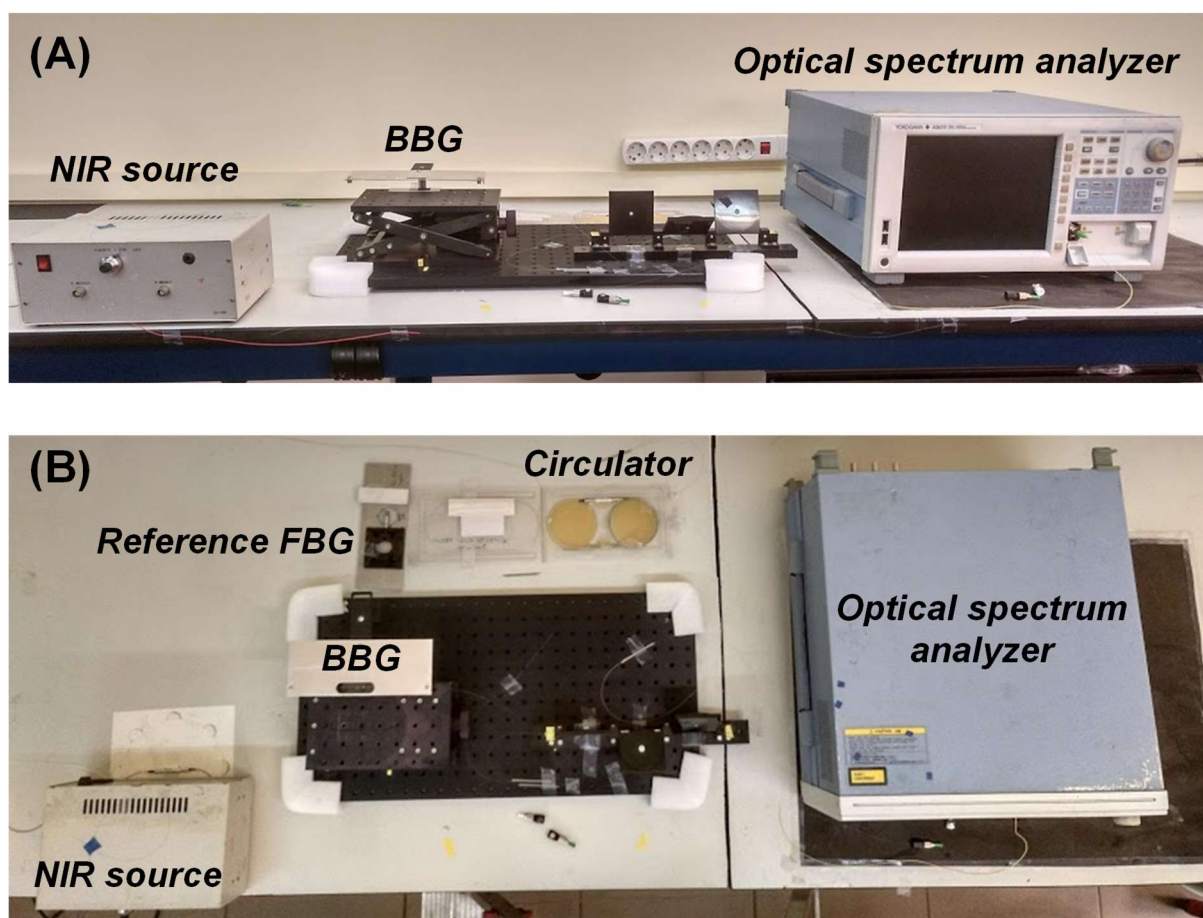
Augusto Juste-Dolz<sup>1</sup>, Martina Delgado-Pinar<sup>2</sup>, Miquel Avella-Oliver<sup>\*,1,4</sup>, Estrella Fernández<sup>1</sup>, Daniel Pastor<sup>3</sup>, Miguel V. Andrés<sup>2</sup>, Ángel Maquieira<sup>\*,1,4</sup>

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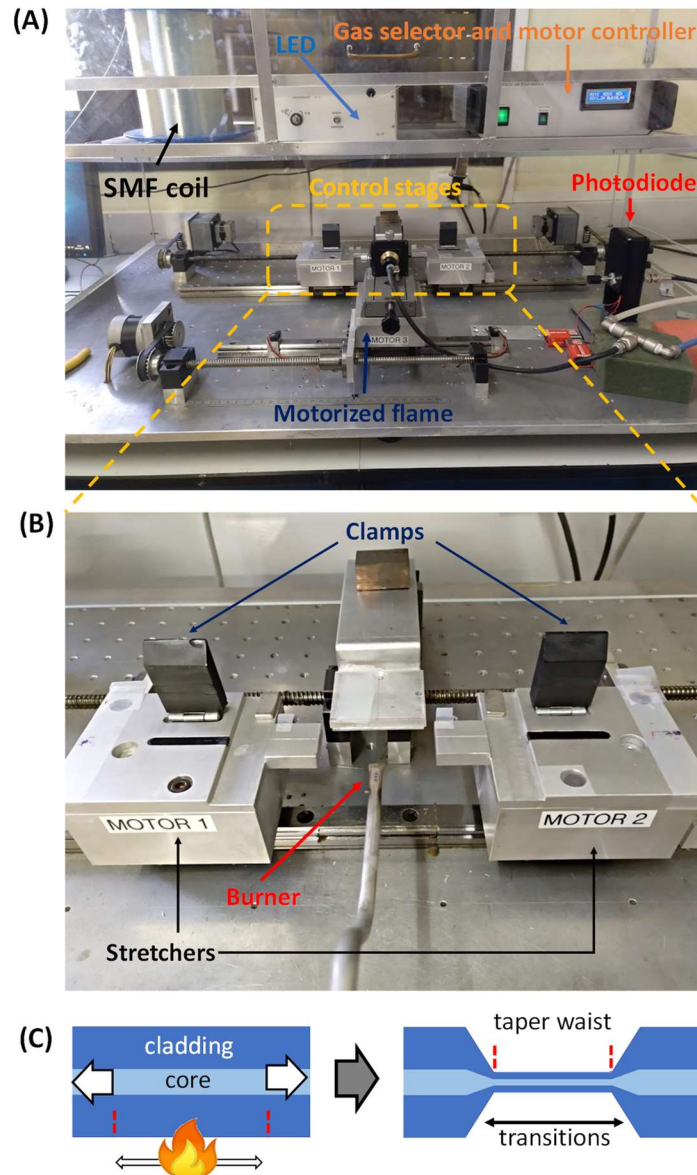
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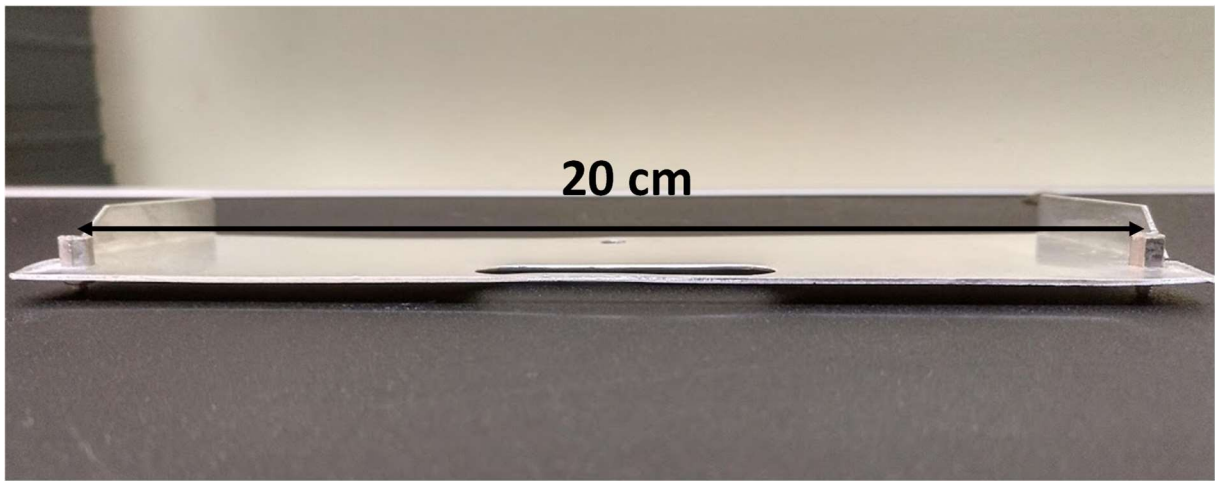
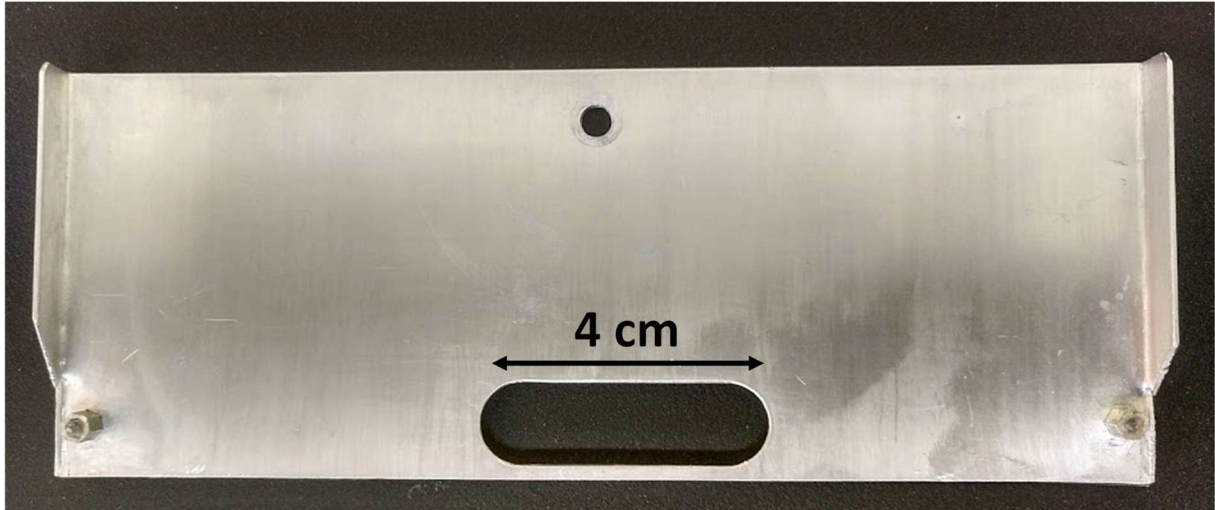
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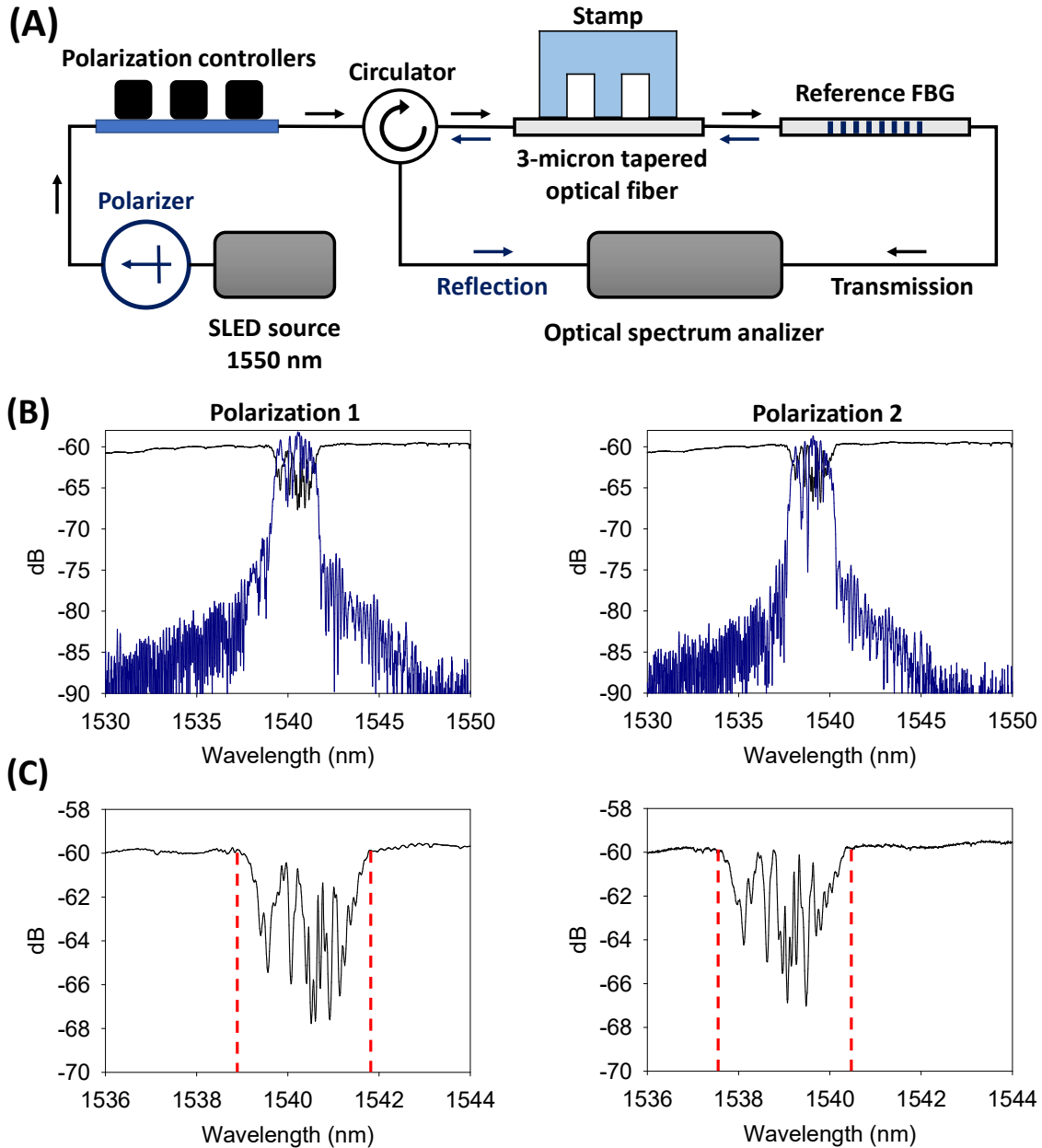
**Figure S1.** Front (A) and top (B) view of the optical setup used for the measurement and characterization of the BBGs.



**Figure S2. (A)** Custom tapering rig station used for the fabrication of tapered optical fibers. The system is fully automatized and it is composed by two gas flow controllers (oxygen and butane) and three motor controllers, one manages the speed of the sweeping flame and the two others control motor the pulling speed of the fiber. An IR LED and an InGaAs photodiode were used for monitoring the losses during taper fabrication. **(B)** Zoomed picture of the stretchers (where the fiber is clamped) and the burner. **(C)** Scheme of the taper fabrication. A single-mode fiber is peeled off, cleaned with acetone, and clamped onto the motorized stretchers. Next, the fiber is stretched while the flame sweeps along the fiber. As a result, the fiber reaches the plastic deformation temperature of the silica, and the stretching narrows the diameter of the fiber, while maintaining the scale of its internal structure and shape. After fabrication, three regions can be identified: the taper waist (with a uniform, reduced diameter along several centimeters), the non-modified region with the original diameter, and the taper transitions (which are the transition regions from original to final diameters, typically several centimeters long). The shape and length of these transitions can be designed on demand, within certain limits.

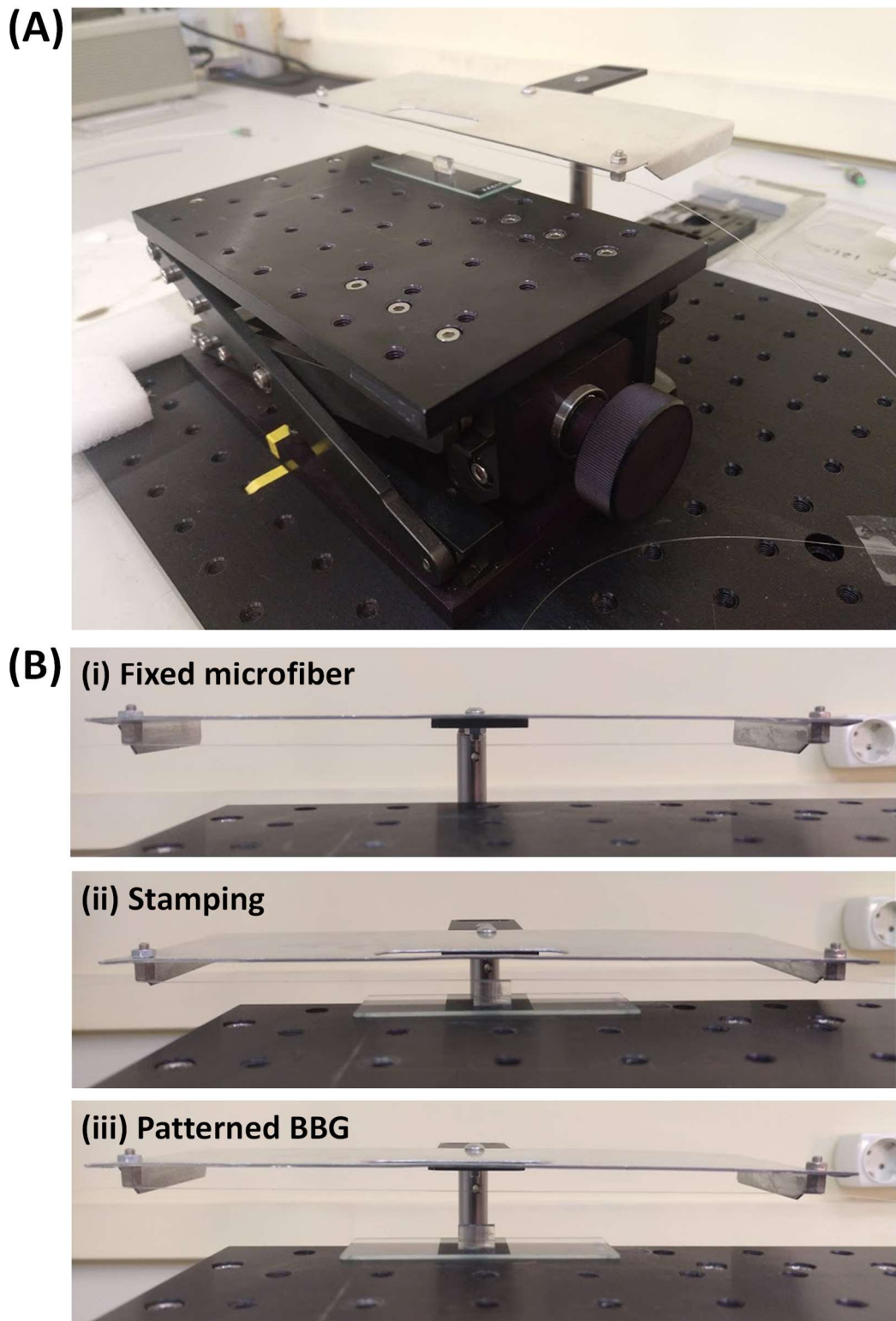


**Figure S3.** Pictures taken from two different perspectives (top and side) of the custom holder fabricated to keep and manipulate the microfiber. The holder is made of aluminum and has two small cylindrical platforms placed at both ends for fixing the fiber. A 4-centimeter length hole was generated in the middle distance between both platforms to facilitate the contact between the stamp and the hanged fiber. For our experiments, the total length of the tapers including the taper transitions was 20 cm (length of the waist: 2 cm).

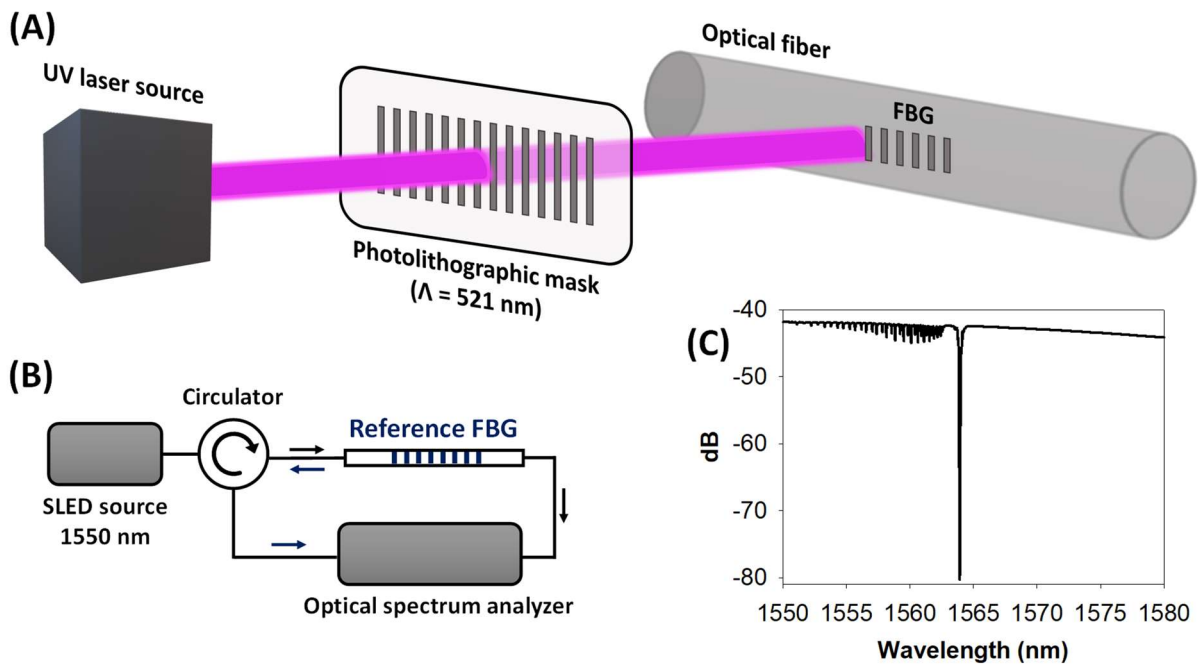


**Figure S4.** Experimental results on the polarization dependence. **(A)** Scheme of the optical setup used for studying the polarization effect in the system. An in-fiber linear polarizer (Thorlabs, operation wavelength 1550 nm, bandwidth 50 nm) and polarization controllers (Thorlabs, operation wavelength 1550 nm, 56 mm loop) were included after the output of the source to control its linear polarization. **(B)** Reflection (bottom blue line) and transmission (top black line) spectra experimentally obtained at two different linear polarizations for a 3  $\mu\text{m}$  fiber in contact with a grooved PDMS stamp. **(C)** Zoomed view of the transmission notches in the corresponding figures above.

Note that Bragg wavelength of the peak corresponding to the grooved PDMS stamp clearly changes together with the polarization (longer for polarization 1, and shorter for 2). This fact indicates a birefringence caused by the different refractive index of the polarization modes of the microfiber and the asymmetry introduced by the lateral contact of the PDMS stamp on the microfiber.



**Figure S5.** (A) Mechanical elevator used to uplift the inked stamps in the stamping stage of the BBGs fabrication by microcontact printing. (B) Real images of the stamping system at the different steps of the BBG fabrication. At initial step (i), the microfiber is fixed in the holder and held just above the elevator. Next, the stamp is placed and oriented right below the microfiber, and the stamp is uplifted until its grooved side becomes in contact with the microfiber (ii). Finally, the stamp and the microfiber are separated and a BBG becomes patterned (iii).



**Figure S6. (A)** Schematic illustration of the fabrication process of a Fiber Bragg Grating (FBG) used for the reference signals. A CW frequency double Ar laser ( $\lambda = 244$  nm) irradiates a photolithographic phase mask generating an interferometric UV pattern with the desired pitch for the FBG. A section of a bare boron codoped photosensitive fiber (Fibercore PS1550) is located within the focal length of the interferometric UV pattern, which generates a permanent modulation in the refractive index of the fiber core material. The laser beam sweeps along the length of the fiber, thus the length of the FBG can be of several cm long (in our case, 10 mm). Our FBG was located at 1564.09 nm, with bandwidth  $< 1$  nm and a minimum transmittance of -36 dB, see Figure S6c. **(B)** All-fiber optical circuit used to characterize the FBG. A custom superLED source centered at 1550 nm (1,3 mW, bandwidth  $> 100$  nm) provided the light launched to the FBG. A circulator (Thorlabs, central wavelength: 1550 nm, bandwidth: 90 nm) was placed before the FBG to allow the measurement of the reflection spectrum. **(C)** Transmission spectrum of the reference FBG measured with an AQ6370D optical spectrum analyser from Yokogawa (Tokyo, Japan). As it is shown, the Bragg's wavelength of the FBG is observed at 1564 nm, the notches located at the blue side of the transmission spectrum correspond to the light coupled to different cladding modes of the fiber.



## Supplementary Information 7:

The equivalence between logarithmic and linear scale of the transmission and reflection spectrum levels was calculated as follows:

$$dB = 10 \cdot \log_{10} \left( \frac{P_1}{P_0} \right)$$

where dB stands for the logarithmic scale,  $P_1$  stands for the intensity level in linear scale, and  $P_0$  is the instrumentation reference power level.

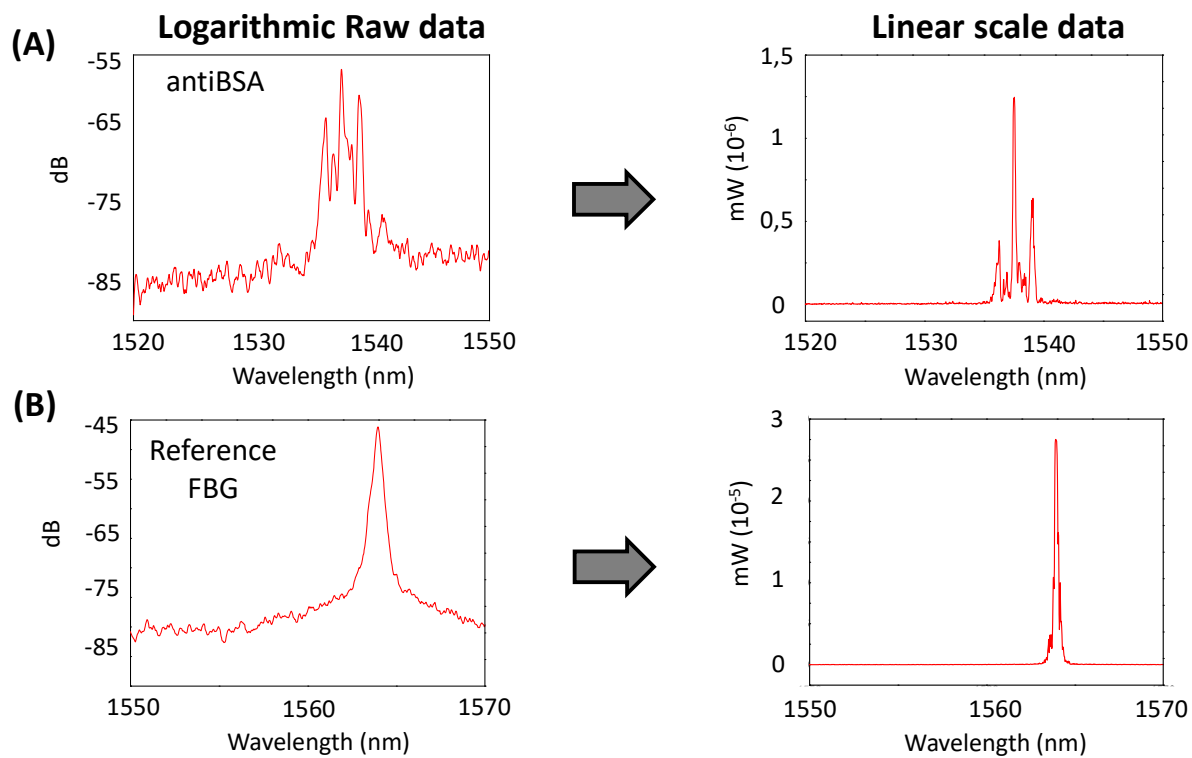
The logarithmic and linear reflection spectra are shown in Figure S11. The area of the reflection peaks associated to the bioreceptor BBGs and the reference FBG were obtained by numerical integration of the linear traces, and this area corresponds to the total optical power reflected by each grating. The reflectivity of the BBG was referenced to that of the FBG to separate the reflectivity increase due to the biorecognition event, from any broadband optical loss induced along the fabrication and immunosensing with the BBGs. To do so, we employed the following equation:

$$R = \frac{A_{BG}}{A_{REF}} \cdot 100$$

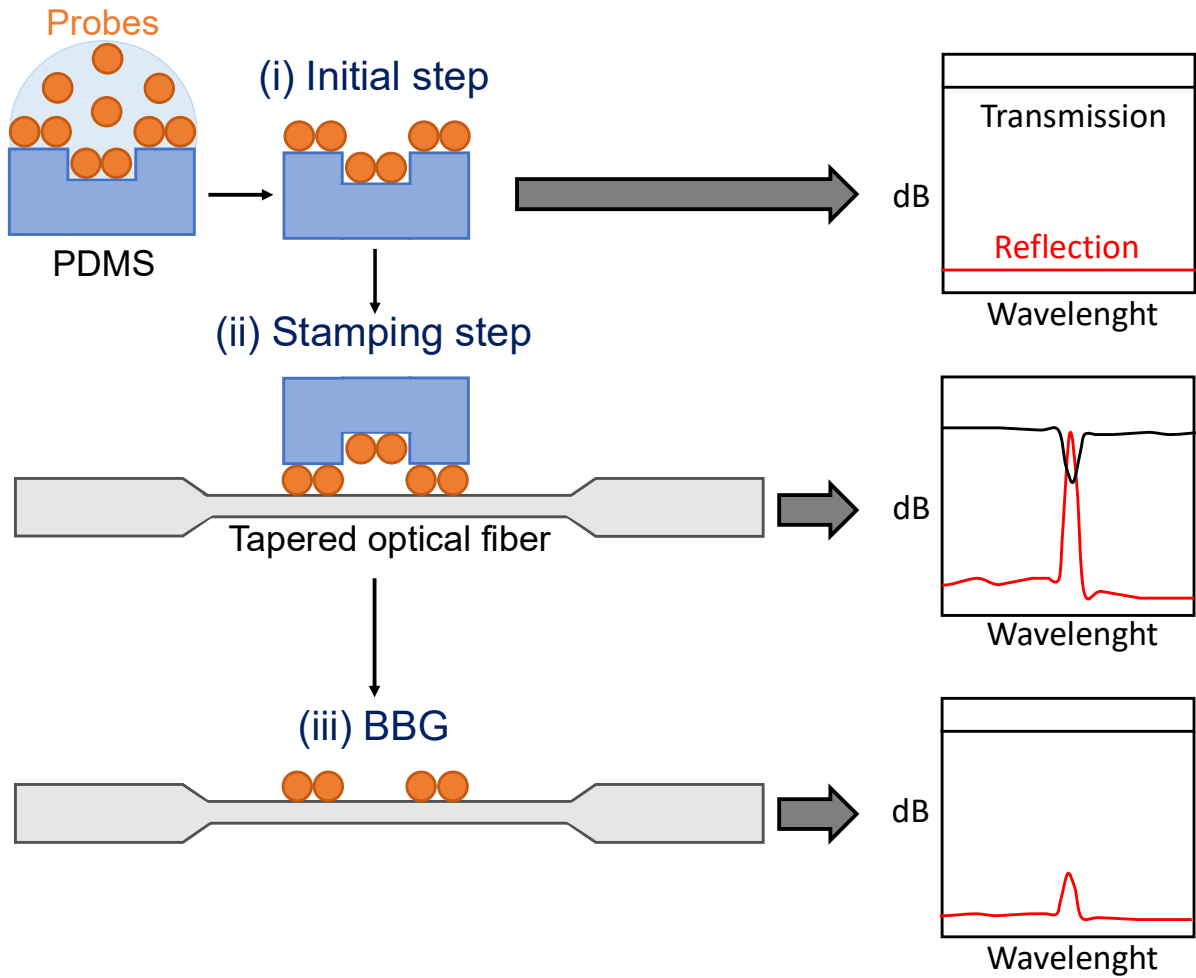
where  $A_{BG}$  and  $A_{REF}$  are the areas of the reflection peaks attributed to the BBG and the reference FBG, respectively.

Finally, the change of reflectivity due to the biorecognition assay (net reflectivities) were estimated by subtracting the reflectivity of the BBG generated by the probes ( $R_{probes}$ ), to the reflectivity achieved by the BBG after target incubation ( $R_{targets}$ ):

$$R_{net} = R_{targets} - R_{probes}$$



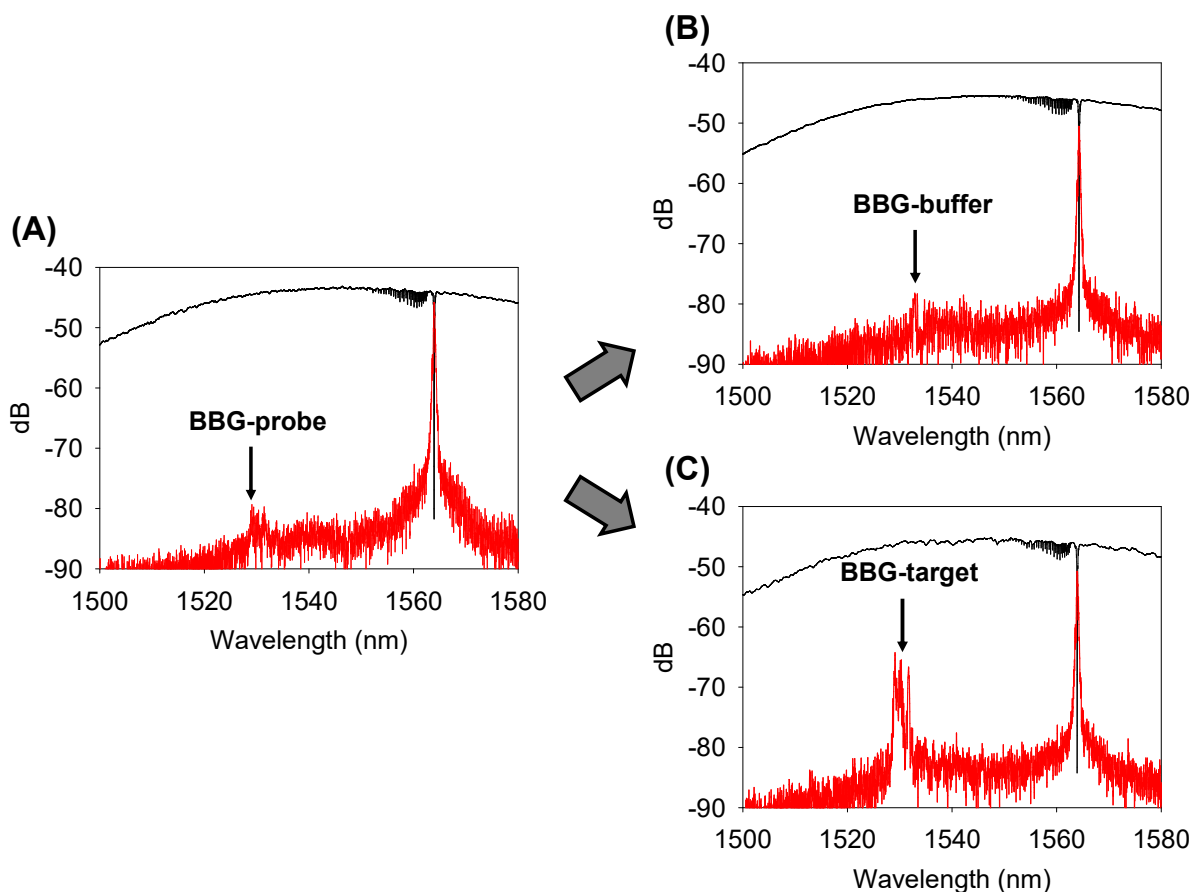
**Figure S8.** (A) Reflection spectrum with logarithmic scale (left) measured after the target IgG incubation ( $10 \mu\text{g}\cdot\text{mL}^{-1}$ ) and the corresponding spectrum transformed into linear scale (right). (B) Reflection spectrum of the reference FBG (left) and the corresponding spectrum in linear scale (right).



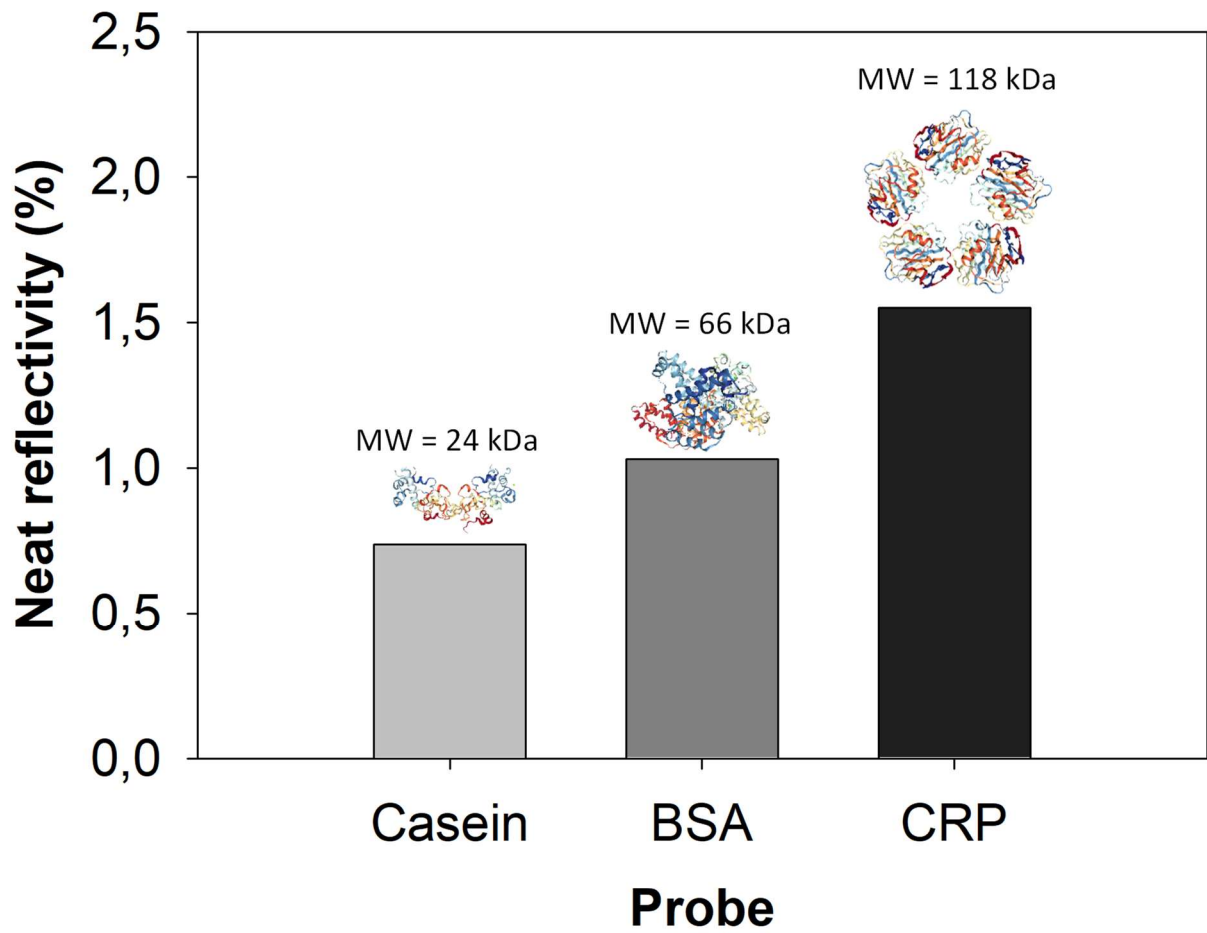
**Figure S9.** Schematic illustration of the bioreceptor BBGs patterning process on the microfibers by microcontact printing. The transmission and reflection spectra were acquired as a feedback system to monitor and check the success of the patterning process at each step. At the initial stage **(i)** grooved PDMS stamps are inked with the probe solution, and before their stamping on the microfiber, the transmission and reflection spectra are flat and their levels are defined by the taper losses ( $< 1\text{dB}$ ). Next, the inked PDMS structure is stamped on the microfiber **(ii)**. The contact between the microfiber and the stamp introduces some temporary loss (thus, the transmission level decreases), and more importantly it leads to an intense reflection peak whose wavelength (1537 nm) meets the Bragg condition:

$$\lambda'_B = 2n_{\text{eff}} \cdot \Lambda_{\text{BBG}}$$

where  $\lambda'_B$  is the Bragg's wavelength,  $n_{\text{eff}}$  is the refractive index of the fundamental mode at  $\lambda'_B$ , and  $\Lambda_{\text{BBG}}$  is the period of the grating (555 nm). This intense reflection peak appears together with its corresponding notch in the transmission spectrum at the same wavelength. Finally, after separating the stamp from the microfiber **(iii)**, a weaker reflection peak (and a negligible transmission notch) at the same wavelength remains on the spectra, which confirms the transfer of the bioreceptor probes from the stamp to the microfiber surface and their proper periodic structuration.



**Figure S10.** Reflection spectra obtained (A) after patterning BSA protein, (B) after incubating PBS-T buffer on them, and (C) after incubating a solution of  $1 \mu\text{g}\cdot\text{mL}^{-1}$  antiBSA in PBS-T. The reflectivity increase after incubating PBS-T is negligible, whereas a 20 dB increase ( $\times 100$ ) is reached after incubating the antiBSA solution. Consequently, these results demonstrate that the increase of the reflection peak is due to biorecognition events. The transmission spectra indicate that some broadband loss ( $< 1$  dB) is induced during the biorecognition process, which must come from some optical scattering due to the immersion of the microfibers in the solutions. To separate this effect from the biorecognition events, the reflectivity of the BBG was referenced to that of the FBG.



**Figure S11.** Peak reflectivities registered for BBGs of casein, BSA and CRP patterned on different microfibers.