

1 **TOBACCO USE IN THE THIRD-TRIMESTER OF PREGNANCY AND ITS**
2 **RELATIONSHIP TO BIRTH WEIGHT.**
3 **A prospective study in Spain.**

4

5 **INTRODUCTION**

6 Optimal foetal growth is dependant on a variety of physiological and pathological determinants¹.
7 Amongst the physiological factors, pre-gestational body mass index (BMI) is directly related to birth
8 weight, with higher BMI associated with higher birth weight². On the contrary, the misuse of toxic
9 substances during pregnancy, including tobacco, can lead to foetal growth retardation and low birth
10 weight^{3,4}. Nicotine reduces the blood flow to the placenta, whilst carbon monoxide present in smoke
11 reduces oxygenation of the fetus⁵.

12

13 Different authors have analysed tobacco use during pregnancy using different methods including self-
14 reported questionnaires, measurements of nicotine concentration in urine or expired carbon
15 monoxide⁶⁻⁸. In Europe, the prevalence of tobacco use during pregnancy is approximately 20%⁹. In
16 Spain, figures are higher and around 30–43% of expectant mothers are smokers at the start of their
17 pregnancy⁶. Although about 40% of them quit in the first trimester¹⁰, about 13–25% continue smoking
18 up to delivery¹¹. Spanish studies, however, are affected by methodological weaknesses. For example,
19 the majority of studies assessed tobacco use through self-reported instruments, which may facilitate
20 socially desirable responses and thus underestimate smoking status by 11–26%^{6,10}.

21

22 However, the combined effect of BMI and tobacco on birth weight remains unclear¹² and few studies
23 on tobacco prevalence have examined the effect of quitting smoking in the third-trimester of
24 pregnancy and birth weight. Some authors have suggested that early cessation of smoking in
25 pregnancy has a greater impact on birth weight improvement^{13,14} with a relatively small impact if
26 quitting takes place during the third-trimester of pregnancy¹⁵. However, other researchers have
27 claimed that third-trimester maternal cigarette consumption had the strongest association with birth
28 weight, regardless of pre-pregnancy consumption levels¹⁶. Our study evaluated the association of
29 prenatal exposure to maternal smoking with birth weight in different stages of pregnancy.

30 Additionally, we aimed to identify the trimester of pregnancy in which tobacco use produced the
31 greatest reduction in neonatal birth weight.

32

33 **SUBJECTS AND METHODS**

34 **Design:** Prospective observational study. Participating expectant mothers were classified into two
35 groups according to their use of tobacco during gestation. A sample of 159 women was obtained from
36 April 2011 to March 2012.

37 A two-stage sampling approach was used. In the first stage, we selected health centers in Carlet and
38 Benimodo (Spain) from all primary care centers of La Ribera health district using simple random
39 probability sampling (probability= 2/13). In the second stage, we selected pregnant women using a
40 similar probability sampling with systematic monitoring of the number of pregnancies per year on
41 each health center (N'). The ratio's value (k) for the calculated sample size (n) was 2 ($k = N'/n$). We
42 estimated that for 180 pregnant women per year attending the health centers, a minimum sample of
43 123 women was required (95% confidence interval (95% CI), 5% precision error). The attending
44 midwives recruited the women at clinic and obtained their informed consent to participate. Overall,
45 one of every two pregnant women was selected until the required sample size was obtained.

46 The inclusion criteria were: a maternal age of 18-36 years, first prenatal visit between 5-12 weeks of
47 gestation, and single foetus with no malformations. Exclusion criteria included: patient declined to
48 participate in the study, language barrier, and expectant mothers with pathologies that significantly
49 modified foetal growth, such as pre-gestational diabetes, essential hypertension prior to pregnancy,
50 maternal infection or other chronic maternal pathologies.

51 **Ethics:** The Committee of Ethics and Research of the University Hospital of La Ribera (UHLR)
52 approved the study proposal in January 2011 (#11-415). Written informed consent was obtained from
53 all women. The participants were free to decline their participation and withdraw from the research at
54 any time.

55 **Study variables:** The questionnaire was purposely designed with agreement from the research team.
56 Birth weight was considered the dependent variable, and was recorded in the delivery room following
57 the clamping and separation of the umbilical cord, using a digital scale (SECA®, Vogel & Halke
58 GmbH & Co, Hamburg, Germany), to an accuracy of 10 g.

59 The independent variables included socio-demographic characteristics (maternal age, country of
60 origin, marital status, educational level, occupational state), anthropometric measurements (pre-
61 gestational BMI, as calculated from self-reported body weight at 2–3 months prior to pregnancy and
62 recorded at the first prenatal visit; absolute gestational weight gain; and difference between final
63 weight on the day of delivery and pre-gestational weight), and obstetric-neonatal features (newborn
64 gender and gestational age at birth expressed in days of gestation from the end of the mother's last
65 menstrual cycle). Women selected for inclusion in our study provided an estimate of their pre-
66 pregnancy day cigarette consumption. Self-reported average tobacco consumption was used to
67 estimate pre-gestational tobacco misuse. Equally, women were asked to report the mean number of
68 cigarettes consumed per day in the 7 days prior to the enrolment in the study, and again for each
69 trimester on appointment with the midwife.

70 Data collection also included the frequency of smoking cessation attempts and relapses during
71 pregnancy and for a period of 30 days postpartum.

72 **Statistical analysis:** An analysis of the dependent variables was carried out for each of the categories
73 of pre-gestational BMI, using descriptive methods. Afterwards, the normality of the distribution of
74 continuous variables was examined using the Kolmogorov-Smirnov test. Statistical significance was
75 set at the 0.05 level. Bivariate correlation analyses using Pearson correlation coefficient were initially
76 used to explore factors associated with neonatal birth. The comparison of multiple averages was
77 carried out using analysis of variance tests (ANOVA), after assessment of the homogeneity and
78 normality of the data with the Levene test. The magnitude of the effect of first-hand exposure to
79 tobacco on categorised birth weight was estimated using multiple logistic regression, with birth weight
80 (<3000g or >3000g) as the outcome measure and adjusted for pre-gestational maternal BMI (WHO

81 categories: underweight (UW) $<18.5 \text{ Kg/m}^2$, normal weight (NW) $18.5\text{-}24.9 \text{ Kg/m}^2$, overweight (OW)
82 $25.0\text{-}29.9 \text{ Kg/m}^2$, obese (OB) $>30 \text{ Kg/m}^2$ ¹⁷ as explanatory variable. Additional explanatory variables
83 included gestational age at birth (days).

84 To analyse the relationship between birth weight (dependent variable) and tobacco use by the
85 expectant mother (independent variable), an adjusted multiple linear regression model was applied
86 using a stepwise method for variables shown to have an effect on birth weight. Smoking indicators
87 examined included the number of cigarettes consumed per day before pregnancy, at the time of
88 registration into the study (first trimester), and in the second and third trimester. Partial correlation
89 coefficients represent the strength of the linear relationship between each independent variable and
90 birth weight, after controlling for other predictors in the regression model. The data was analysed
91 using SPSS Statistics version 22.

92 **RESULTS**

93 Out of a total of 159 expectant mothers initially included in the study, we excluded 22 cases (10 cases
94 of spontaneous miscarriage in the first trimester, 1 case of foetal malformation in the second trimester,
95 2 cases of loss to follow-up during the pregnancy, and 9 cases of gestational diabetes). Therefore, the
96 final sample included 137 expectant mothers.

97 Table 1 provides a detailed description of maternal and neonatal characteristics. Smokers were 30-34
98 years old, less educated, married, employees and more frequently within normal weight than non-
99 smokers at each corresponding point during gestation. The neonatal birth weight of smoking mothers
100 was 235g lower than non-smokers ($p= 0.006$).

101 Table 2 presents tobacco statuses. Regarding pre-pregnancy tobacco use, 64.2% (88) did not smoke,
102 35.8% (49) did, and 0.8% (1) quit prior to becoming pregnant. At the beginning of pregnancy, the
103 **proportion** of smokers was 35%, of whom 14.6% were underweight, 68.8% were normal weight and
104 26.7% were overweight. None of the mothers smoking prior to pregnancy were obese. In terms of
105 smoking cessation during pregnancy, cessation rates increased progressively during the three

106 trimesters (8%, 13.1% y 13.9% respectively). We did not find any expectant mothers who relapsed
107 during pregnancy or during 30 days of post-partum. Underweight smokers accounted for the largest
108 proportion of those who stopped smoking (44.5%) when compared to women who either had normal
109 weight (12.6%) or were overweight (10%). Additionally, underweight smokers achieved a greater
110 reduction in the average number of cigarettes smoked compared to women who had normal weight
111 (4.3 fewer daily cigarettes compared to 1.0). Overweight smokers, on the contrary, had increased their
112 daily average consumption by 3.1 cigarettes by the end of their pregnancies.

113 The results of the bivariate analysis on tobacco status and birth weight for different trimesters of
114 gestation, according to categorised pre-gestational maternal BMI are displayed in Table 3. Maternal
115 smoking was associated with birth weight only at NW pre-gestational BMI. Of the smoking indicators
116 examined, cigarette consumption was significantly and negatively correlated with birth weight before
117 pregnancy ($R = -0.243$, $p = 0.018$), as well as the second ($R = -0.276$, $p = 0.007$) and third trimester ($R = -$
118 0.304 , $p = 0.003$). Birth weight in newborns from non-smoking mothers was significantly higher when
119 compared with smoking participants (3297.8g [95% CI: 3187.6–3408.0] compared to 3070.1g [95%
120 CI: 2910.4–3229.8], $p = 0.018$). Likewise, expectant mothers who did not smoke in the second and
121 third trimesters had babies with higher birth weight than mothers who were smokers during those
122 periods (3284.3g [95% CI: 3179.8–3388.9] vs 2990.6g [95% CI: 2816.7–3164.5] for the second
123 trimester and 3289.0g [3185.5–3392.6] compared to 2960.2g [95% CI: 2789.8–3130.6] for the third),
124 with statistically significant differences ($p = 0.007$ and $p = 0.003$, respectively).

125 Table 4 describes the risk of having a newborn with a weight below 3000g, according to smoking
126 behaviour during pregnancy, and adjusted for pre-gestational maternal BMI and gestational age at
127 birth. Expectant mothers exposed to tobacco during the third trimester were at greater risk of having a
128 lower neonatal weight than their non-smoking counterparts (OR: 5.94 [CI 95%: 1.94-18.16]).

129

130 The results of the multiple regression analyses (Table 5 and Figure 1) suggest that of the smoking
131 variables examined, maternal third trimester cigarette consumption was the strongest predictor of birth
132 weight after adjusting for gestational age and pre-gestational maternal BMI (partial $R = -0.253$, $p =$

133 0.003). For each additional cigarette per day smoked in the third-trimester, there was an estimated
134 reduction in birth weight of 32g (CI 95%: -53.08, -11.04). Additional direct independent contributors
135 to birth weight after adjusting for gestational age (partial R= 0.404, p< 0.001) included maternal BMI
136 (partial R= 0.281, p= 0.006). The final model included 3 variables and explained 27% of the
137 variability in newborn birth weight.

138

139 **DISCUSSION**

140 Our prospective observational study included 137 expectant mothers in Spain, who were classified
141 into groups according to their gestational tobacco use. In our results, pre-gestational maternal BMI is
142 positively related to birth weight, independently of all other parameters examined, and in agreement
143 with other studies^{2,3,18}. In the Spanish health care system, midwives are the main point of contact for
144 women during pregnancy. National guidelines indicate that midwives should ask about women's
145 smoking status at the first antenatal appointment (usually between 8-12 weeks), and provide smoking
146 cessation advice and referral if warranted. However, there is still a paucity of data regarding the
147 impact of smoking cessation advice on smoking status.

148

149 The proportion of smokers decreased progressively from the first to the third trimester, which is also
150 consistent with previous studies^{6,7,10,11,13,19}. In our study, we observed statistically significant
151 differences between cigarette consumption and maternal age, educational level and occupational
152 state²⁰.

153

154 Our data suggest that, taking into consideration already known factors that influence on birth weight, a
155 linear relation persists between self-reported consumption of cigarettes in the third trimester and
156 neonatal birth weight, as previously reported¹⁷. However, other studies have postulated safe levels of
157 tobacco consumption^{15,21,22}. The observable effect of maternal smoking later in pregnancy suggests
158 that every additional cigarette consumed per day in the third-trimester results in a reduction of
159 approximately 32g in the birth weight of the newborn. Such effect appears to be greater than the
160 previously reported by Bernstein et al.¹⁶, Mathai et al.²³ or England et al.¹⁵ who noted between 12g-

161 27g. Overall, our results propose a total weight reduction of 137.6g (32g/cigarette x 4.3
162 cigarettes/day), within the range determined by other authors^{20,24,25} reporting a weight fall between
163 114-170g among smokers. The greater per-cigarette influence on birth weight in our data can be
164 explained by the continuous linear relationship we observed. Thus, we disagree with the notion of a
165 minimum secure level on cigarette consumption rather than a continuous effect.

166

167 A valid estimation of the risks associated with tobacco exposure would depend on accurate
168 measurements. However, some individuals may be more reluctant than others to disclose their
169 smoking status and exposure to tobacco. This can be particularly true for pregnant women, for whom
170 smoking may be regarded as socially unacceptable. Thus, estimates based on self-reported information
171 are likely to underestimate the real **proportion** of tobacco use. Exposure to tobacco can be analyzed by
172 measuring smoke components in the air, self-reported indicators of exposure through interviews or
173 measuring smoke components concentrations with biomarkers²⁶. The first approach is suboptimal as
174 monitors can only be used for short periods of time, which are unlikely to be reflective of overall
175 exposure. In terms of self-reported smoking behaviors, a recent meta-analysis²⁷ suggested that in most
176 studies it could be an acceptable methodology for estimating tobacco consumption, if validated with
177 biochemical measurements. However, the authors excluded studies which included pregnant women.
178 Other authors have concluded that validation with biomarkers should also be considered in studies
179 with students and intervention studies^{28,29}. Despite these advantages, self-reported questionnaires
180 present various concerns related to their validity as tools for data collection, a lack of validation and
181 standardization as well as misclassification of exposure among the most serious drawbacks. These
182 may originate from participants' failure to accurately recall exposure, lack of knowledge, intentional
183 false reporting, biased recall, or memory failure²⁸. Bias may be more common whenever social
184 desirability is greater¹¹. Furthermore, the quantity of inhaled and absorbed smoking products varies
185 with the manner of smoking, which may be difficult to express and quantify in a questionnaire²⁵.
186 Underreporting was found in 4%-12% of pregnant women who demonstrated values inconsistent with
187 their self-report²⁶.

188

189 Other investigators have identified a poor correlation of self-reported maternal cigarette consumption
190 with biomarkers like urinary cotinine. They have reported an inversely proportional relationship of
191 urinary nicotine to birth weight^{15,30}.

192

193 Some methodological considerations should be noted with regard to our study. First, our results rely
194 on the validity of responses to the self-reported questionnaire. Consequently, the **prevalence** we
195 observed may effectively be an underestimation of the true **prevalence**, due to the potential for socially
196 desirable responses offered by our participants. The factors most closely related to concealing an
197 individual's smoking status have to do with the timing and the quantity of tobacco consumed^{9,12,32}.
198 We acknowledge that in optimal circumstances, midwives caring for participants may not be the ideal
199 recruiters of individuals onto a study. As an additional limitation in our study, we had a reduced
200 number of participants within the underweight and obese categories, although this was due to the
201 nature of the sampling.

202

203 The strengths of our study are the use of probability sampling in the selection of the study population.
204 In addition, we were able to draw a valid sample size representative of the total population of
205 expectant mothers in our setting. Unlike other studies, our sample was categorised by pre-gestational
206 maternal BMI, an important independent factor in determining birth weight.

207

208 Different studies have tried to determine the relationship between cigarette smoking among expectant
209 mothers and birth weight. Although the studies have produced heterogeneous results, most observe an
210 increased risk of lower birth weight among smokers^{1,19-21}. However, the studies are limited by the
211 difficulty in quantifying maternal exposure precisely and in adjusting for the multiplicity of
212 confounding factors that can affect birth weight^{32,33}.

213

214 In conclusion, our results on the association of active smoking during pregnancy with birth weight
215 indicate that smoking in pregnancy increased the risk of having lower weight newborns (<3000g), and
216 that this risk is most pronounced for women who smoke during their third trimester, reinforcing the

217 need to encourage and support women to avoid smoking during pregnancy. Pregnancy offers a
218 strategic opportunity for health professionals to promote smoking cessation and motivate women to
219 give up tobacco use. Such opportunity to encourage smoking cessation interventions should be
220 specially seized by midwives, as first point of contact for women during their pregnancy.

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